Markus Elsing

# Tracking at the LHC (Part 3)

 Concepts for Track Reconstruction



### Introduction:

 in this lecture I will discuss the concepts of track reconstruction

- will have to introduce various techniques for
  - → pattern recognition, detector geometry, track fitting, extrapolation ...
  - ➡ including mathematical concepts and aspects of software design



... so why does it matter ?



## The Tracking Problem

 particles produce in an interaction leave a cloud of hits in the detector



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# Role of Tracking Software

#### optimal tracking software

➡ required to fully explore performance of detector

### • example: DELPHI Experiment at LEP

- ➡ silicon vertex detector upgrade
- ➡ initially not used in tracking to resolve dense jets
  - pattern mistakes in jet-chamber limit performance









# Role of Tracking Software

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- ➡ silicon vertex detector upgrade
- ➡ initially not used in tracking to resolve dense jets
  - pattern mistakes in jet-chamber limit performance
- ➡ 1994: redesign of tracking software
  - start track finding in vertex detector
  - correct jet-chamber information
- → factor ~ 2.5 in D\* acceptance after reprocessing



(M.Feindt, M.E. et al )





## Outline of Part 3

### charged particle trajectories and extrapolation

- → trajectory representations and trajectory following in a realistic detector
- → detector description, navigation and simulation toolkits

### • track fitting

- → classical least square track fit and a Kalman filter track fit
- → examples for advanced techniques

### track finding

search strategies, Hough transforms, progressive track finding, ambiguity solution



→ as an example, the ATLAS track reconstruction

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# A Trajectory of a Charged Particle

- ➡ in a solenoid B field a charged particle trajectory is describing a helix
  - a circle in the plane perpendicular to the field (Rφ)
  - a path (not a line) at constant polar angle (θ) in the Rz plane
- a trajectory in space is defined by
   5 parameters
  - the local position (l<sub>1</sub>,l<sub>2</sub>) on a plane, a cylinder, ..., on the surface or reference system
  - the direction in θ and φ plus the curvature Q/P<sub>T</sub>

#### → ATLAS choice:

$$\vec{p} = (l_1, l_2, \theta, \phi, Q/P)$$







track

### The Perigee Parameterization

#### • helix representation w.r.t. a vertex



#### commonly used

- → to express track parameters near the production vertex
- ➡ in implementations of vertex finding algorithms
- → as well in b-tagging codes

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### The Perigee Parameterization

helix representation w.r.t. a vertex



- s for the steet common yfused egration.
- fitting techniques, the least squares method and the Kalman filter are both linear to express track parameters near the production vertex ons are even required to be linear, or at least approximated by a linear function. in implementations of vertex finding algorithms



→ as well in b-tagging codes

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## Following the Particle Trajectory

### basic problems to be solved in order to follow a track:

- ➡ next detector module that it intersects ?
- → what are its parameters on this surface ?
- → what is the uncertainty of those parameters ?
- → for how much material do I have to correct ?

#### • requires:

- ⇒ a detector geometry
  - surfaces for active detectors
  - passive material layers
- → a method to discover which is the next surface (navigation)
- → a propagator to calculate the new parameters and its errors
  - often referred to as "track model"

### for a constant B-field (or no field)



an analytical formula can be calculated for an intersection of a helix (or a straight line) on simple surfaces (plane, cylinder, vertex,...)

, track

parameters with uncertainty

## Following the Particle Trajectory

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track

parameters with uncertainty

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## Material Effects and Realistic B-Field

### multiple scattering

- ➡ increases uncertainty on direction of track
- → for given  $x/X_0$  traversed add term to covariances of  $\theta$  and  $\phi$  on a material "layer"

#### • energy loss

- $\Rightarrow$  use most **probably energy loss** for x/X<sub>0</sub>
- ➡ correct momentum (curvature) and its covariance

### realistic non-homogeneous B-field

- analytical helix propagation has to be replaced by numerical B-field integration along the path of the trajectory
- ➡ in ATLAS and CMS a 4th order adaptive Runge-Kutta-Nystrom approach is used
- ➡ propagates covariance matrix in parallel (Bugge, Myrheim, 1981, NIM 179, p.365)
  - for experts: muon reconstruction in ATLAS+CMS uses the STEP track model with continuous energy loss and multiple scattering Markus Elsing





## The Track Extrapolation Package

#### a transport engine used in tracking software

- ➡ central tool for pattern recognition, track fitting, etc.
- parameter transport from surface
   to surface, including covariance
- encapsulates the track model, geometry and material corrections





### **Detector Geometry**

- interactions in detector material limiting tracking performance
  - ATLAS/CMS significantly more material in trackers than e.g. LEP experiments or CDF and D0

### • LHC detectors are complex

- experiments developed geometry models, translation into G4 simulation
- huge number of volumes
- physics requirement to reach LHC goals (e.g. W mass)
  - control material close to beam pipe at % level



	model	placed volumes
ALICE	Root	4.3 M
ATLAS	GeoModel	4.8 M
CMS	DDD	2.7 M
LHCb	LHCb Det.Des.	18.5 M



### Weighing Detectors during Construction

### huge effort in experiments

- put each individual detector part on balance and compare with model
- CMS and ATLAS measured weight of their tracker and its components
- correct the geometry implementation in simulation and reconstruction

CMS	estimated from measurements	simulation	
active Pixels	2598 g	2455 g	
full detector	6350 kg	6173 kg	
ATLAS	estimated from measurements	simulation	
Pixel package	201 kg	197 kg	
SCT detector	672 ±15 kg	672 kg	
TRT detector	2961 ±14 kg	2962 kg	



example: ATLAS TRT measured before and after insertion of the SCT

	ATLAS		CMS	
Date	$\eta pprox 0$	$\eta pprox 1.7$	$\etapprox 0$	$\etapprox 1.7$
1994 (Technical Proposals)	0.20	0.70	0.15	0.60
1997 (Technical Design Reports)	0.25	1.50	0.25	0.85
2006 (End of construction)	0.35	1.35	0.35	1.50



## Full and Fast (Tracking) Geometries

#### complex G4 geometries not optimal for reconstruction

- → simplified tracking geometries
- ➡ material surfaces, field volumes

### reduced number of volumes

- blending details of material onto simple surfaces/volumes
- surfaces with 2D material density maps, templates per Si sensor...

	G4	tracking
ALICE	4.3 M	same *1
ATLAS	4.8 M	10.2K *2
CMS	2.7 M	3.8K *2
LHCb	18.5 M	30



\*<sup>1</sup> ALICE uses full geometry (TGeo)
 \*<sup>2</sup> plus a surface per Si sensor



## **Embedded Navigation Schemes**

### embedded navigation scheme in tracking geometries

- ➡ G4 navigation uses voxelisation as generic navigation mechanism
- → embedded navigation for simplified models
- used in pattern recognition, extrapolation, track fitting and fast simulation

### • example: ATLAS

- → developed geometry of connected volumes
- boundary surfaces connect neighboring volumes to predict next step

ATLAS	G4	tracking	ratio
crossed volumes in tracker	474	95	5
time in SI2K sec	19.1	2.3	8.4

(neutral geantinos, no field lookups)



## Some Remarks on Simulation: Geant4

### Geant4 is based upon

- → **stack** to keep track of all particles produced and stack manager
- → extrapolation system to propagate each particle:
  - transport engine with navigatoin
  - geometry model
  - B-field
- → set of **physics processes** describing interaction of particles with matter
- → a user application interface, ...





## Fast Simulation

### CPU needs for full G4 exceeds computing models

 simulation strategies of experiments mix full G4 and fast simulation

	G4	fast sim.
CMS	360	0.8
ATLAS	1990	7.4

• ttbar events, in kSI2K sec

 $\bullet$  G4 differences: calo.modeling , phys.list,  $\eta$  cuts, b-field

### fast simulation engines

- ➡ fast calo. simulation (parameterization, showers libraries, ...)
- → simplified (tracking) geometries
- ➡ simplify physics processes w.r.t. G4
- → output in same data model as full sim.
- → able to run full reconstruction (+trigger)









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### Backto Tracking: Track Fitting

finding hits associated to one track

### • task of a track fit:

track estimate the track parameters from a set parametereasurementss):

#### measurement model

more difficult with hoise and hits from

$$\boldsymbol{m}_k = \boldsymbol{h}_k(\boldsymbol{q}_k) + \boldsymbol{\gamma}_k$$

- with:  $h_k \sim$  functional dependency of measurement on e.g. track angle
  - $\gamma_k \sim \text{error (noise term)}$
- in  $H_k = \frac{\partial m_k}{\partial q_k}$  ~ Jacobian, often contains only rotations and projections

any practice those are clusters, drift circles, ...

### examples for fitting techniques

- ➡ Least Square track fit or Kalman Filter track fit
- → more specialized versions: Gaussian Sum Filter or Deterministic Annealing Filters







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### Classical Least Square Track Fit

**Cari Friedrich Gauss** is credited with developing the fundamentals of the basis for least-squares analysis in 4795 at the age of eighteen. Legendre was the first to publish the method, however.

#### $\bullet$ construct and minimize the $\chi^2$ function:

$$\chi^{2} = \sum_{k} \Delta m_{k}^{T} G_{K}^{-1} \Delta m_{k} \quad \text{with:} \quad \Delta m_{k} = m_{k} - d_{k} \left( p \right)$$

$$d_{k} \text{ contains measurement model and propagation of the parameters } p : \quad d_{k} = h_{k} \circ f_{k|k-1} \circ \cdots \circ f_{2|1} \circ f_{1|0}$$

$$G_{k} \text{ is the covariance matrix of } m_{k} \text{. Linearize the problem}$$

$$d_{k} \left( p_{0} + \delta p \right) \cong d_{k} \left( p_{0} \right) + D_{k} \cdot \delta p + \text{higher terms}$$
with Jacobian: 
$$D_{k} = H_{k} F_{k|k-1} \cdots F_{2|1} F_{1|0}$$
minimizing the linearized  $\chi^{2}$  yields:

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vandseca

$$\frac{\partial \chi^2}{\partial p} = 0 \implies \left\{ \delta p = \left( \sum_k D_k^T G_k^{-1} D_k \right)^{-1} \sum_k D_k^T G_k^{-1} \left( m_k - d_k(p_0) \right) \right\}$$
  
and covariance of  $\delta p$  is:  $C = \left( \sum_k D_k^T G_k^{-1} D_k \right)^{-1}$ 



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## Classical Least Square Track Fit

### material effects

- ightarrow can be absorbed in track model  $\mathbf{f}_{\mathbf{k}|\mathbf{i}}$  , provided effects are small
- → for substantial multiple scatting, allows for **scattering angles** in the fit

### scattering angles

- $\rightarrow$  on each material surface, add 2 angles  $\delta \theta_i$  as fee parameters to the fit
- expected mean of those angles is 0 (!), their covariance Q<sub>i</sub> is given by multiple scattering in x/X<sub>0</sub>

### • changes to $\chi^2$ formula on previous slide

$$\chi^{2} = \sum_{k} \Delta m_{k}^{T} G_{K}^{-1} \Delta m_{k} + \sum_{i} \delta \theta_{i}^{T} Q_{i}^{-1} \delta \theta_{i}$$
  
with:  $\Delta m_{k} = m_{k} - d_{k} (p, \delta \theta_{i})$ 

he texpected cointre o

 $\Rightarrow$  computationally expensive: *need to invert a* (5+2\**n*) *matrix* dent on the initial state  $\Rightarrow$  advantage is that the fitted track precisely follows the ectory  $\cdot$ . In some approximately particle trajectory: (e.g. for ATLAS muon reconstruction)

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 a Kalman Filter is a progressive way of performing a least square fit

➡ mathematically equivalent

• how does the filter work ?

1. trajectory parameters at point k-1





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- update predicted parameters with measurement k (simple weighted mean or gain matrix update)
- 4. and start over with 1.





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#### material effects (multiple scattering and energy loss)

→ incorporated in the propagated parameters (prediction)



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#### material effects (multiple scattering and energy loss)

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➡ and therefore enters into the updated parameters at point k







#### • in its minimal form

- Kalman filter track fit proceeds in the direction opposite to the particle's flight (backward filter)
- parameter estimate near production vertex contains information of all hits and therefore is most prices
- → fastest version of a Kalman filter track fit





#### combining forward with backward filter

- precise parameter estimates at end of track (e.g. near calorimeter entry point) and near production vertex
- → forward filter parameter can be used to start backward filter





#### • Kalman smoother can be run to obtain precise

#### parameters everywhere along the trajectory

- → run after backward filter, gives best estimates along the track
- → computationally expensive, need to invert matrix of rank 5 for each point



### • in mathematical terms:

1. propagate  $p_{k-l}$  and its covariance  $C_{k-l}$ :  $q_{k|k-1} = f_{k|k-1}(q_{k-1|k-1})$   $C_{k|k-1} = F_{k|k-1}C_{k-1|k-1}F_{k|k-1}^{T} + Q_{k}$ with  $Q_{k} \sim noise$  term (M.S.) <sup>k</sup> 2. update prediction to get  $q_{k|k}$  and  $C_{k|k}$ :  $q_{k|k} = q_{k|k-1} + K_{k}[m_{k} - h_{k}(q_{k|k-1})]$  $C_{k|k} = (I - K_{k}H_{k})C_{k|k-1}$ 

with  $K_k \sim \text{gain matrix}$ :

$$\boldsymbol{K}_{k} = \boldsymbol{C}_{k|k-1} \boldsymbol{H}_{k}^{\mathrm{T}} (\boldsymbol{G}_{k} + \boldsymbol{H}_{k} \boldsymbol{C}_{k|k-1} \boldsymbol{H}_{k}^{\mathrm{T}})^{-1}$$

- alternative to gain matrix approach is a weighted mean to obtian pkk
  - but requires to invert 5x5 matrix
  - instead of a matrix of **rank(G<sub>k</sub>)**



#### • Kalman Smoother: • provides full information along track proceeds from layer k+1 to layer k: $q_{k|n} = q_{k|k} + A_k(q_{k+1|n} - q_{k+1|k})$ $C_{k|n} = C_{k|k} - A_k(C_{k+1|k} - C_{k+1|n})A_k^T$ with $A_k \sim$ smoother gain matrix : $A_k = C_{k|k}F_{k+1|k}^T(C_{k+1|k})^{-1}$

→ equivalent: combine forw./back. filter



## Brem. Fitting for Electrons

#### material in tracker

 $\rightarrow$  e-bremsstrahlung and  $\gamma$ -conversions

### electron efficiency limited

- momentum loss due to bremsstrahlung leads to large changes in track curvature
- fit is biased towards small momenta or fails completely

### techniques to allow for bremsstrahlung in track fitting

- ➡ brem. point in Least Square track fit
- ➡ Kalman Filter with dynamic noise adjustment
- ➡ Gaussian Sum Filter







## Gaussian Sum Filter

- approximate Bethe-Heitler distribution as Gaussian mixture
- state vector after material correction becomes sum of Gaussian components
- ➡ GSF resembles set of parallel Kalman Filters for N components
- → computationally expensive !
- ➡ default electron fitter in CMS and ATLAS









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## **Deterministic Annealing Filters**

### robust technique

- ➡ developed for fitting with high occupancies
  - e.g. ATLAS TRT with high event pileup
  - reconstruction of 3-prong τ decays
- → can deal with several close by hits on a layer

### adaptive fit

multiply weight of each hit in layer with assignment probability:

$$p_{ik} = \frac{\exp\left(-\hat{d}_{ik}^2/T\right)}{\sum_{j=1}^{n_k} \exp\left(-\hat{d}_{jk}^2/T\right)} \quad \text{with}$$

with: 
$$\hat{d_{ik}} = d_{ik}/\sigma_k$$

normalized distance

- process decreasing temperature T is called annealing (iterative)
  - start at high T ~ all hits contribute same
  - at low T ~ close by hits remain



➡ can be written as a Multi Track Filter





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## Track Finding: Can you find the 50 GeV track?





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## Track Finding: Can you find the 50 GeV track?





## Track Finding

### • the task of the track finding

- → identify **track candidates** in event
- cope with the combinatorial explosion of possible hit combinations
- different techniques
  - rough distinction: local/sequential and global/parallel methods
  - ➡ local method: generate seeds and complete them to track candidates
  - global method: simultaneous
    clustering of detector hits into track
    candidates

### some local methods

- ➡ track road
- ➡ track following
- progressive track finding



- some global methods
  - → conformal mapping
    - Hough and Legendre transform
  - ➡ adaptive methods
    - Hopfield network, Elastic net, Cellular automaton ... (will not discuss the latter)



## **Conformal Mapping**

### Hough transform

cycles through the origin in x-y transform into straight lines in u-v

$$u = \frac{x}{x^2 + y^2}, \quad v = \frac{y}{x^2 + y^2}$$
$$\implies v = -\frac{x}{y}u + \frac{x^2 + y^2}{2y}$$

 search for maxima (histogram) in parameter space to find track candidates

### Legendre transform

- used for track finding in drift tubes
- drift radius is transformed into sine-curves in Legendre space
- ➡ solves as well L-R ambiguity







Interfection of the second second

### Track Road algorithm

- track fit (estimation of track parameters and errors):
- More difficult with noise and hits from secondary particles
- possibility of fake reconstruction
- in modern track reconstruction, this classical picture does not work anymore







track find(seeds at combinations of 2-3 hits parameters and errors):

more difficult with noise and hits from secondary particles

possibility of fake reconstruction

 in modern track reconstruction, this classical picture does not work anymore







## Interfection of the second second

### Track Road algorithm

track find seeds combinations of 2-3 hits parer build road along the likely trajectory

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## LOGaba Fack Finding

finding hits associated to one track

### Track Road algorithm

track find seeds combinations of 2-3 hits parambuild road along the likely trajectory

- select hits on layers to obtain candidates
- More difficult with noise and hits from secondary particles
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- ➡ select hits on layers to obtain candidates
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# mertrack Followinge and hits from Sec find seeds combinations of 2-3 hits extrapolate seed along the likely trajectory select hits on layers to obtain candidates

### Progressive Track Finder

in n find seeds k combinations of 2-3 hits classical picture does not work anymore







## LOGaba Fack Finding

finding hits associated to one track

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### Progressive Track Finder

In matrix find seeds & combinations of 2-3 hits classic extrapolate seed to next layer, anymfind hit and update trajectory







## Interpretation

finding hits associated to one track

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### Progressive Track Finder

- in n find seeds ~ combinations of 2-3 hits classiextrapolate seed to next layer, anymfind hit and update trajectory
  - → repeat until last layers to obtain **candidates**







## Interpretation

finding hits associated to one track

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### Combinatorial Kalman Filter

- extension of a Progressive Track Einder
- → full combinatorial exploration

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## **Ambiguity Solution**

### track selection cuts

- → applied at every stage in reconstruction
- → still more candidates than final tracks

### task of ambiguity solution:

- ➡ select good tracks and reject fakes
- construct quality function ("score") for each candidate:
  - 1. hit content, holes
  - 2. number of shared hits
  - 3. fit quality...
- ➡ candidates with best score win
- if too many shared hits, create subtracks if if possible
- ➡ in case of ATLAS: as well precise fit

#### • DELPHI (LEP), LC-Detector:

- ➡ full recursive ambiguity processor
- ➡ D.Wicke, M.E.









## ... and in Practice ?

### choice of reconstruction strategy depends on:

- ➡ detector technologies
- ➡ physics/performance requirements
- occupancy and backgrounds
- → technical constraints (CPU, memory)

### even for same detector setup one looks at different types of events:

- ➡ test beam
- ➡ cosmics
- ➡ trigger (regional)
- ➡ offline (full scan)

### track reconstruction used by experiments

- → usually apply a **combination of different techniques**
- often iterative ~ different strategies run one after the other to obtain best possible performance within resource constraints





est bean:



#### pre-precessing

- ➡ Pixel+SCT clustering
- ➡ TRT drift circle formation
- → space points formation







pre-precessing



### combinatorial track finder

- ➡ iterative :
  - 1. Pixel seeds
  - 2. Pixel+SCT seeds
  - 3. SCT seeds
- restricted to roads
- bookkeeping to avoid duplicate candidates

### ambiguity solution

- precise least square fit with full geometry
- selection of best silicon tracks using:
  - 1. hit content, holes
  - 2. number of shared hits
  - 3. fit quality...

### extension into TRT

- progressive finder
- refit of track and selection









- on remaining drift circles
- → uses Hough transform

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#### 32

refit of track and selection



pre-precessing

Pixel+SCT clustering





### TRT segment finder

- on remaining drift circles
- ➡ uses Hough transform

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### combinatorial track finder

- ➡ iterative :
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### extension into TRT

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## Let's Summarize...

- discussed concepts for track reconstruction
- have overview of strategies and mathematical tools
- discussed an example of a track reconstruction package (ATLAS NewTracking)
- next is to talk about vertexing and its applications

