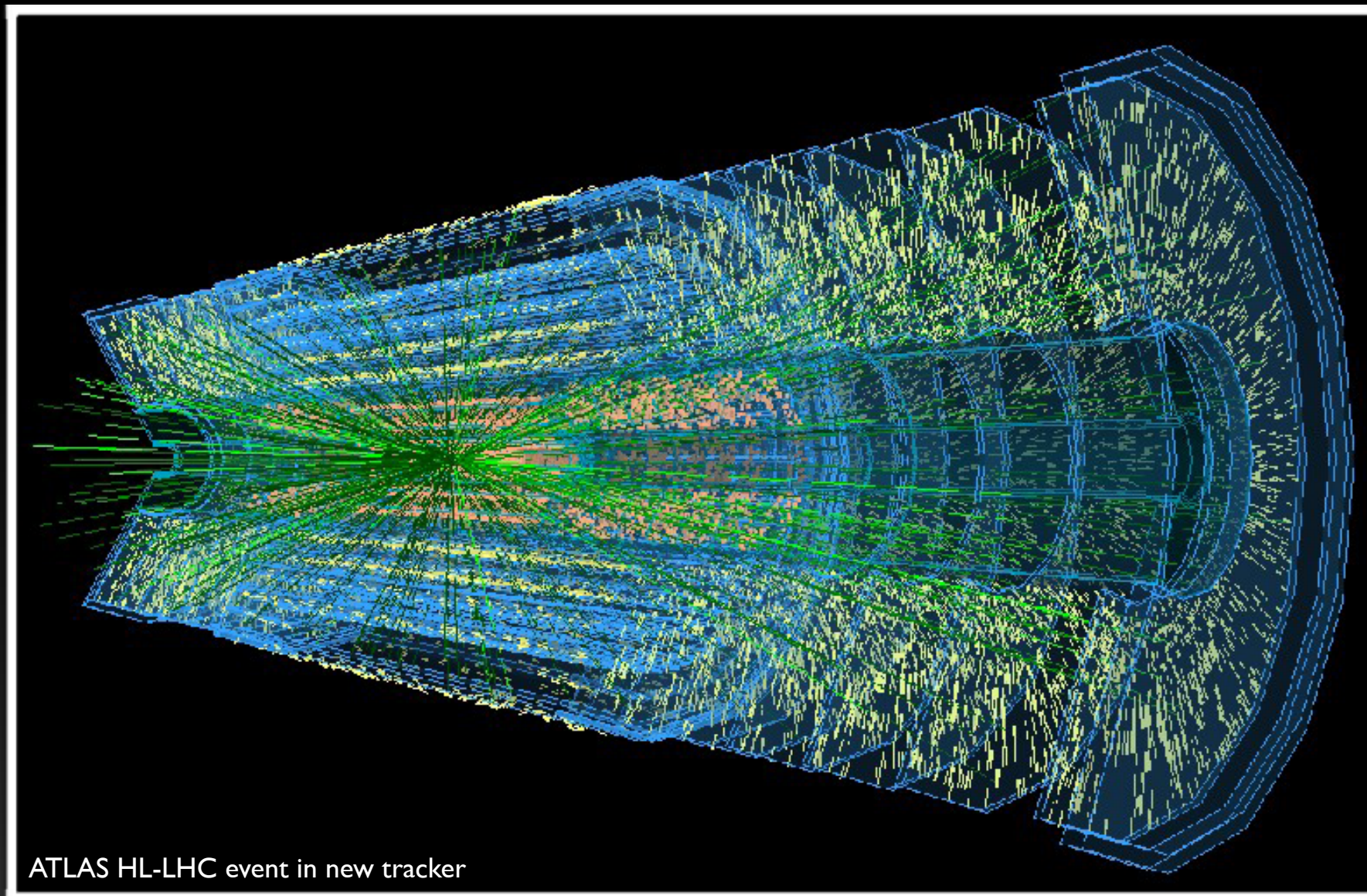


Reconstructing Events, from Electronic Signals to Tracks

CERN Openlab Summer Student Lecture
Markus Elsing, 24 July 2014



ATLAS HL-LHC event in new tracker



About this **Lecture**

- this lecture was originally written for physics students
 - ➔ but it is not required to be a physicist to follow this lecture (I think)
 - ➔ I will speak more about concepts and techniques, so don't get lost in details which I will flag as such
 - ➔ some (basic) knowledge on statistics helps for the mathematical details
- don't be afraid to stop me and ask
 - ➔ it is probably me not explaining things well enough
 - I may take too many things for granted or may use slang
 - ➔ we want to make this as useful as possible for YOU

➔ further reading: <http://elsing.web.cern.ch/elsing/teaching.html>



Event Reconstruction



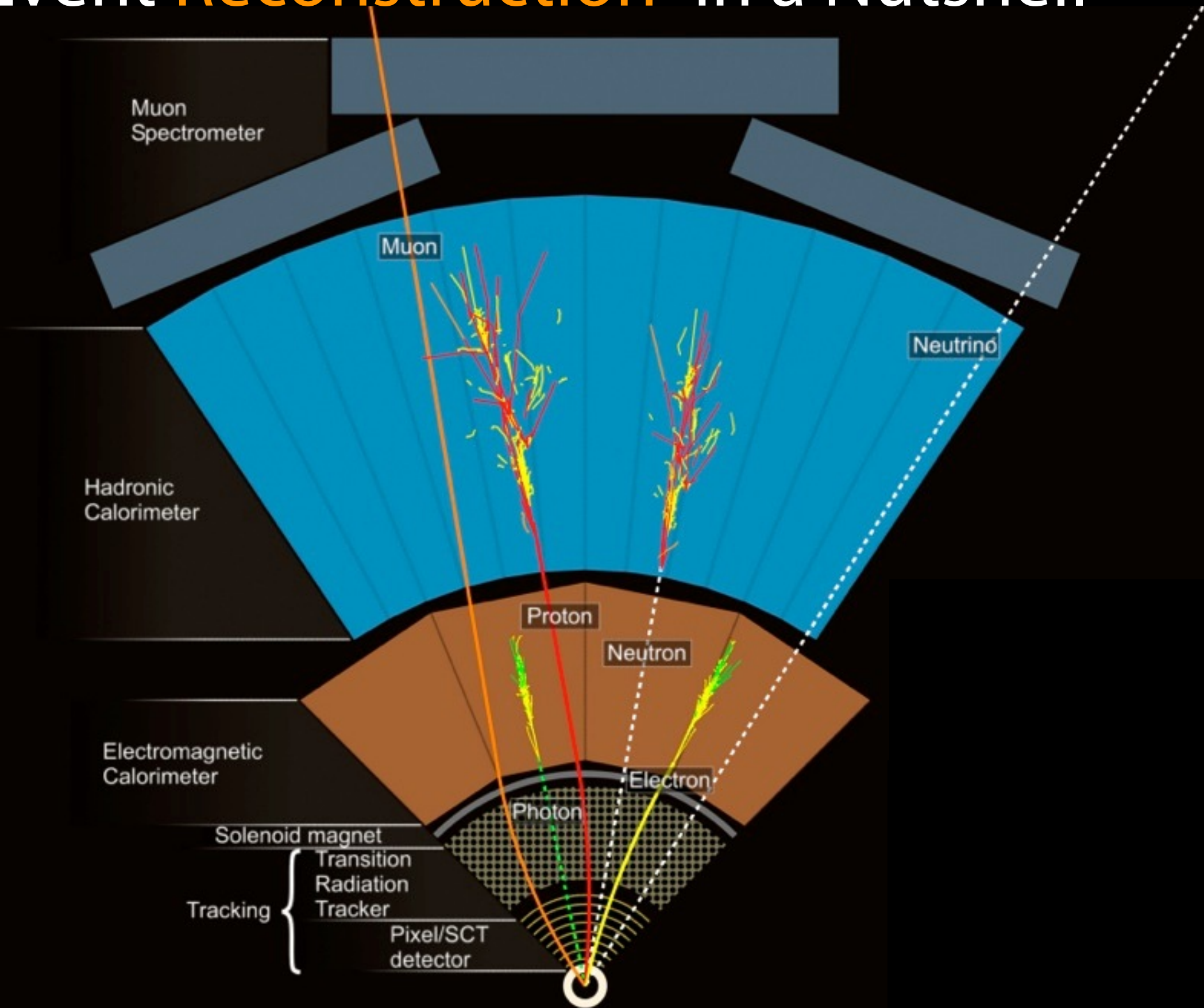
- ➔ LHC experiments are giant "cameras" to take "pictures" of p-p collisions
 - taking a picture every 25 nsec (40 MHz) with 100 million channels
- ➔ task of the reconstruction is the interpretation of the picture !
 - answer the question: which particles were produced ?

Event Reconstruction

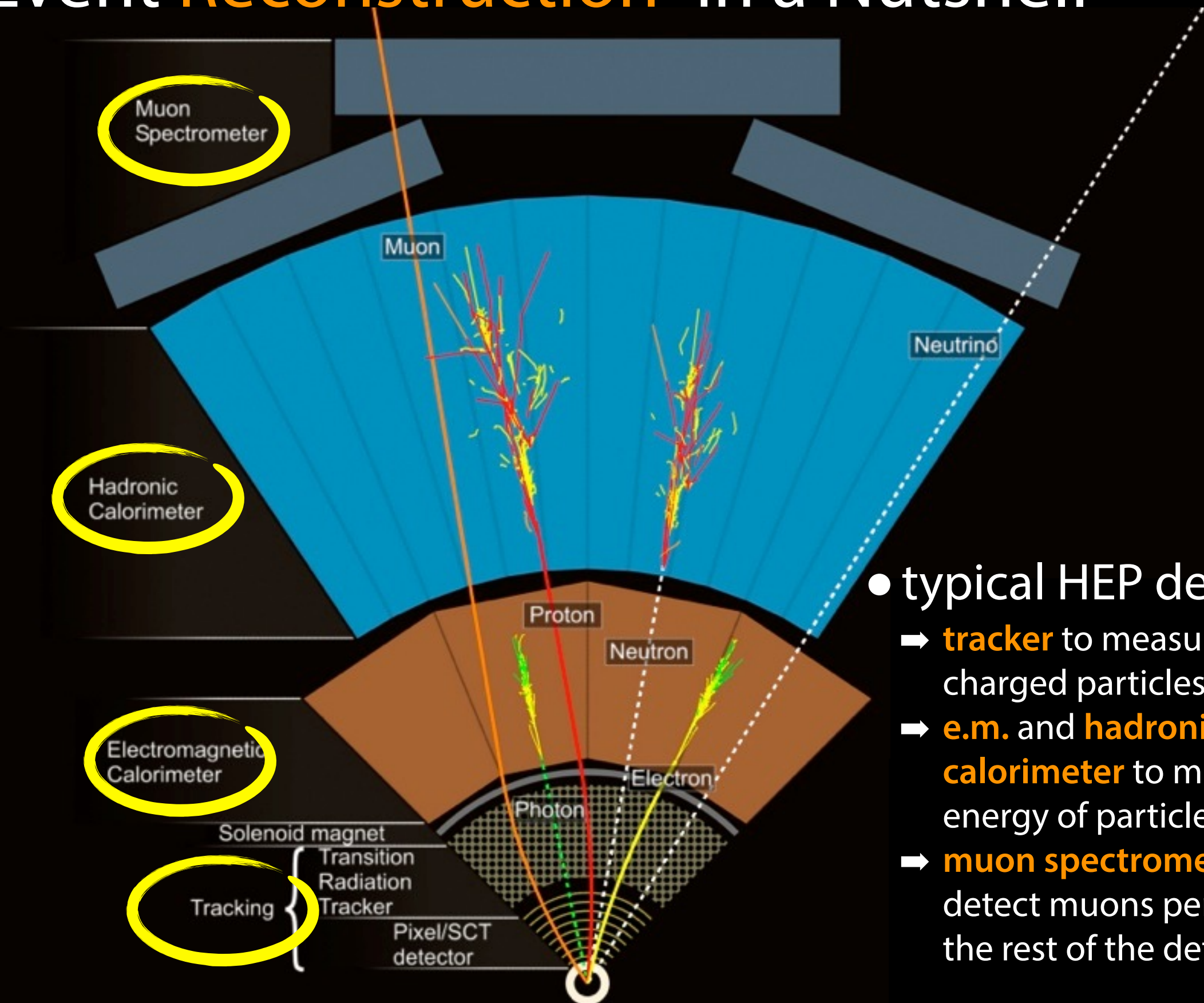


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Event Reconstruction “in a Nutshell”



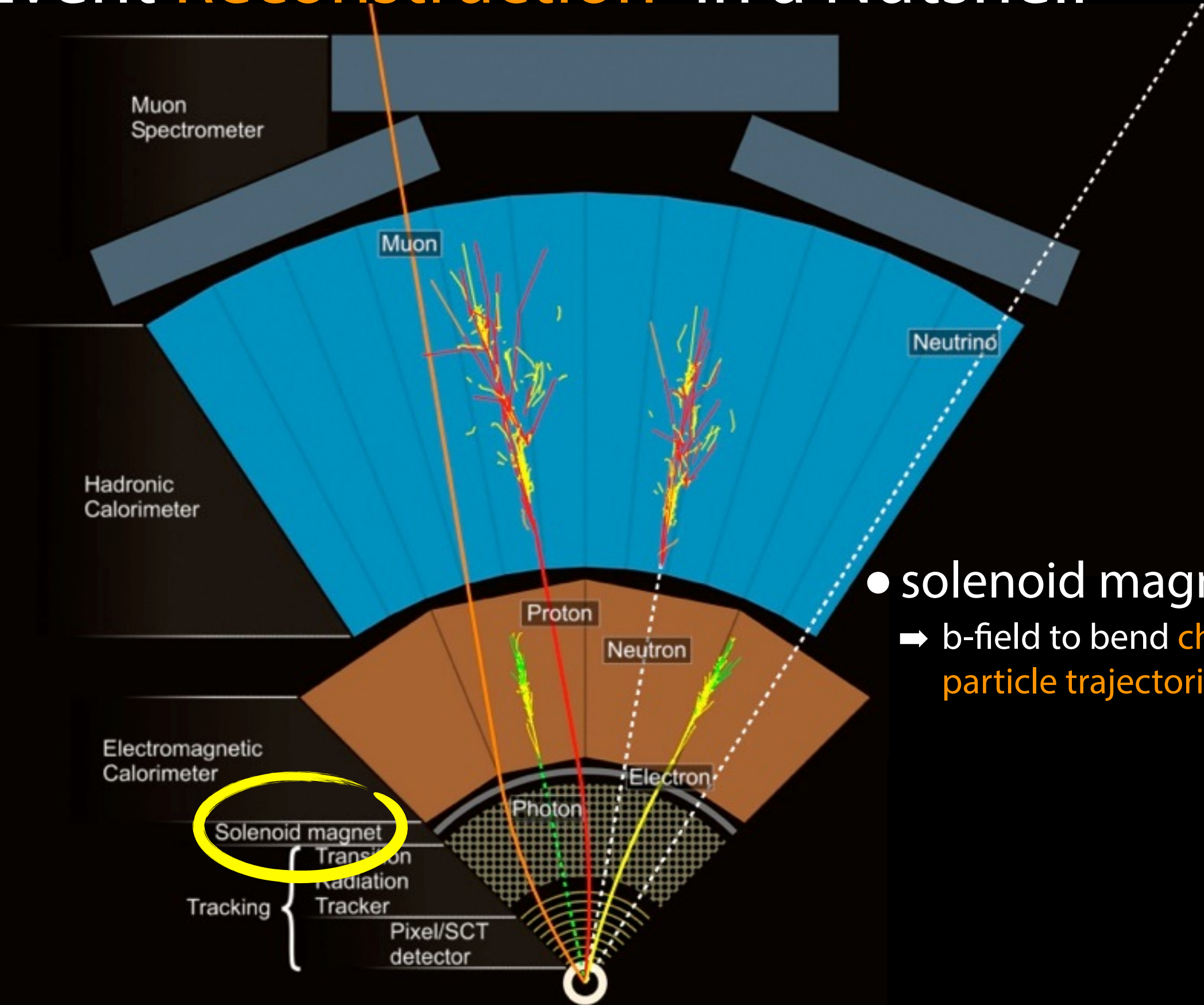
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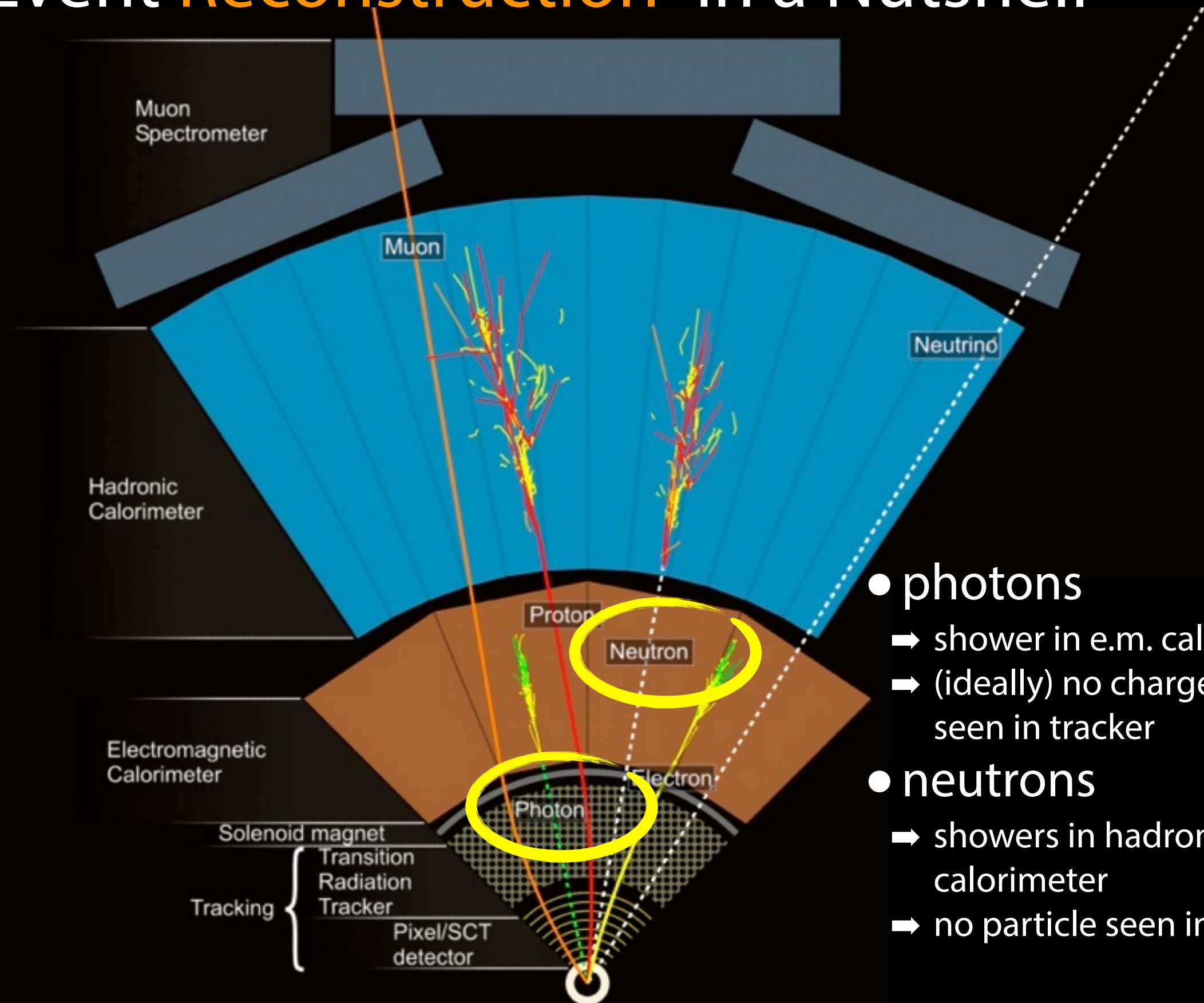
- typical HEP detector
 - ➔ **tracker** to measure charged particles
 - ➔ **e.m.** and **hadronic calorimeter** to measure energy of particles (jets)
 - ➔ **muon spectrometer** to detect muons penetrating the rest of the detector



Event Reconstruction “in a Nutshell”



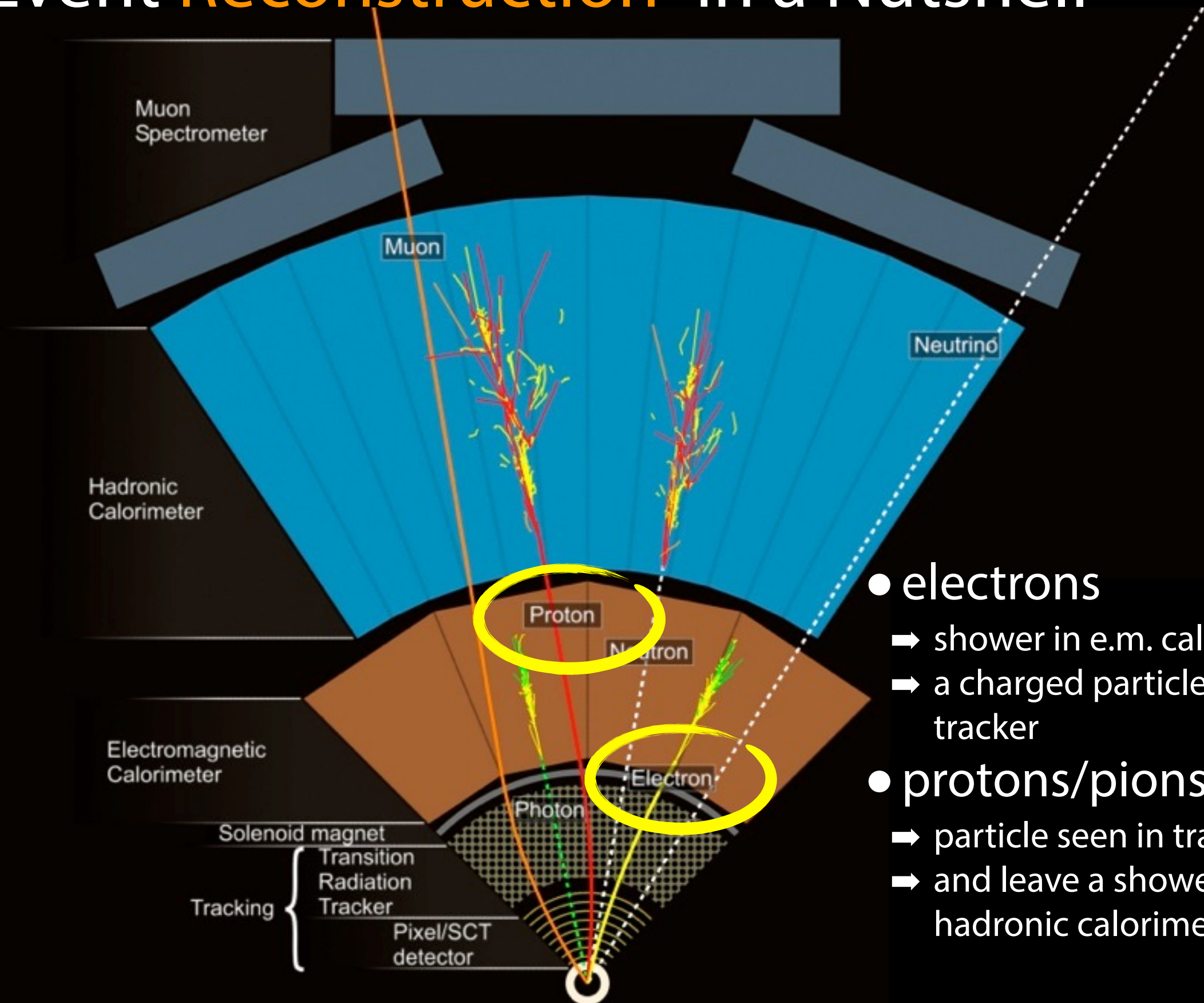
Event Reconstruction “in a Nutshell”



- photons
 - ➔ shower in e.m. calorimeter
 - ➔ (ideally) no charged particle seen in tracker
- neutrons
 - ➔ showers in hadronic calorimeter
 - ➔ no particle seen in tracker



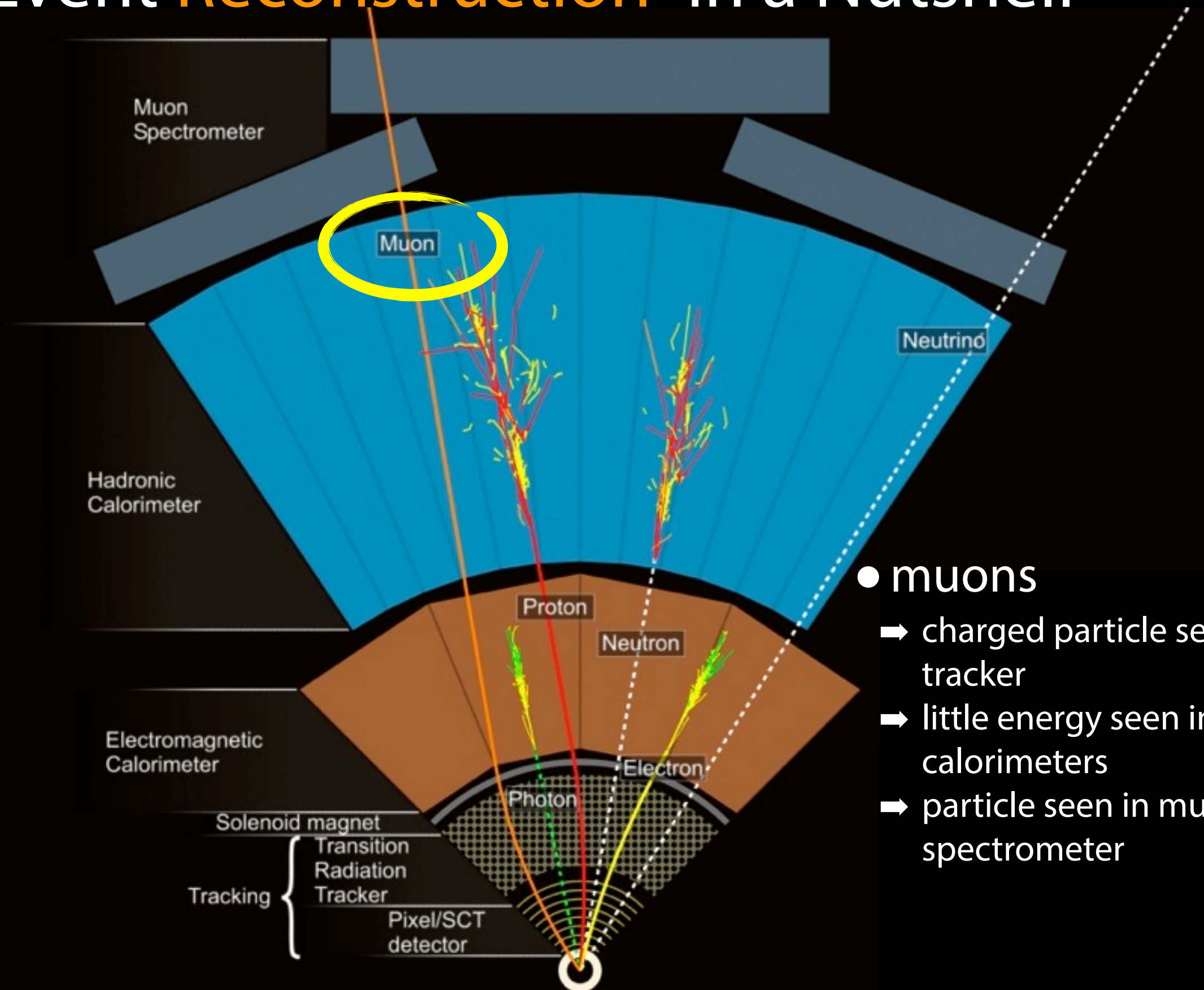
Event Reconstruction “in a Nutshell”



- electrons
 - ➔ shower in e.m. calorimeter
 - ➔ a charged particle seen in tracker
- protons/pions
 - ➔ particle seen in tracker
 - ➔ and leave a showers in hadronic calorimeter



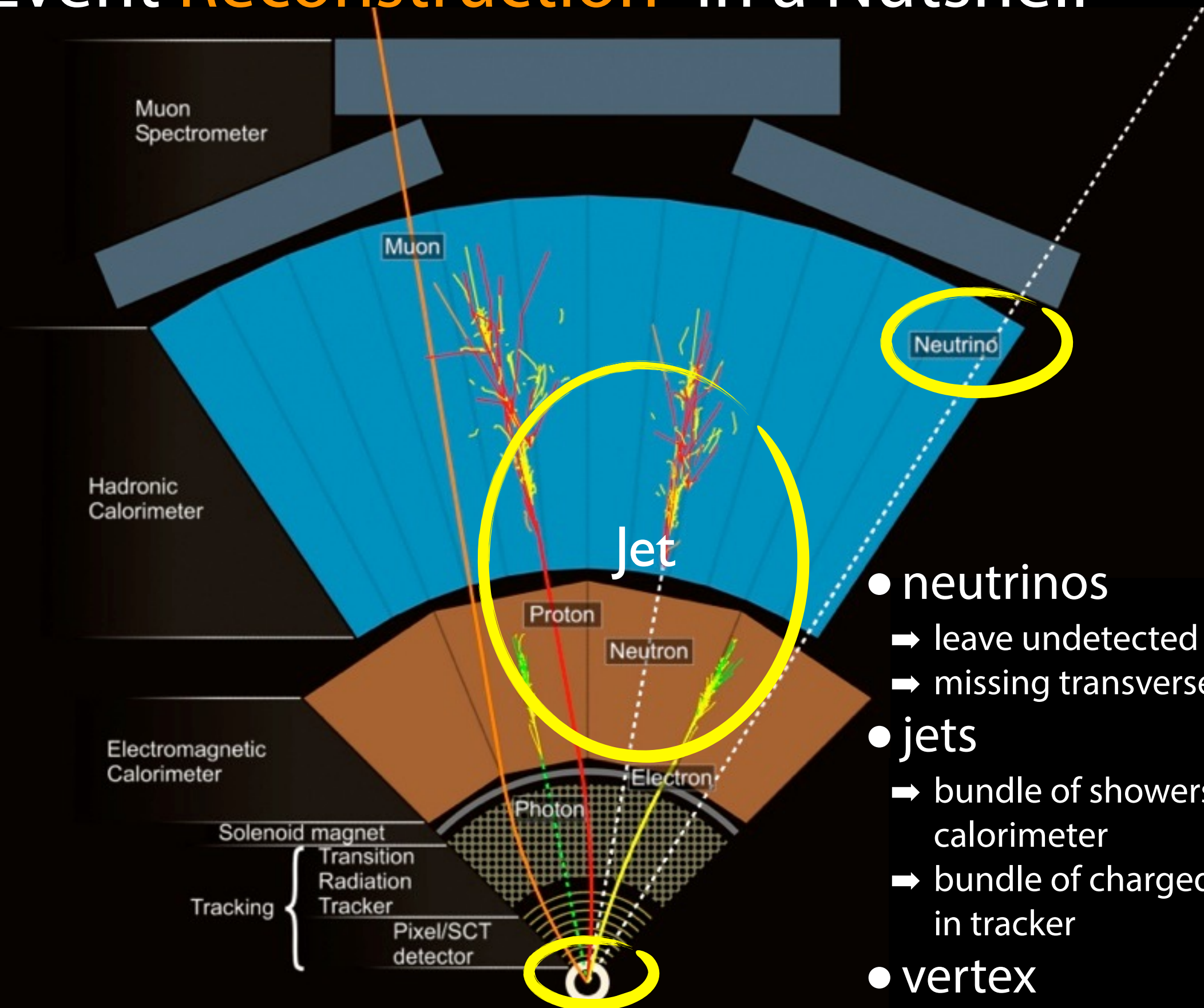
Event Reconstruction “in a Nutshell”



- muons
 - ➔ charged particle seen in tracker
 - ➔ little energy seen in calorimeters
 - ➔ particle seen in muon spectrometer



Event Reconstruction “in a Nutshell”

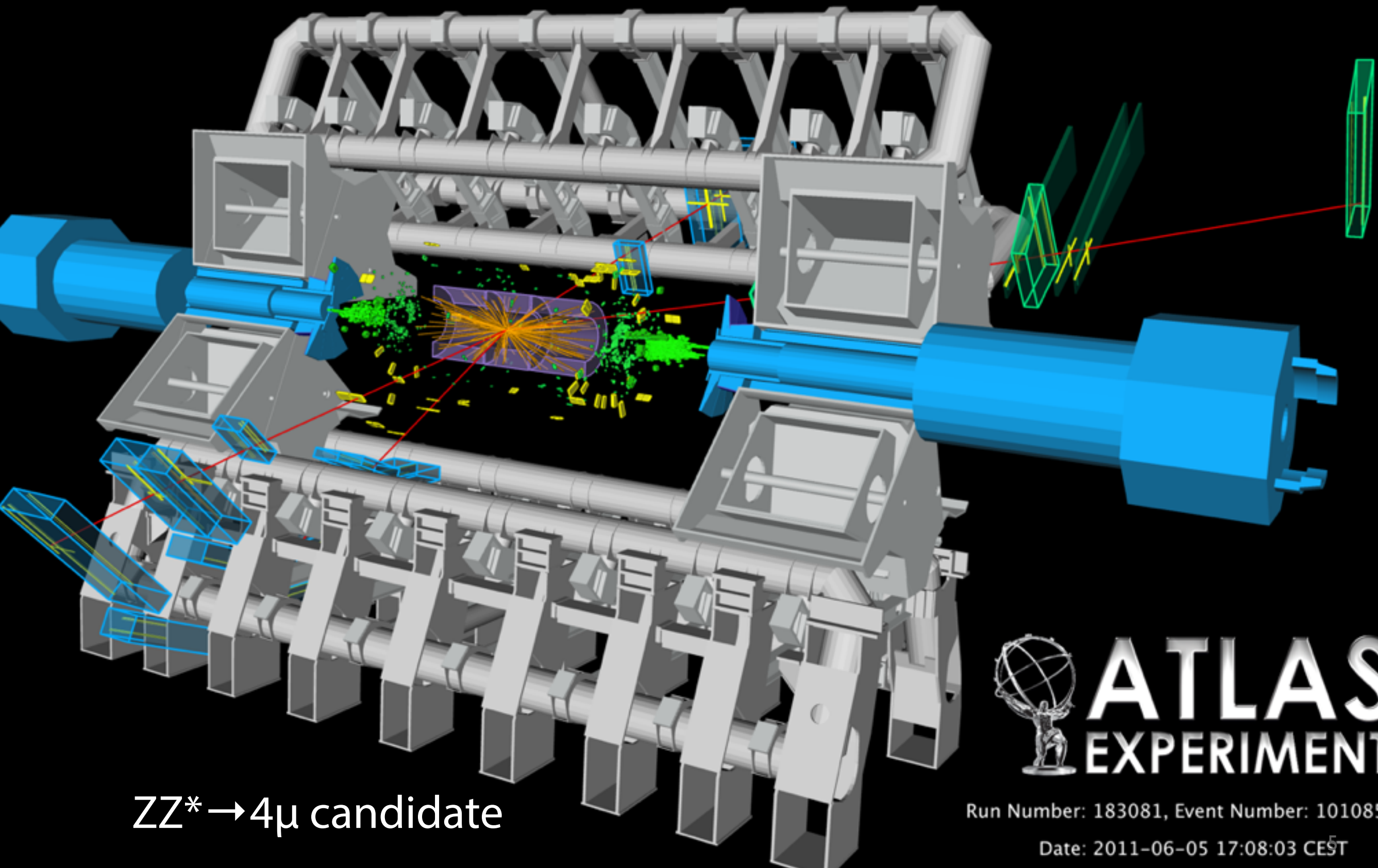


- neutrinos
 - ➔ leave undetected
 - ➔ missing transverse energy
- jets
 - ➔ bundle of showers in calorimeter
 - ➔ bundle of charged particles in tracker
- vertex



In Reality ?

... a bit more complicated



$ZZ^* \rightarrow 4\mu$ candidate



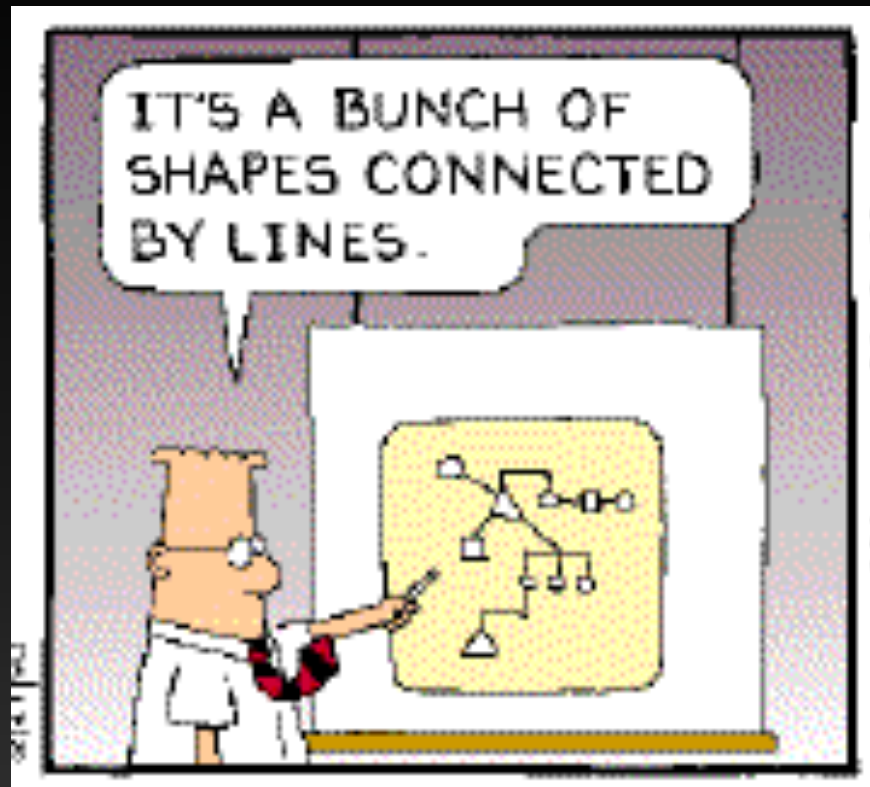
ATLAS
EXPERIMENT

Run Number: 183081, Event Number: 10108572

Date: 2011-06-05 17:08:03 CEST

Introduction

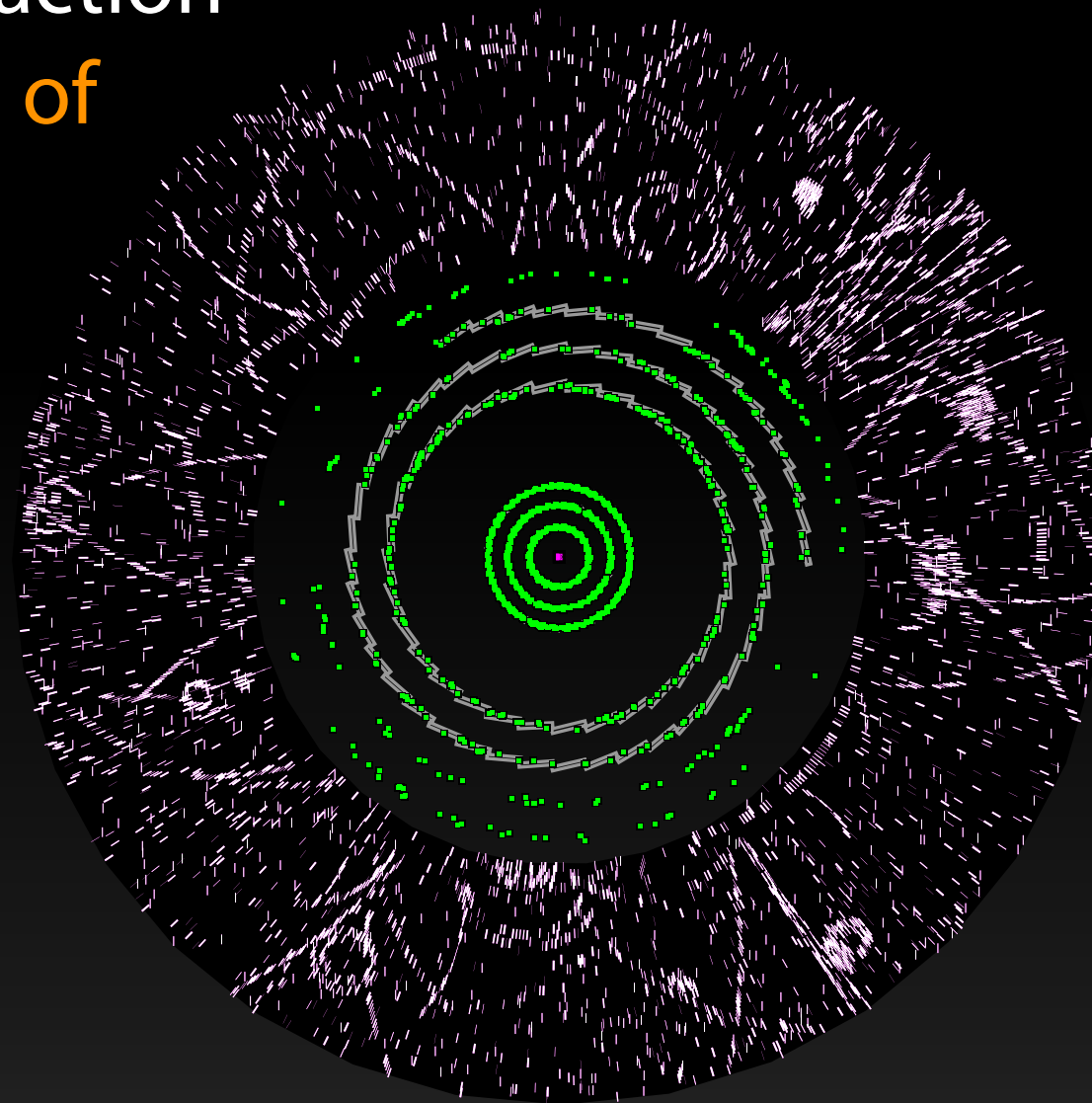
- in this lecture I will discuss the most complex and CPU consuming aspect of **event reconstruction** at the LHC
 - ➔ finding trajectories (**tracks**) of charged particles produced in p-p collisions
- will have to introduce various **techniques** for
 - ➔ pattern recognition, detector geometry, track fitting, extrapolation ...
 - ➔ including mathematical concepts and aspects of software design



... so **why** does it matter ?

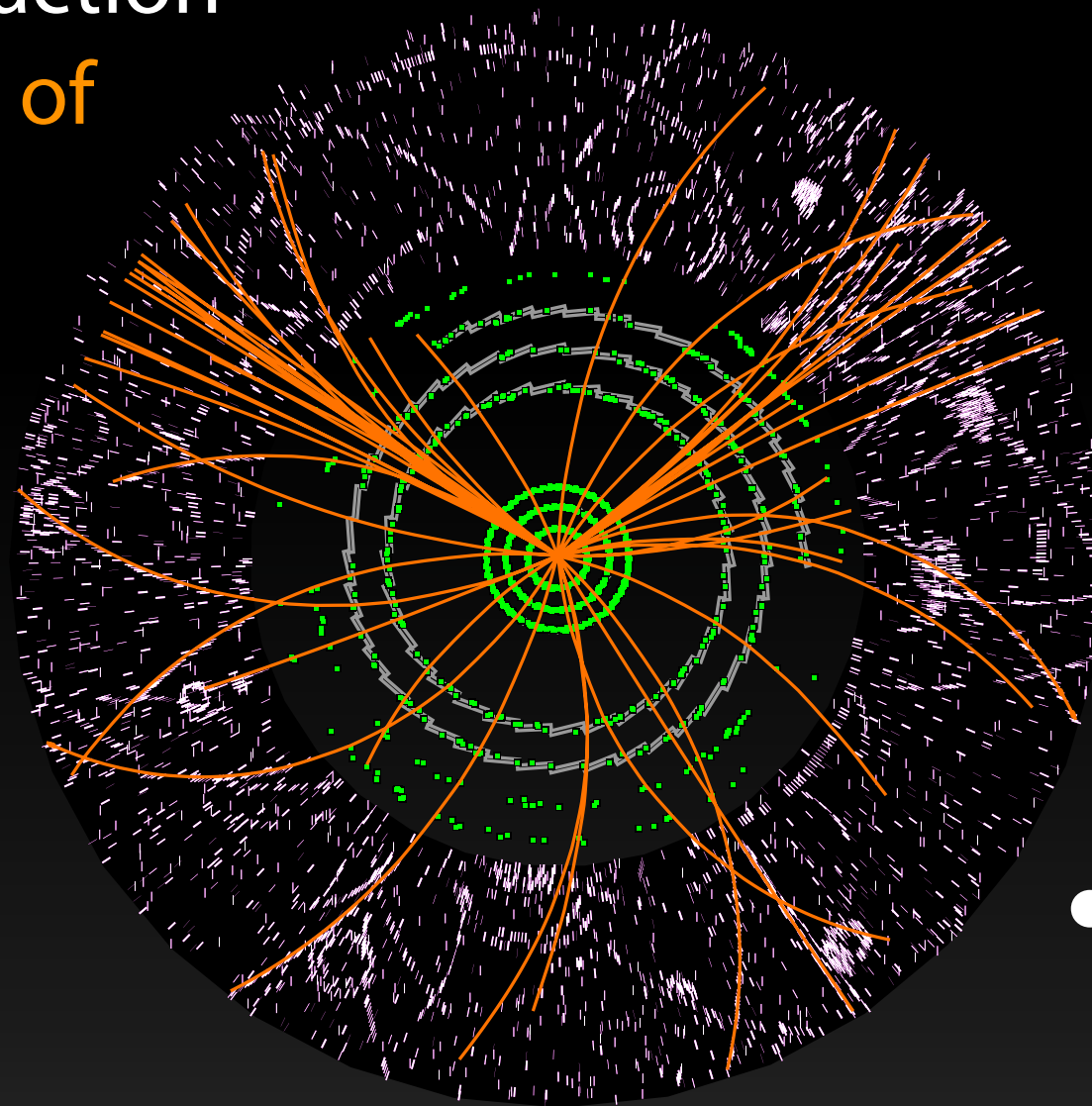
The Tracking Problem

- particles produced in a p-p interaction leave a cloud of hits in the detector



The Tracking Problem

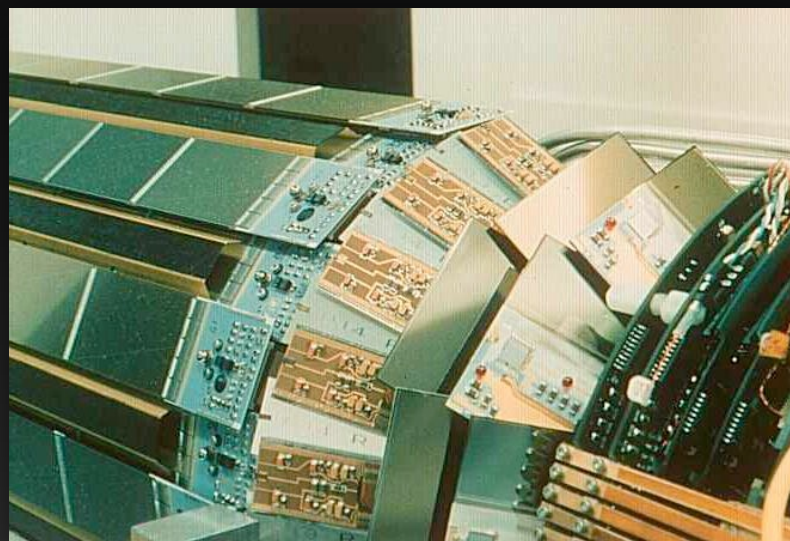
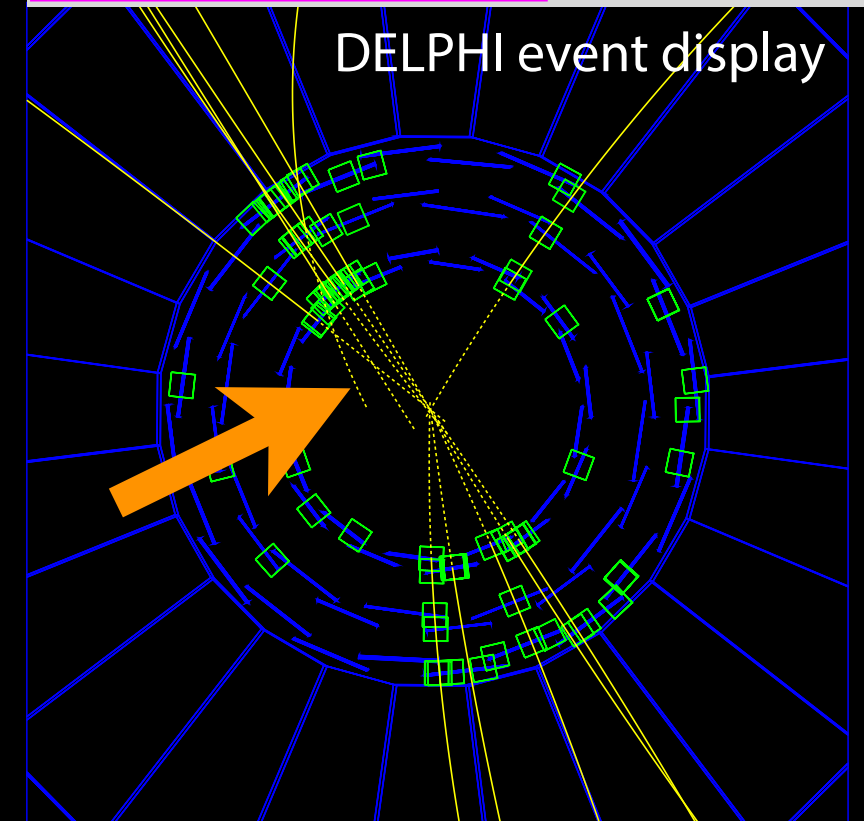
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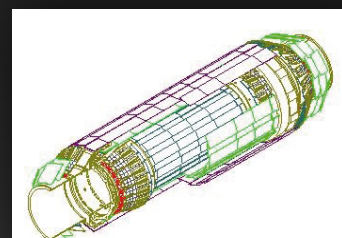
- tracking software is used to reconstruct their trajectories

Role of Tracking Software

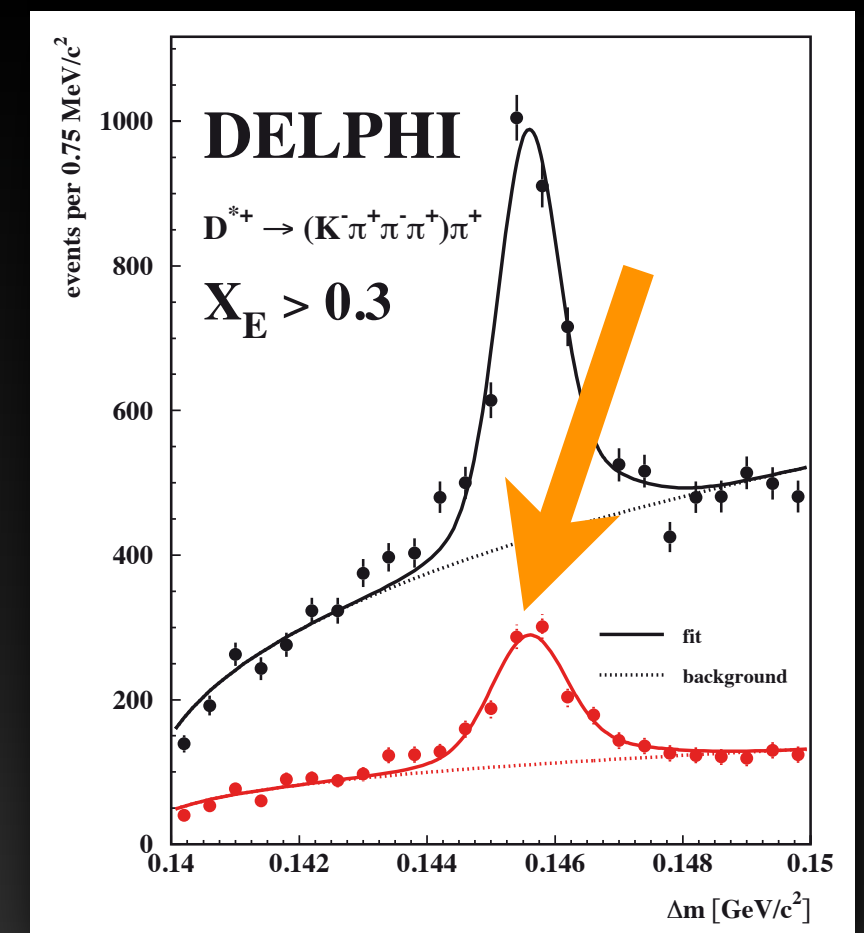
- **optimal** tracking software
 - ➔ required to fully **explore performance of detector**
- **example:** DELPHI Experiment at LEP
 - ➔ silicon vertex detector upgrade
 - initially not used in tracking to resolve dense jets
 - pattern mistakes in jet-chamber limit performance



DELPHI vertex detector



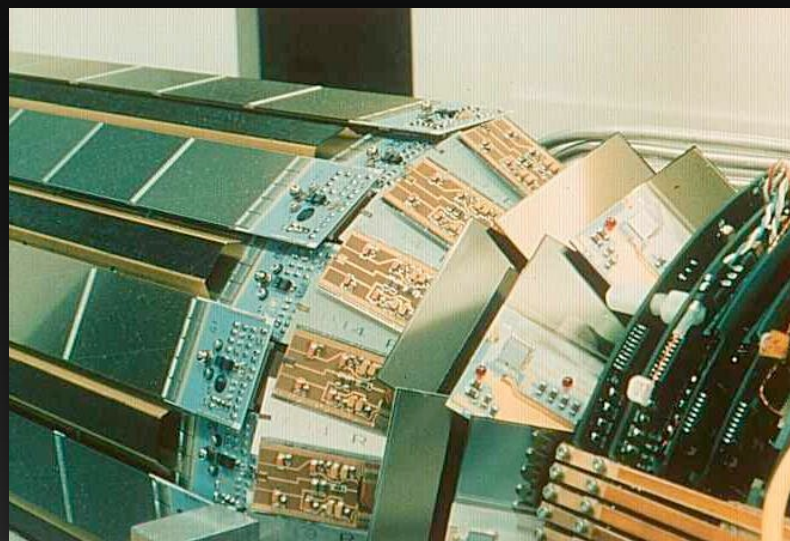
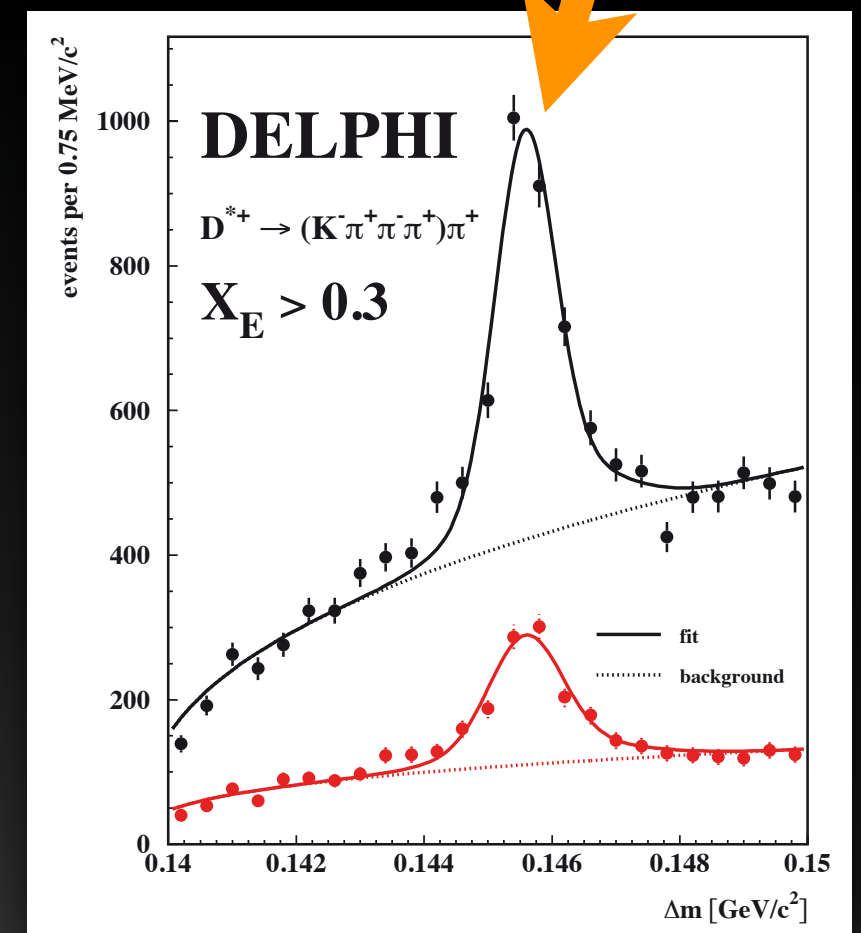
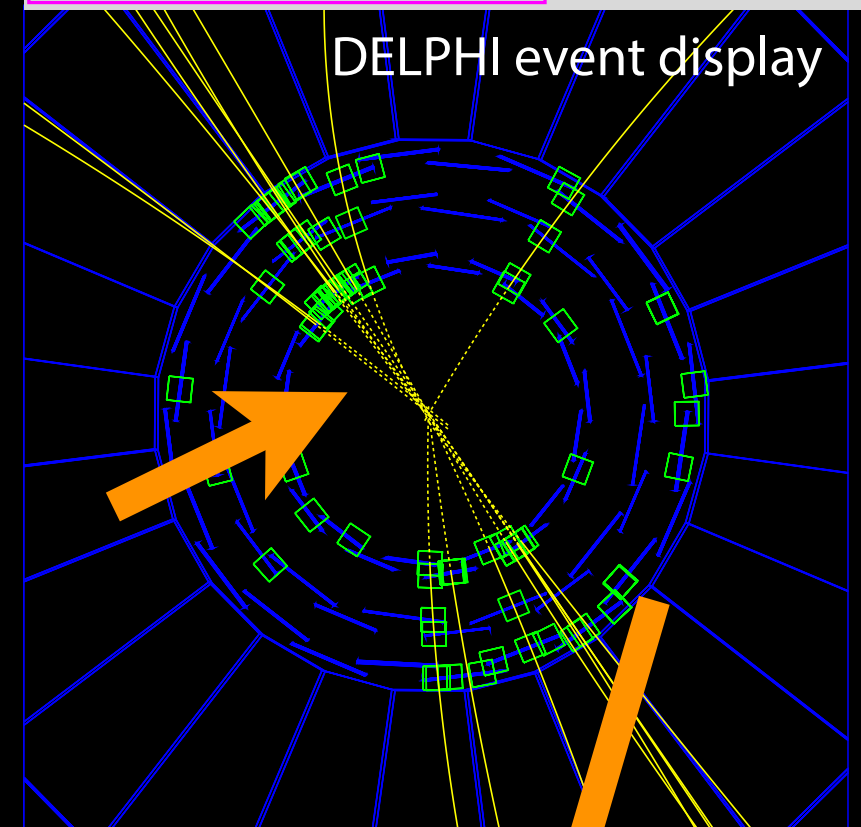
Markus Elsing



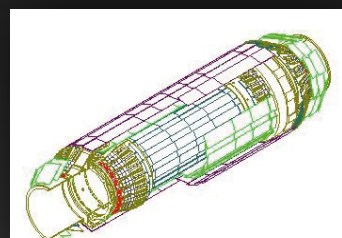
Role of Tracking Software

- **optimal** tracking software
 - ➔ required to fully **explore performance of detector**
- **example:** DELPHI Experiment at LEP
 - ➔ silicon vertex detector upgrade
 - initially not used in tracking to resolve dense jets
 - pattern mistakes in jet-chamber limit performance
 - ➔ 1994: **redesign of tracking software**
 - start track finding in vertex detector
 - ➔ **factor ~ 2.5 more D* signal** after reprocessing

(M.Feindt, M.E. et al)



DELPHI vertex detector

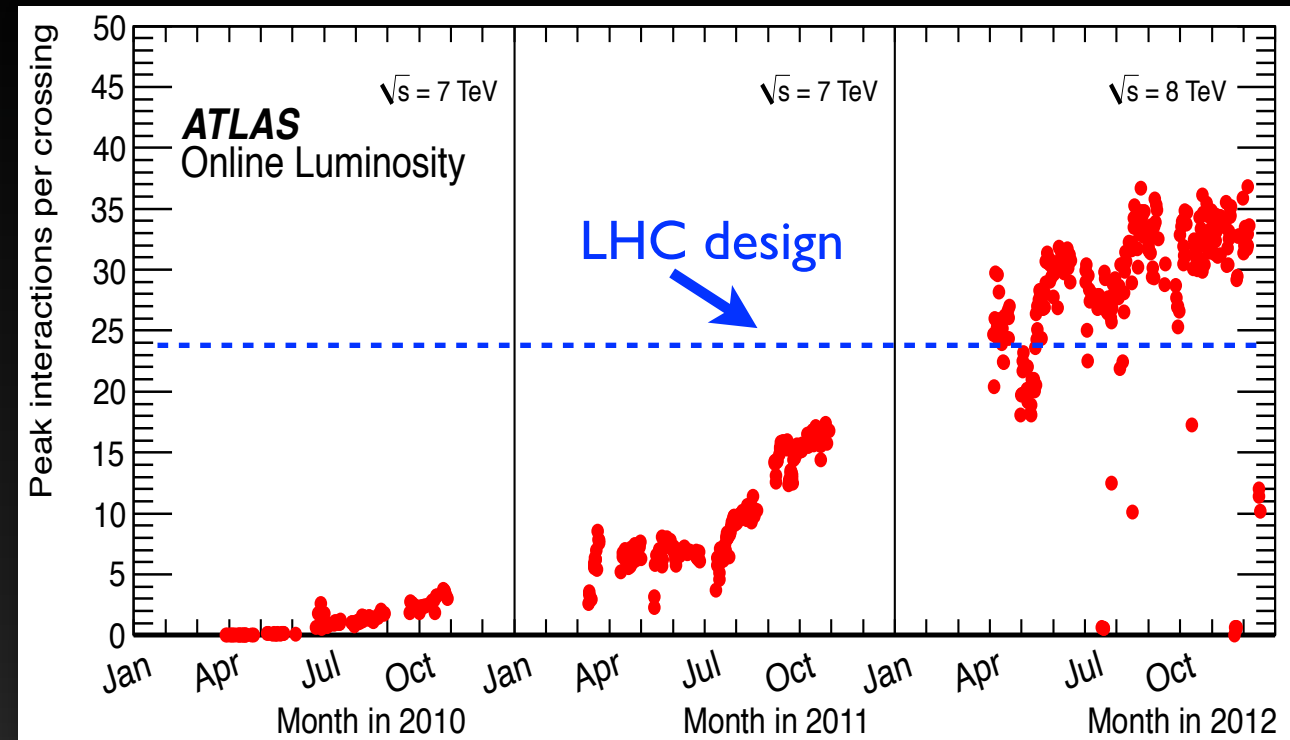


Markus Elsing

Tracking at the LHC ?

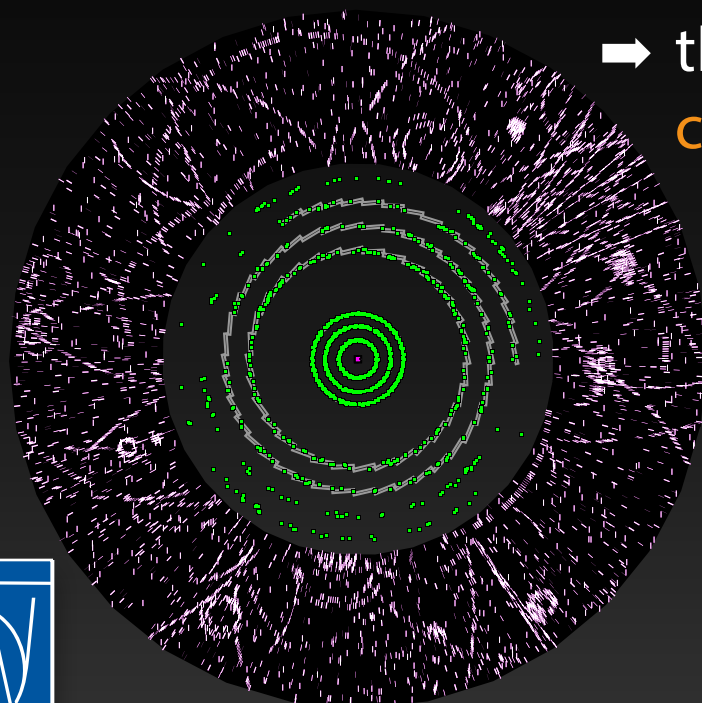
- reminder: (first lecture by Helge Meinhard)
 - ➔ LHC is a **high luminosity** machine
 - proton bunches collide every 25 (50) nsec in experiments
 - each time > 20 p-p interactions are observed ! (**event pileup**)
 - ➔ our detectors see hits from particles produced by all > 20 p-p interactions
 - **~ 100 particles** per p-p interaction
 - each charged particle leaves **~ 50 hits**

pileup display shown by Helge



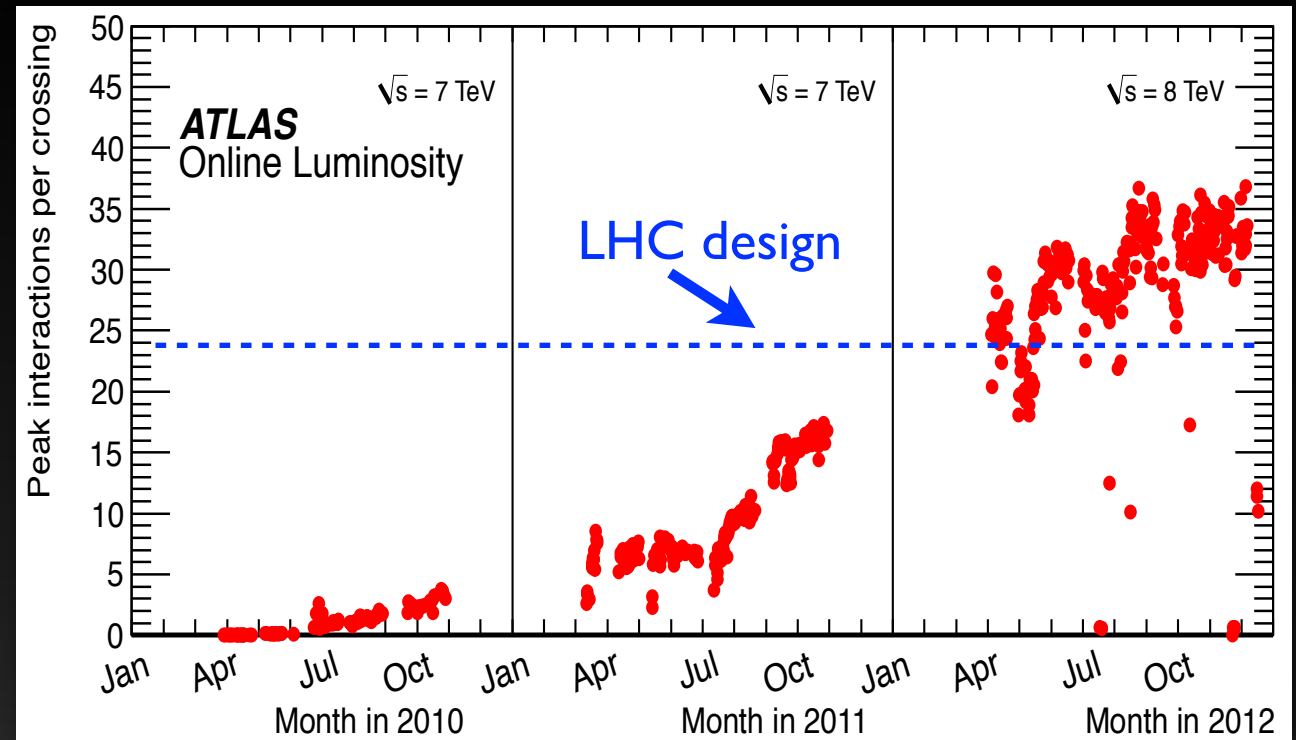
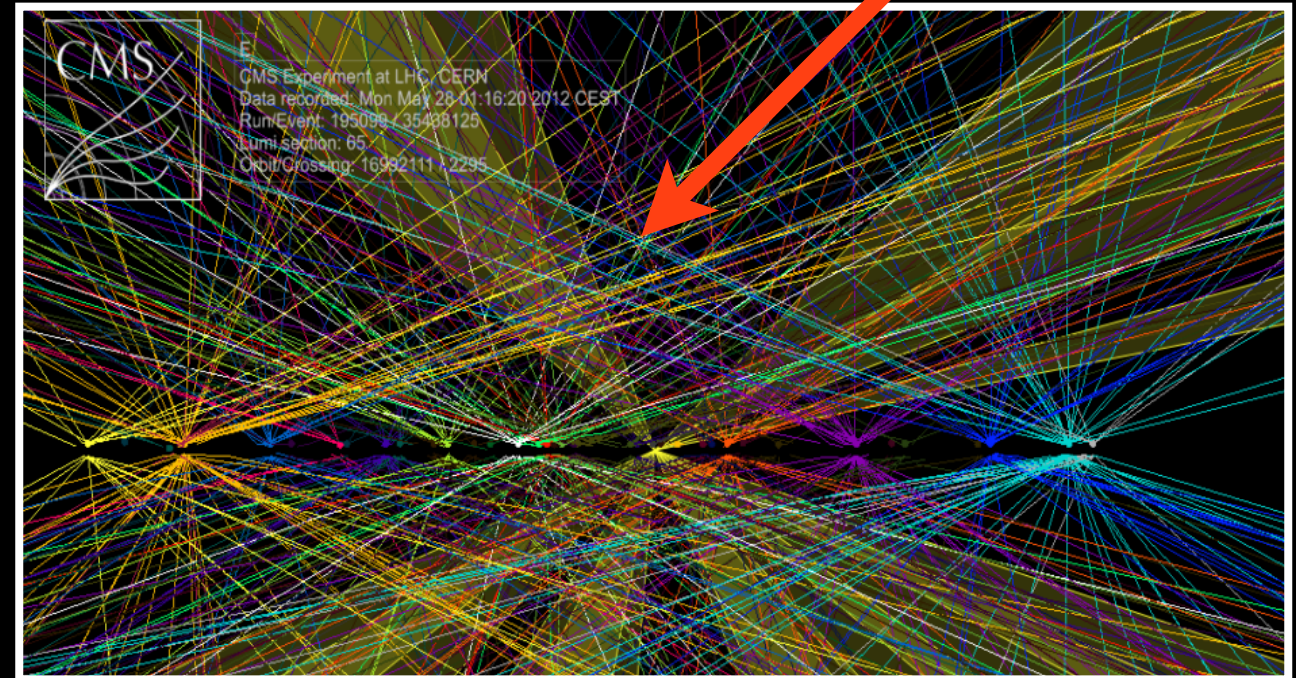
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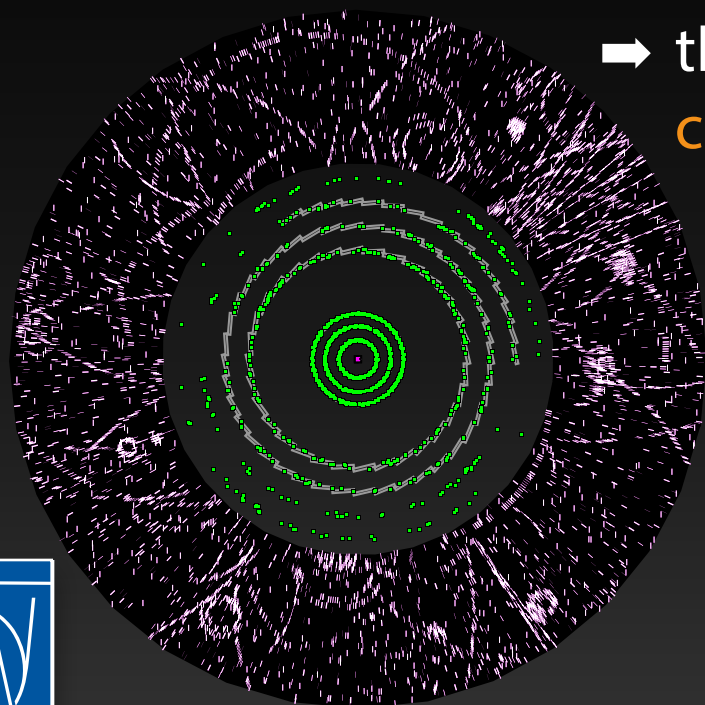
➔ this is how **1 pp collisions** looks like

pileup display shown by Helge



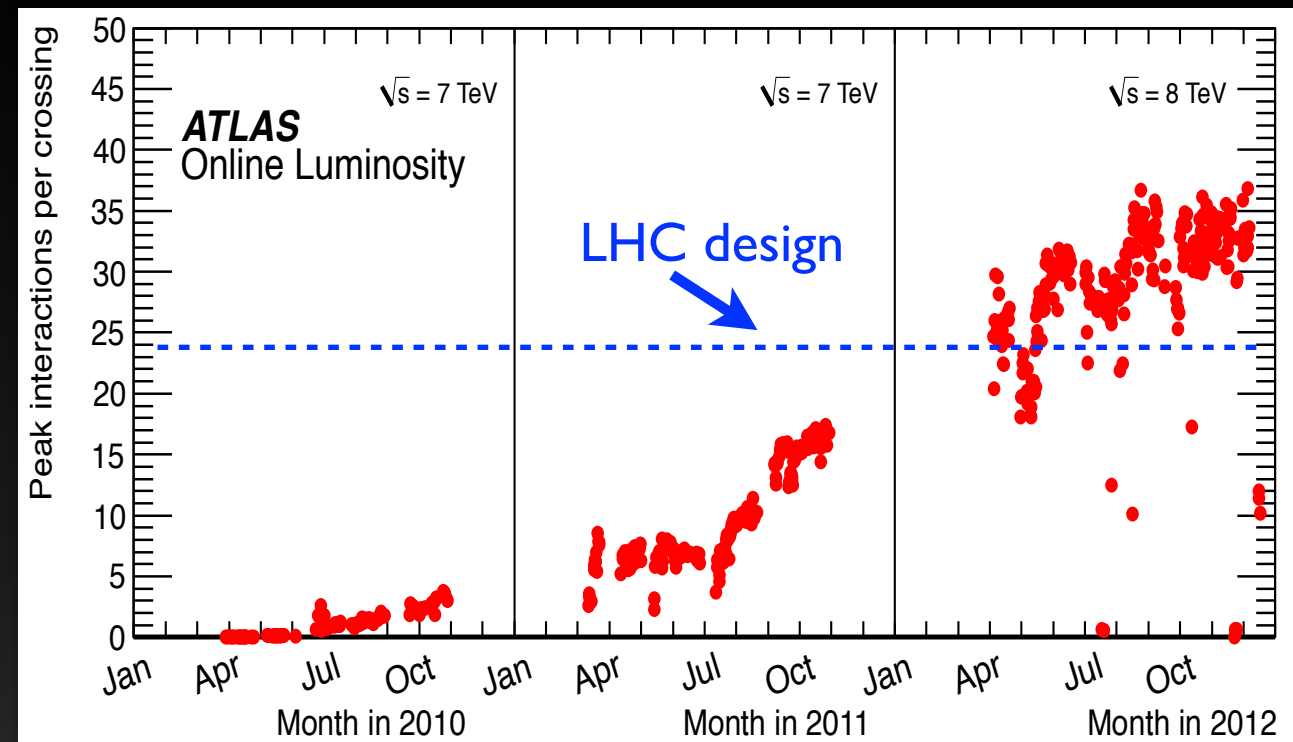
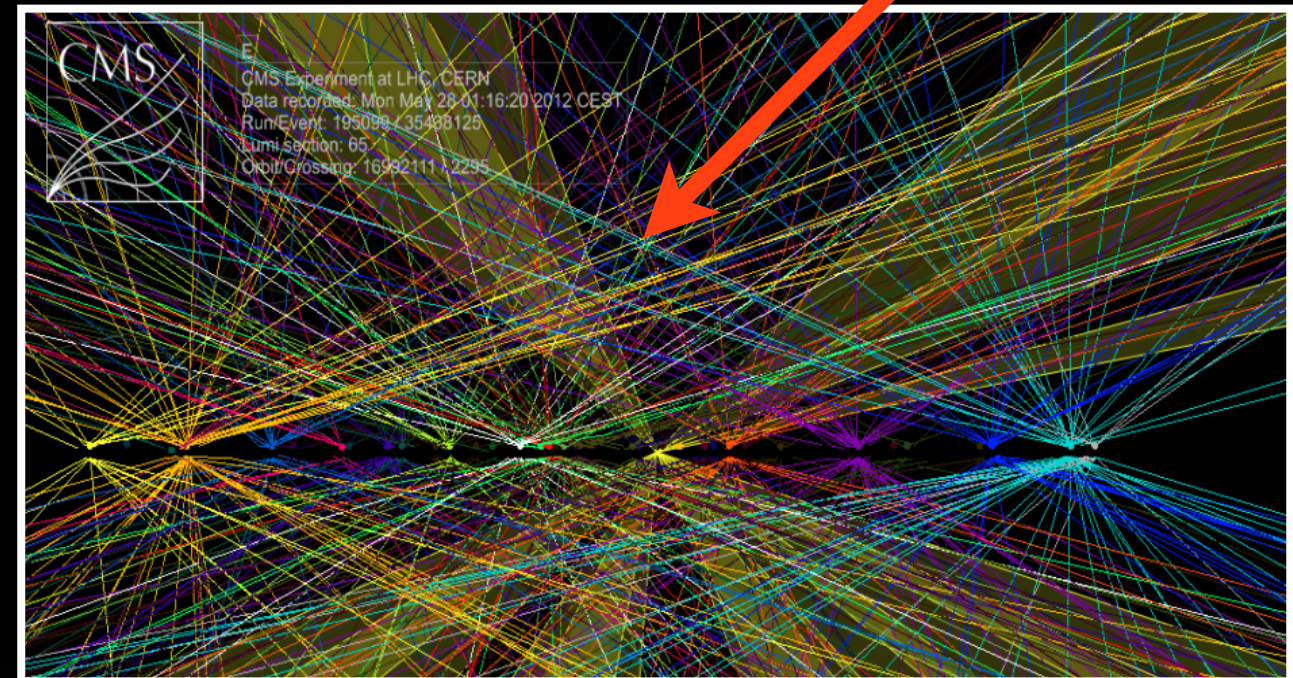
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- ➔ this is how **1 pp collisions** looks like
 - now imagine **30 of them** overlapping
 - task of **tracking software** is to resolve the mess ...

pileup display shown by Helge



Tracking at the LHC ?

- track reconstruction

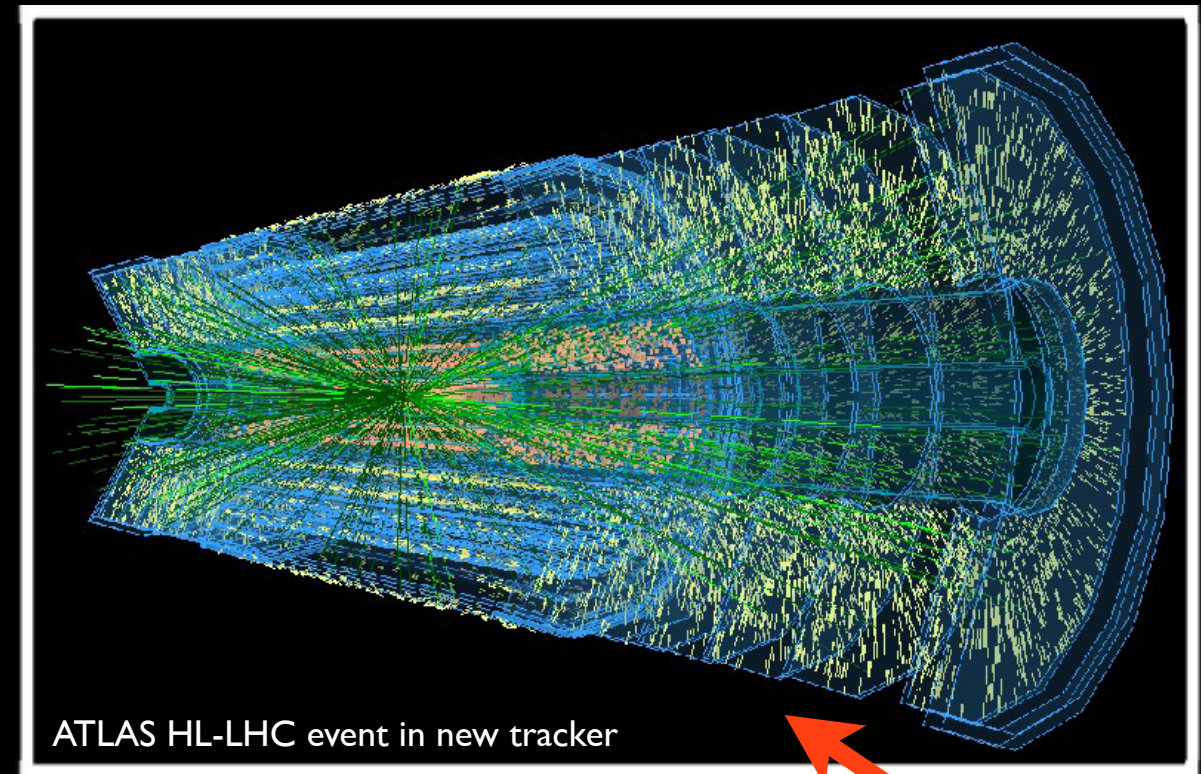
- ➔ combinatorial problem grows with pileup
- ➔ naturally **resource driver** (CPU/memory)

- the **million dollar** question:

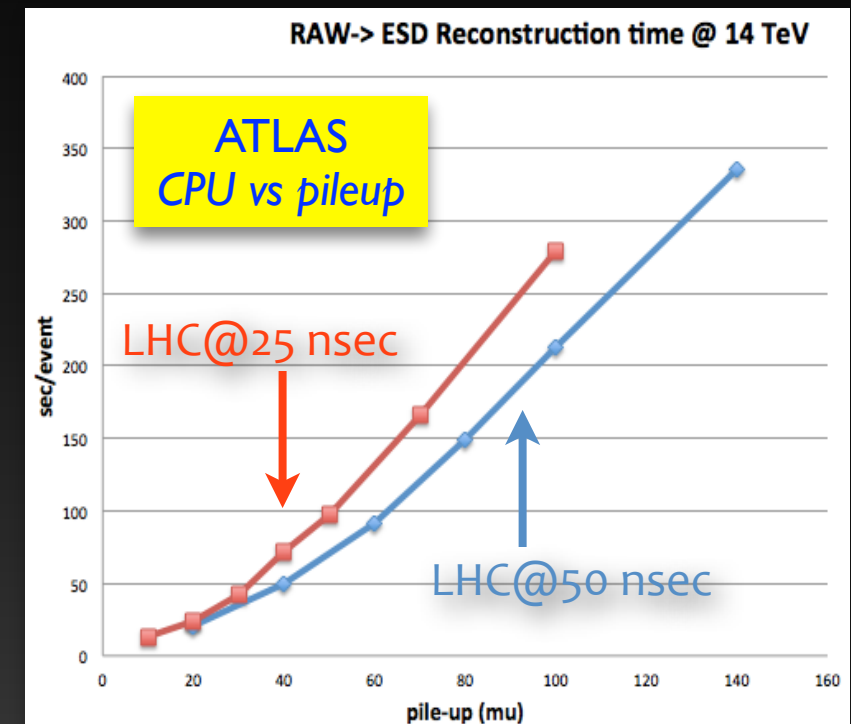
- ➔ how to **reconstruct LH-LHC events** within resources ? (**pileup ~ 140-200**)

- more than **10 years** of R&D on LHC tracking software

- ➔ we knew that tracking at the LHC is going to be challenging
 - building on techniques developed for previous experiments
- ➔ processor **technologies** will **change** in the future
 - need to rethink some of the design decisions we did
 - adapt software to explore modern CPUs: vectorisation, multi-threading, data locality...



event display from title page



Outline of this Lecture

- Tracking **Detectors**

- ➔ semiconductor tracker
- ➔ drift tubes

- Charged Particle **Trajectories** and **Extrapolation**

- ➔ trajectory representations and trajectory following in a realistic detector
- ➔ detector description, navigation and simulation toolkits

- Track **Fitting**

- ➔ classical least square track fit and a Kalman filter track fit
- ➔ examples for advanced techniques

- Track **Finding**

- ➔ search strategies, Hough transforms, progressive track finding, ambiguity solution

- ATLAS **Track Reconstruction**

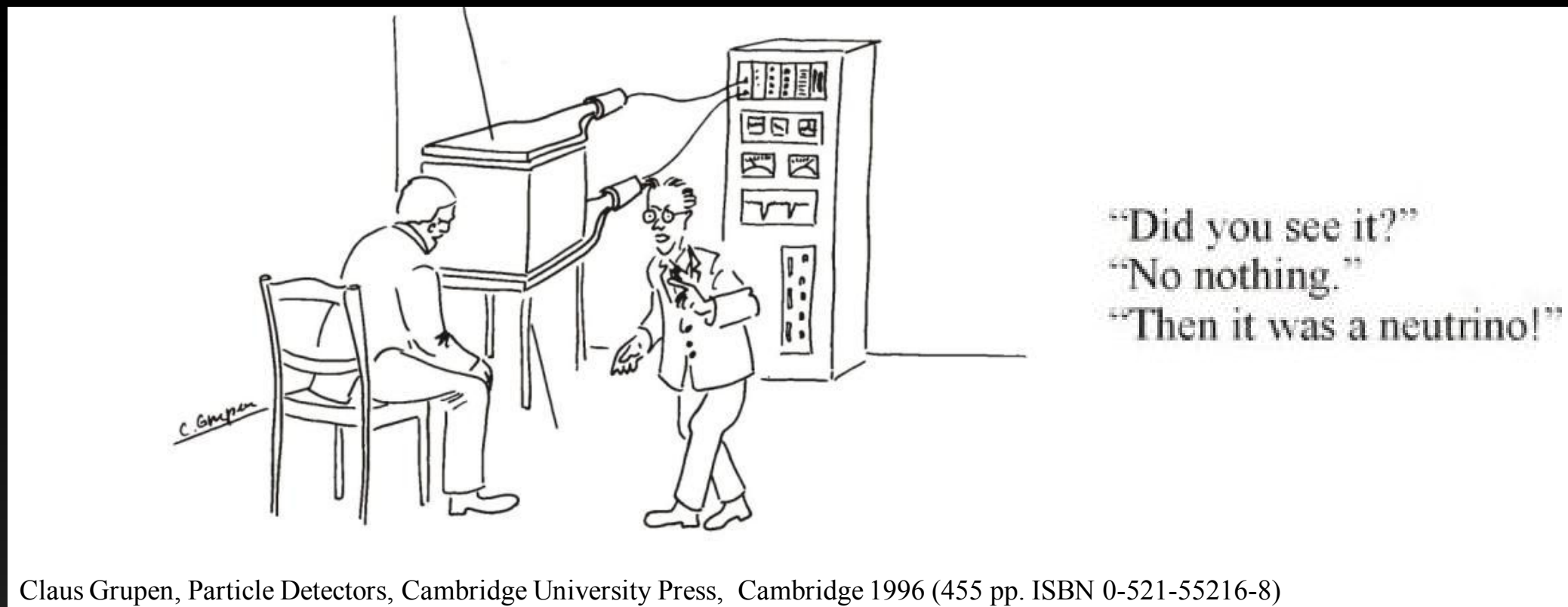


Tracking **Detectors**



Passage of **Particles through Matter**

- any device that is to detect a particle must interact with it in some way
 - ➔ well, almost...
 - ➔ in many experiments neutrinos are measured by missing transverse momentum



- ➔ tracking detectors explore effects like ionisation to measure charged particles
 - let's discuss the basic principles of semiconductor trackers and drift tubes



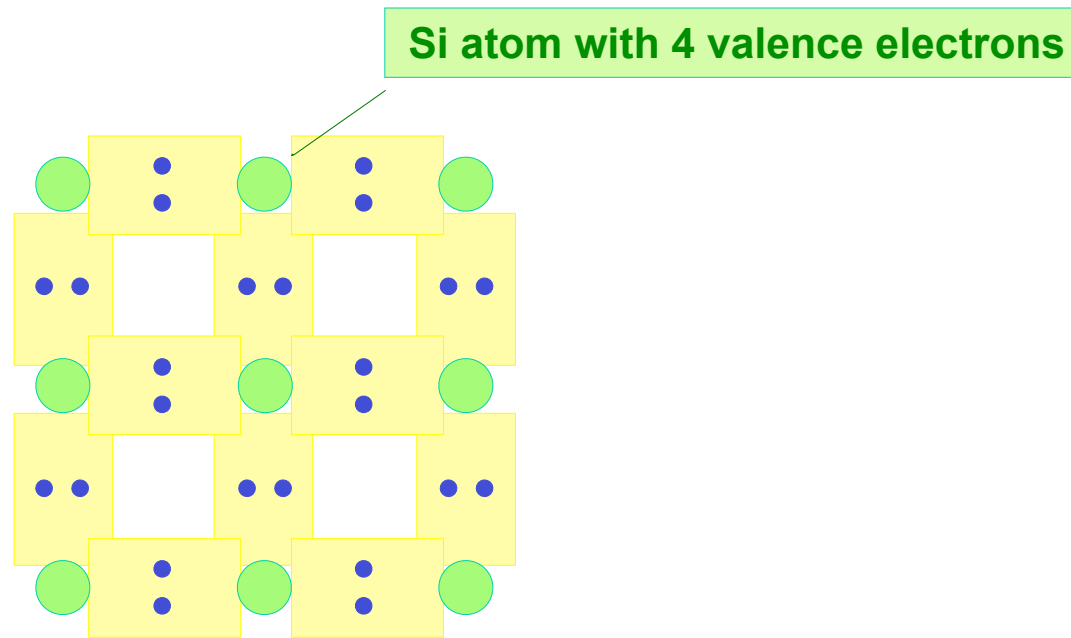
Semiconductor Trackers

Semiconductors



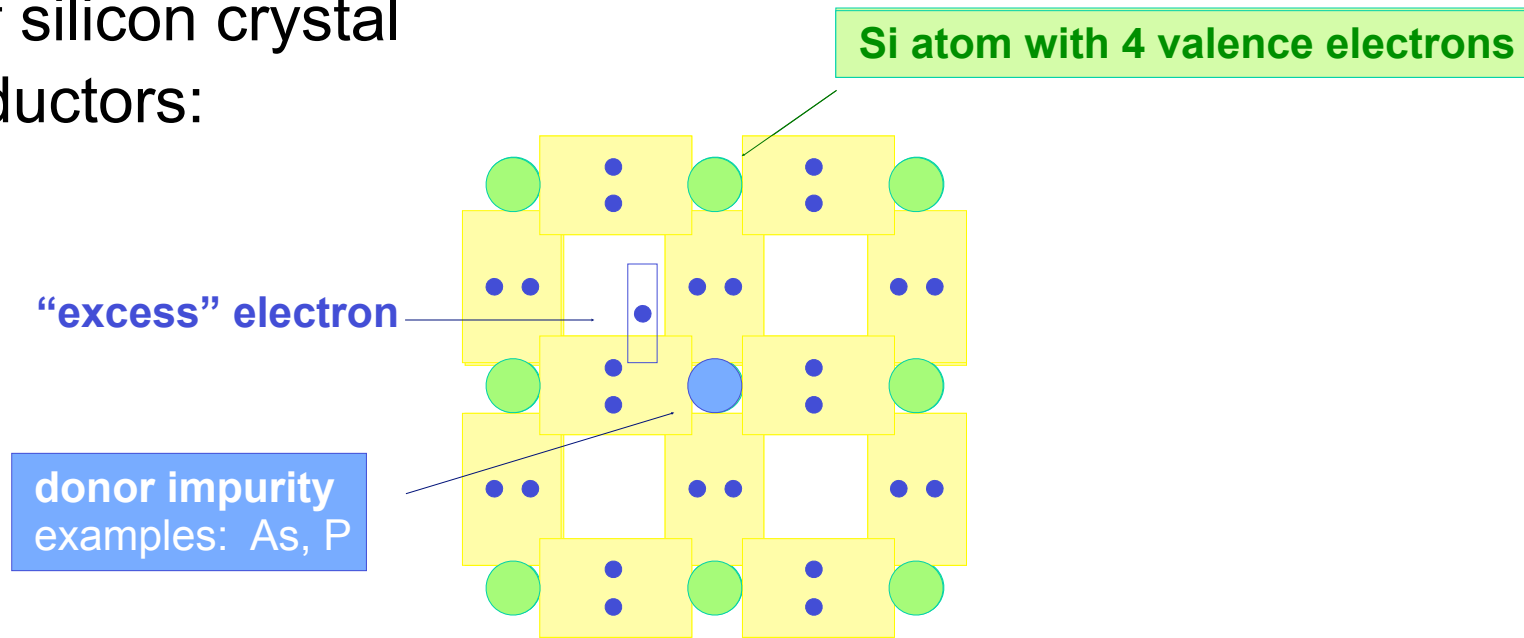
Semiconductors

- doping of silicon crystal semiconductors:



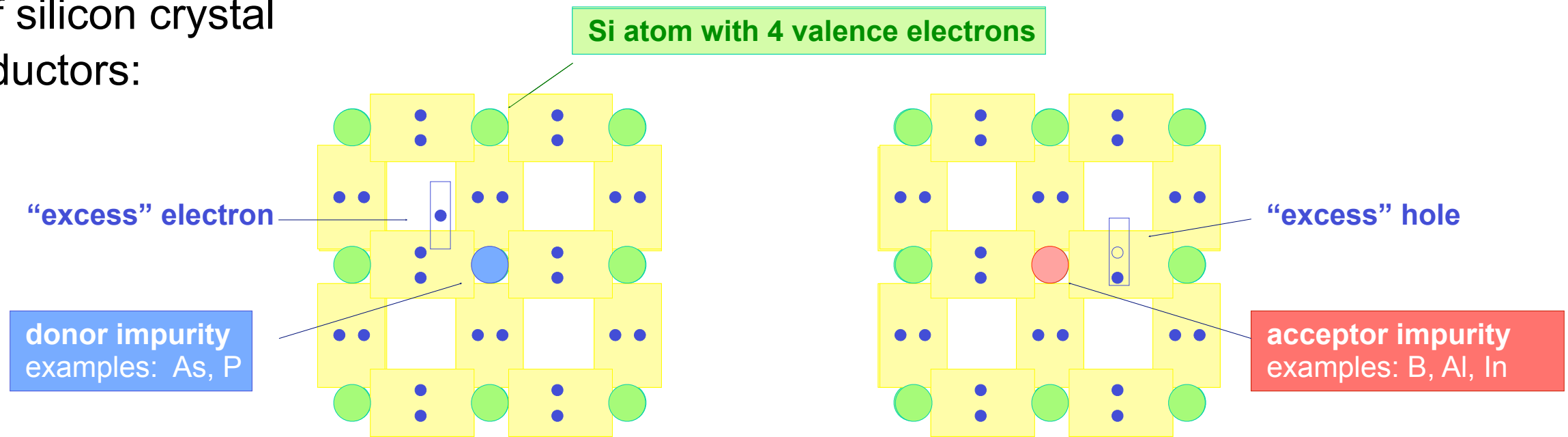
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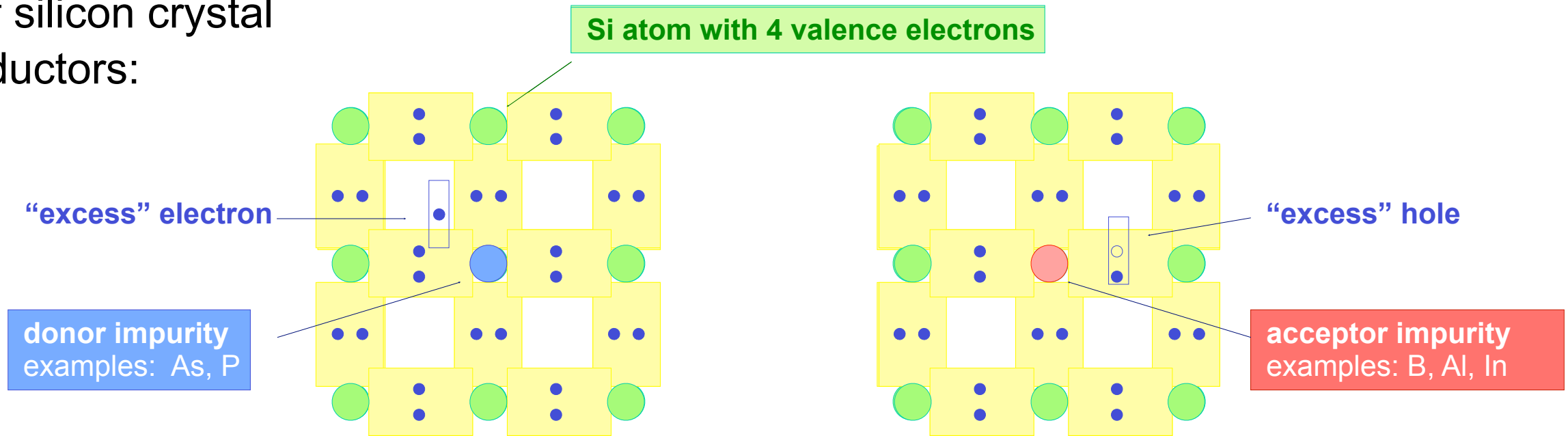
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Semiconductors

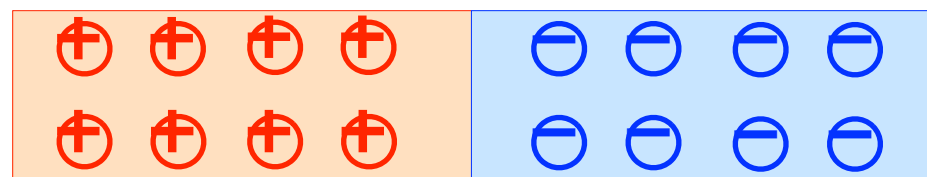
- doping of silicon crystal semiconductors:



$p-n$ junction

p^+ hole carrier

n^- electron carrier



e acceptor impurity

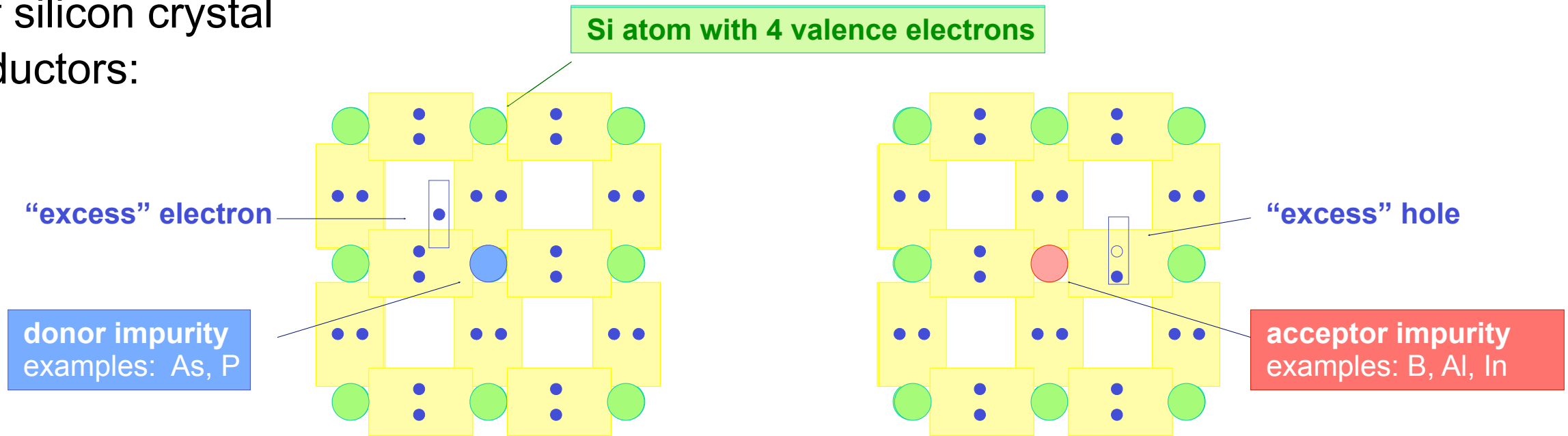
e donor impurity

- p doping adds electro-phile atoms
- n doping adds electro-phobe atoms

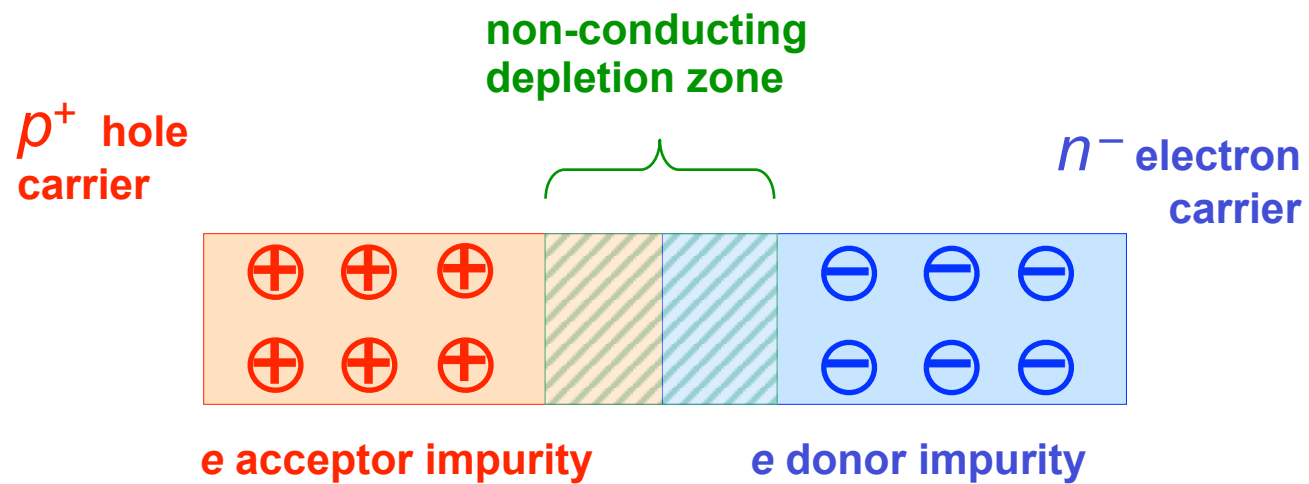


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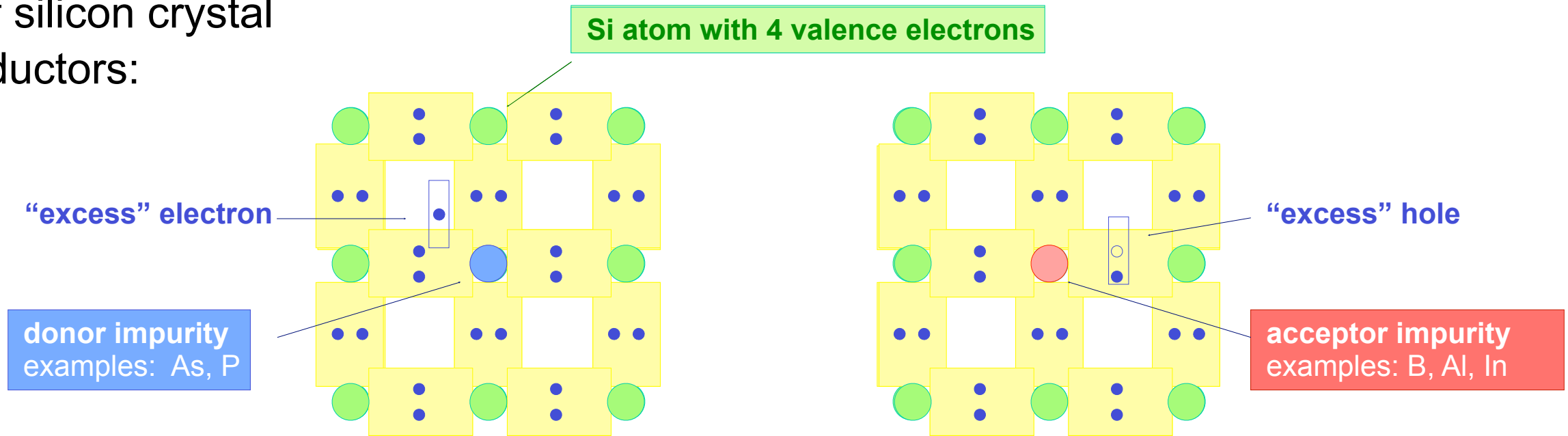
$p-n$ junction



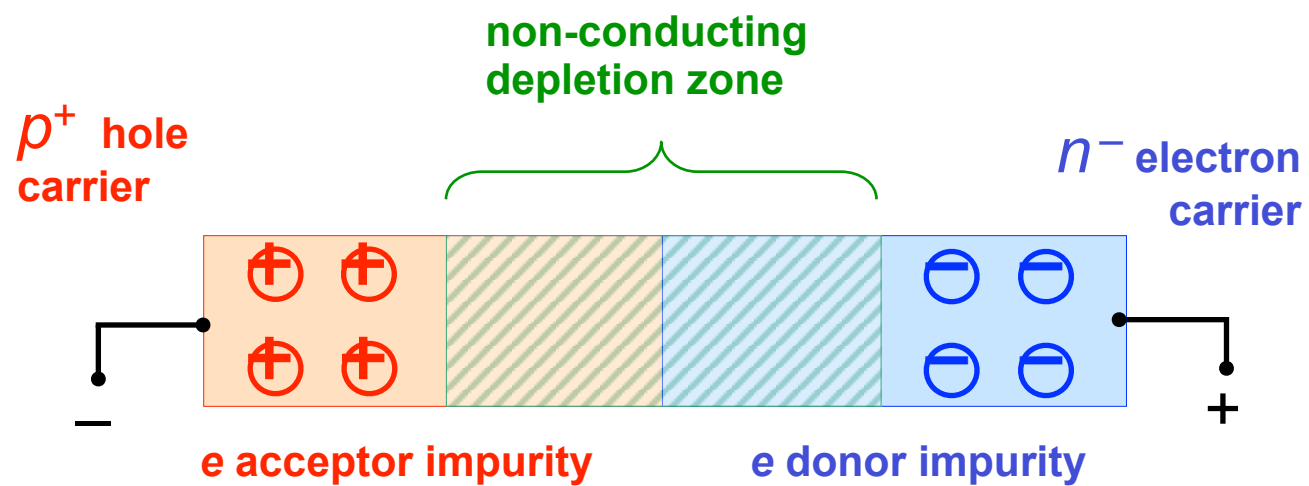
- in the junction zone, electron-hole pairs recombine creating depletion
- the potential barrier in the junction counter-weighs the doping potential

Semiconductors

- doping of silicon crystal semiconductors:



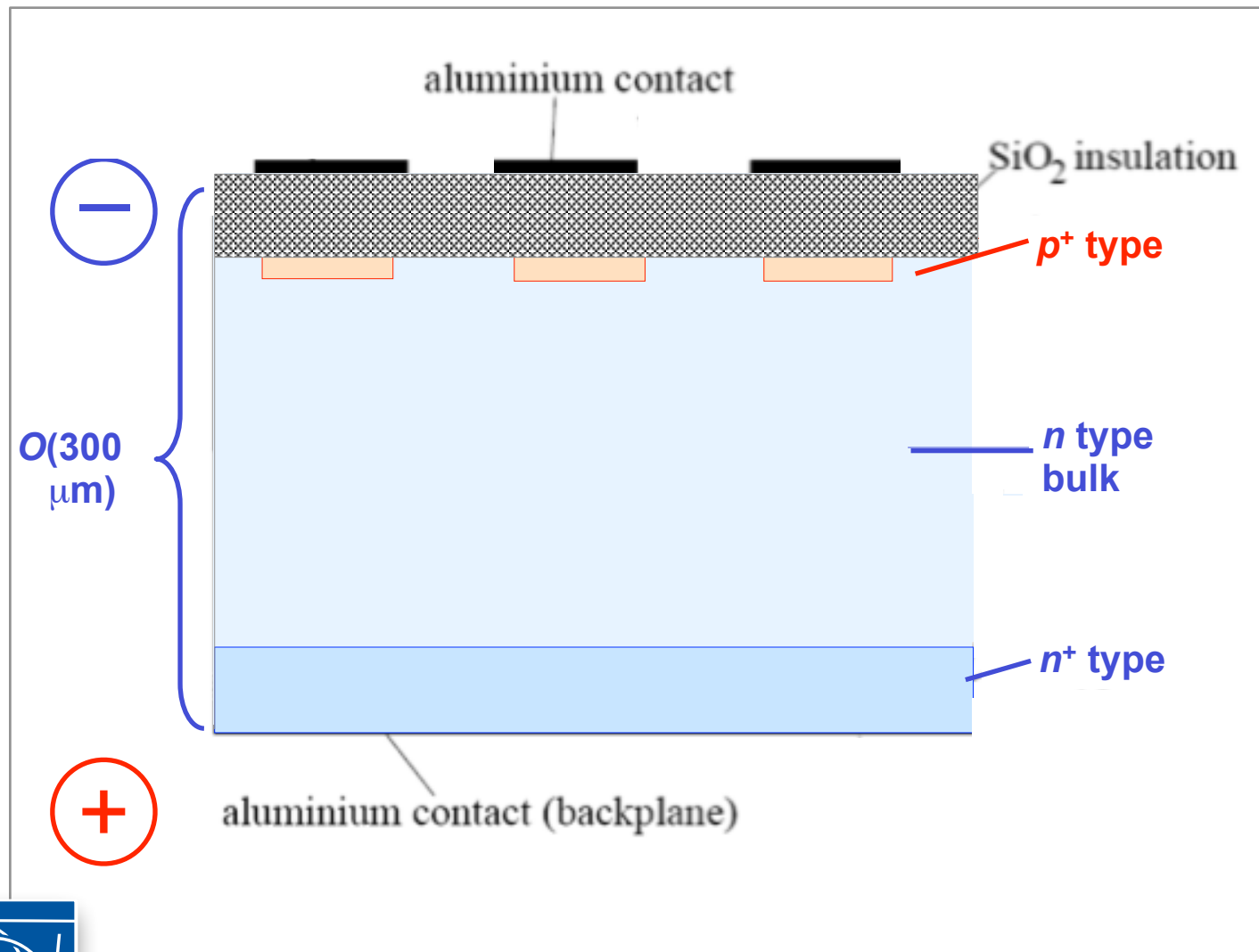
reverse bias $p-n$ junction



- the reversed bias voltage increases the potential barrier in the depletion zone, enhancing its resistance
- minimal current across the junction

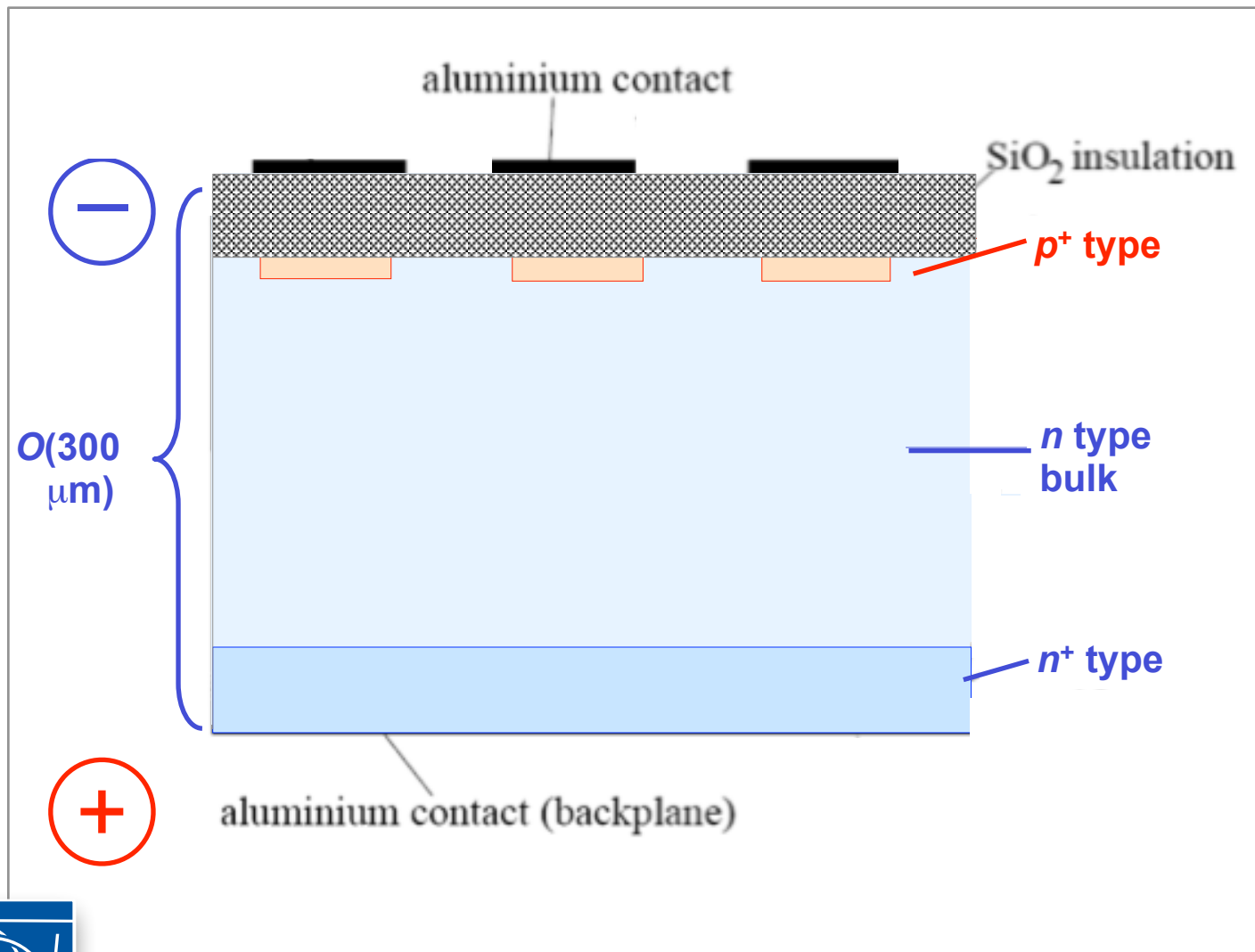


The $p-n$ Junction as a Tracking Detector



The $p-n$ Junction as a Tracking Detector

- thin ($\sim\mu\text{m}$), highly doped p^+ ($\sim 10^{19}\text{ cm}^{-3}$) layer on lightly doped n ($\sim 10^{12}\text{ cm}^{-3}$) substrate
- high mobility of charge carriers in Si allows fast charge collection ($\sim 5\text{ ns}$ for electron)
- high Si density & low electron-hole creation potential (3.6 eV compared to $\sim 36\text{ eV}$ for gaseous ionisation) allows use of very thin detectors with reasonable signal

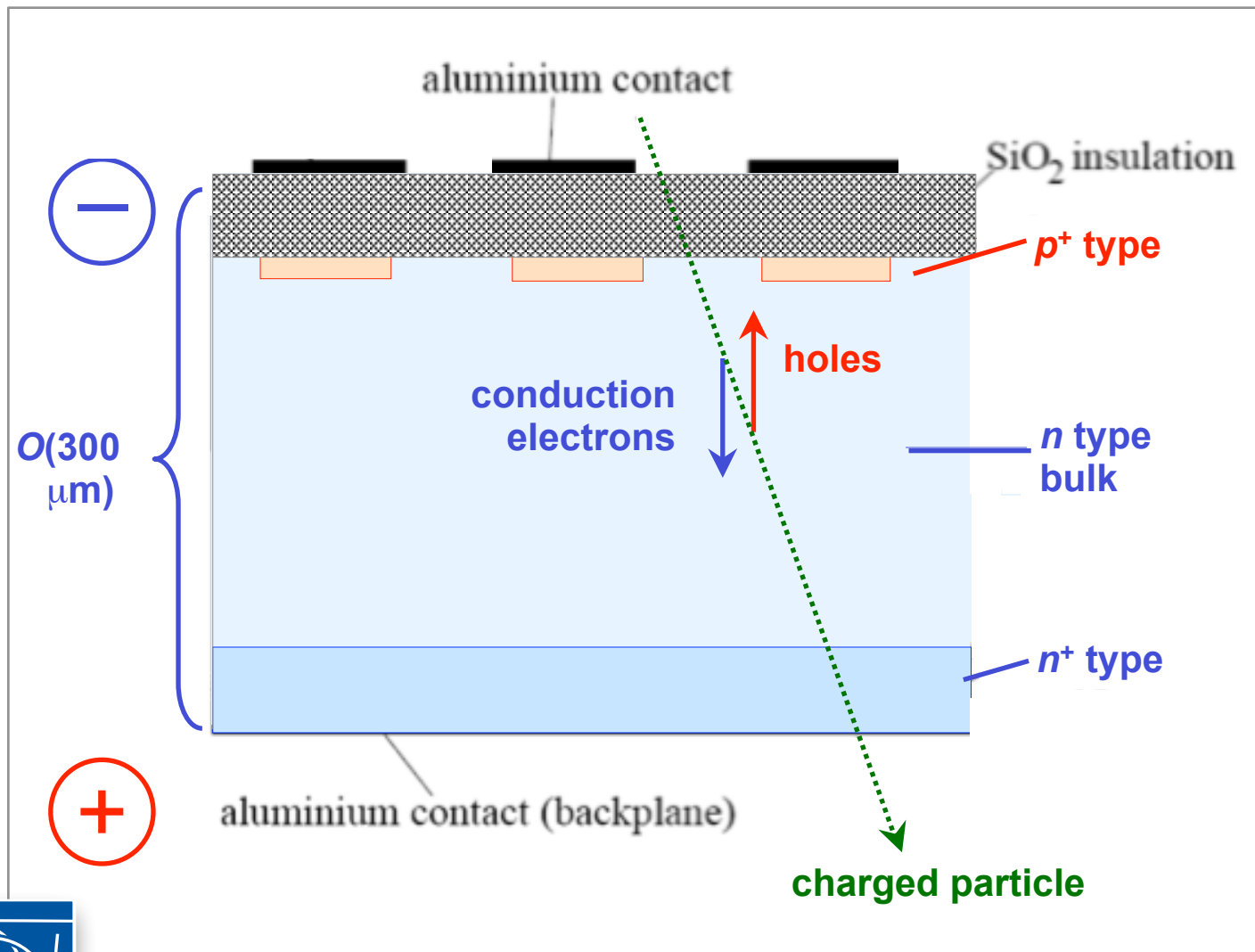


schema of silicon microstrip sensor

- reverse bias: backplane set to positive voltage ($< 500\text{ V}$)
- a traversing charged particle ionises silicon, creating conduction electrons and holes that induce a measurable current by drifting to electrodes
- metal-semiconductor transition forms charge (Schottky) barrier similar to $p-n$ junction. Highly doped n^+ layer reduces width of potential barrier and hence resistance

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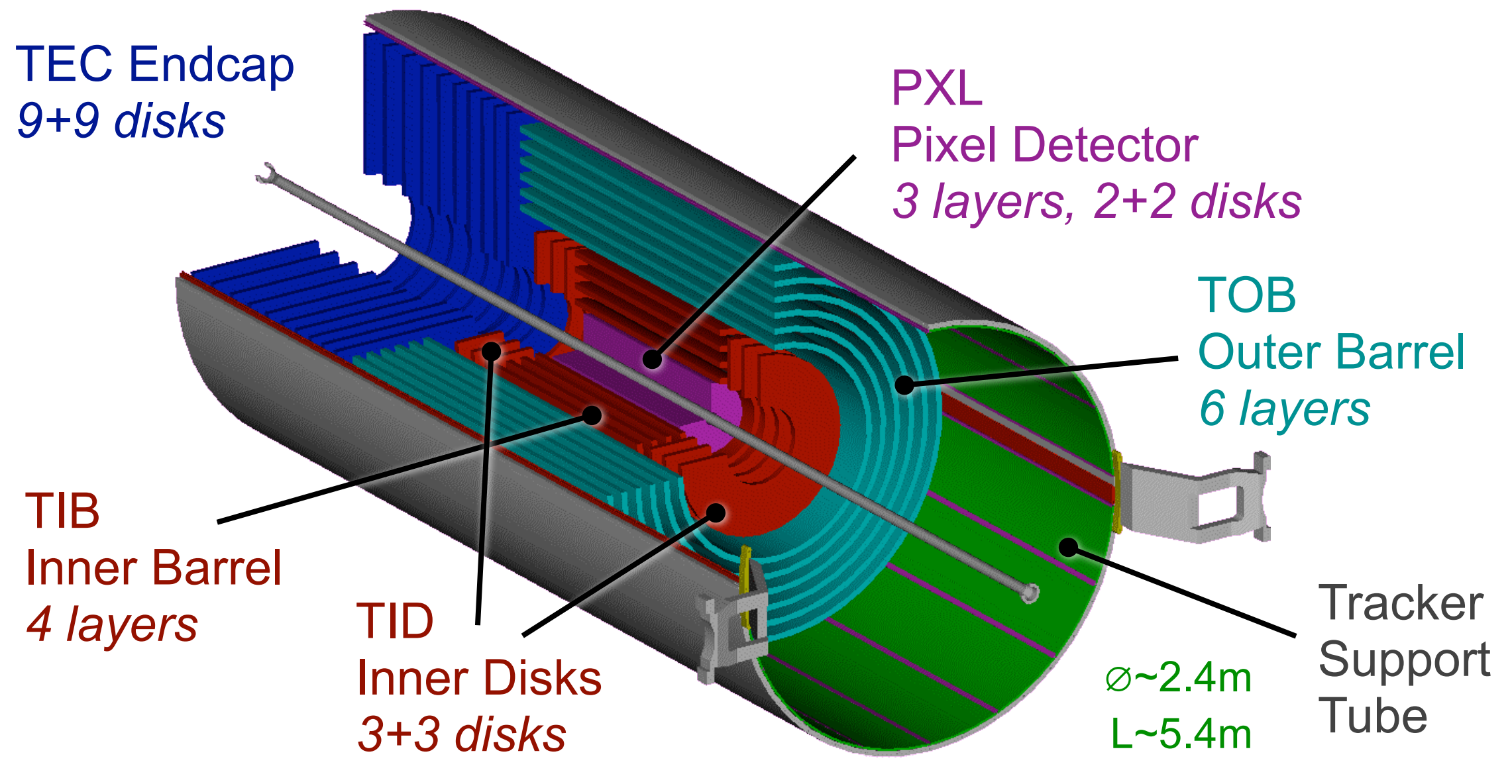


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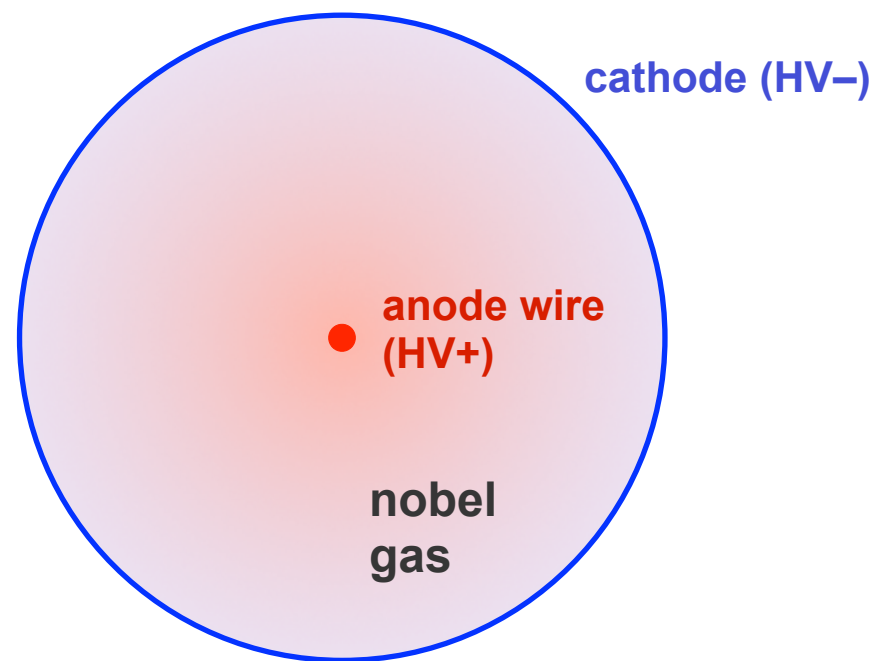
CMS Tracker

- largest silicon tracker ever built
 - ➔ **Pixels:** 66M channels, 100x150 μm^2 Pixel
 - ➔ **Si-Strip detector:** $\sim 23\text{m}^3$, 210m² of Si area, 10.7M channels



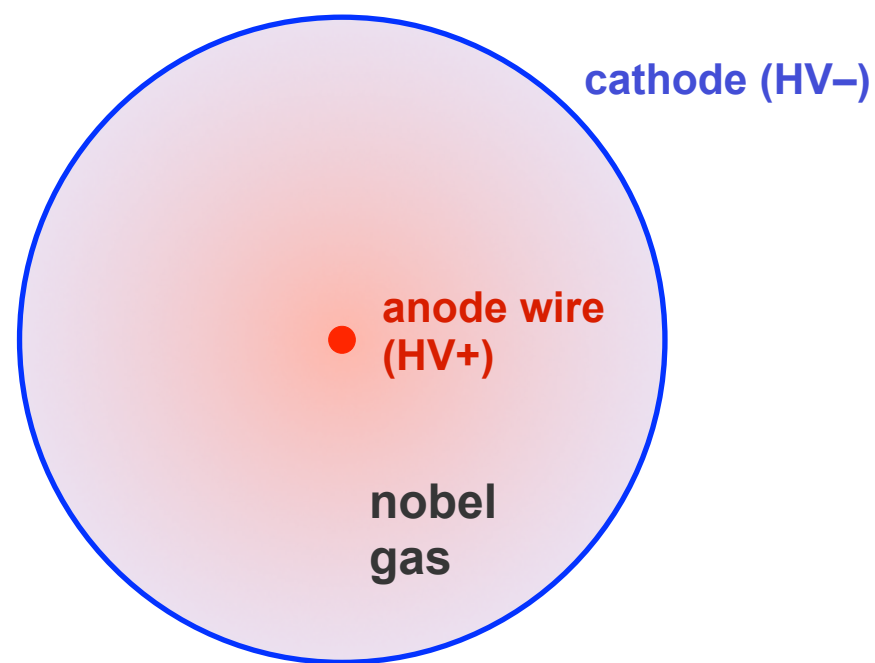
Drift Tubes in ATLAS: Inner Detector and Muon Spectrometer

- classical detection technique for charged particles based on gas ionisation and drift time measurement



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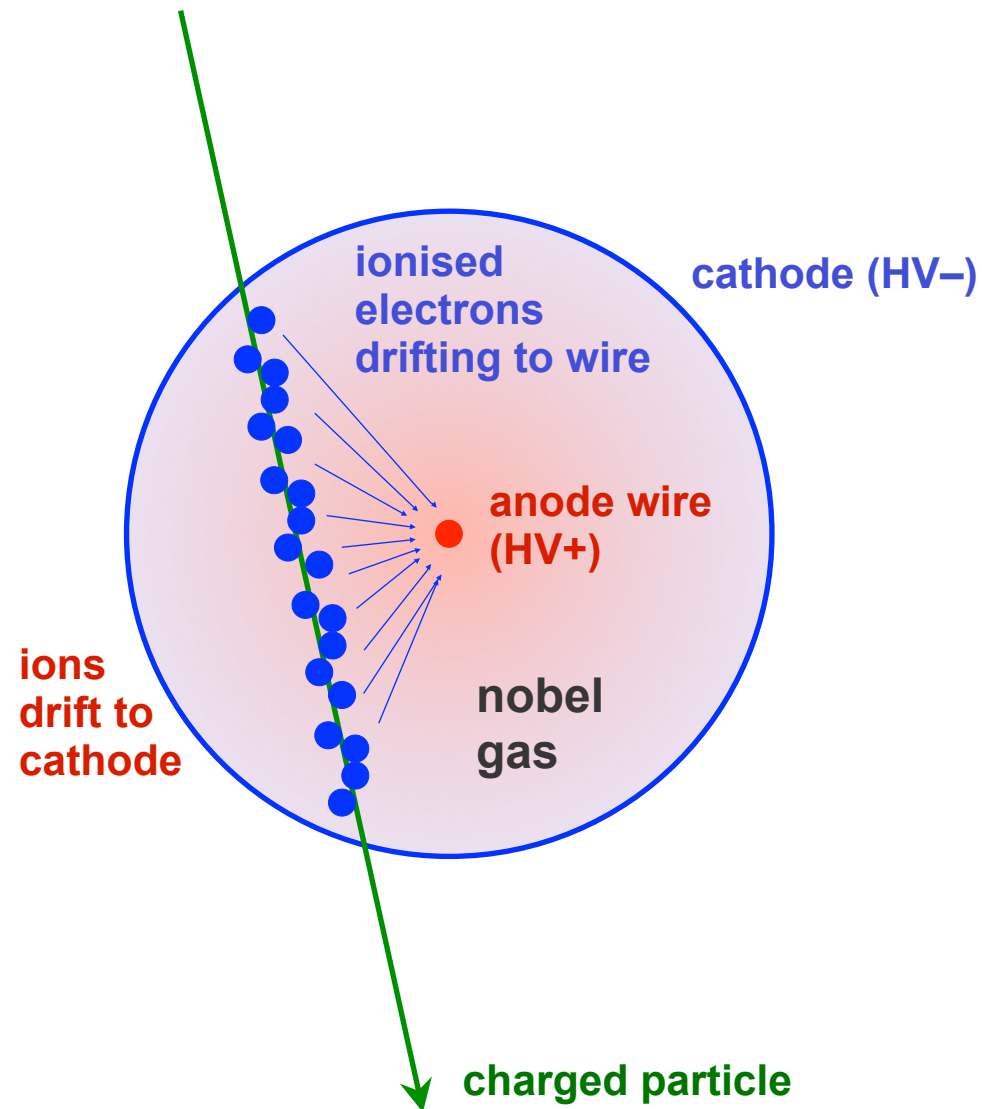
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- primary electrons drift towards thin anode wire
- charge amplification during drift ($\sim 10^4$) in high E -field in vicinity of wire: $E(r) \sim U_0 / r$
- signal rises with number of primary e 's (dE/dx) [signal dominated by ions]
- macroscopic drift time: $v_D / c \sim 10^{-4} \rightarrow \sim 30 \text{ ns/mm}$
- determine v_D from difference between signal peaking time and expected particle passage
- spatial resolution of $O(100 \mu\text{m})$

TRT: Kapton tubes, $\varnothing = 4 \text{ mm}$
MDT: Aluminium tubes, $\varnothing = 30 \text{ mm}$



Drift Tubes in ATLAS: Inner Detector and Muon Spectrometer

- classical detection technique for charged particles based on gas ionisation and drift time measurement



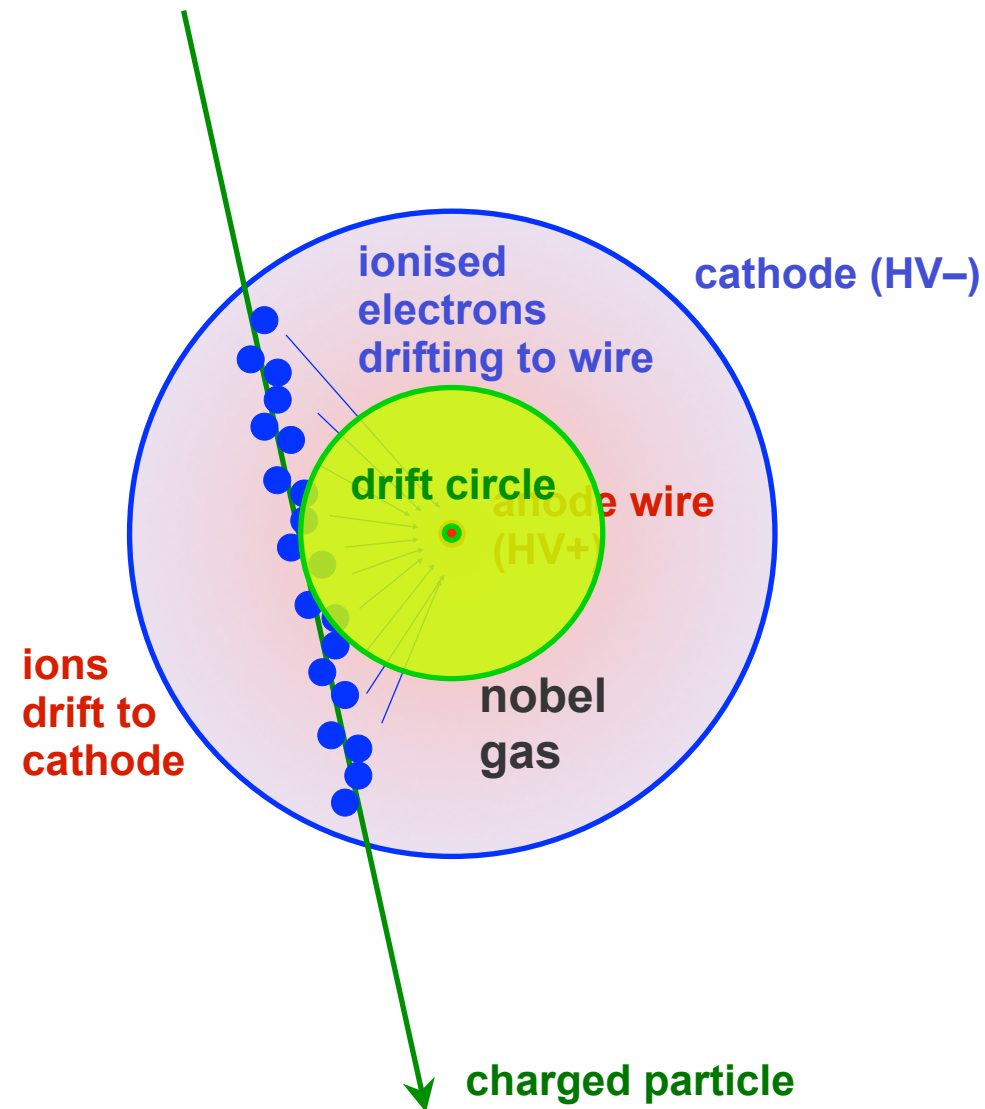
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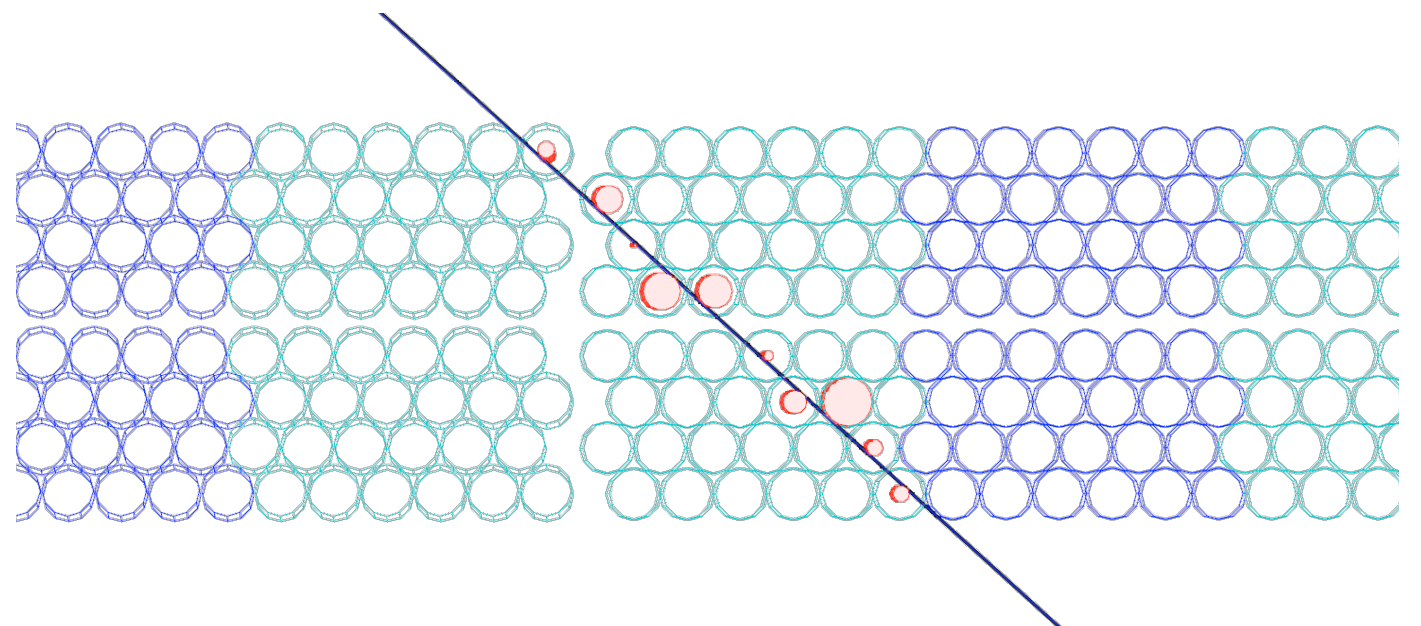


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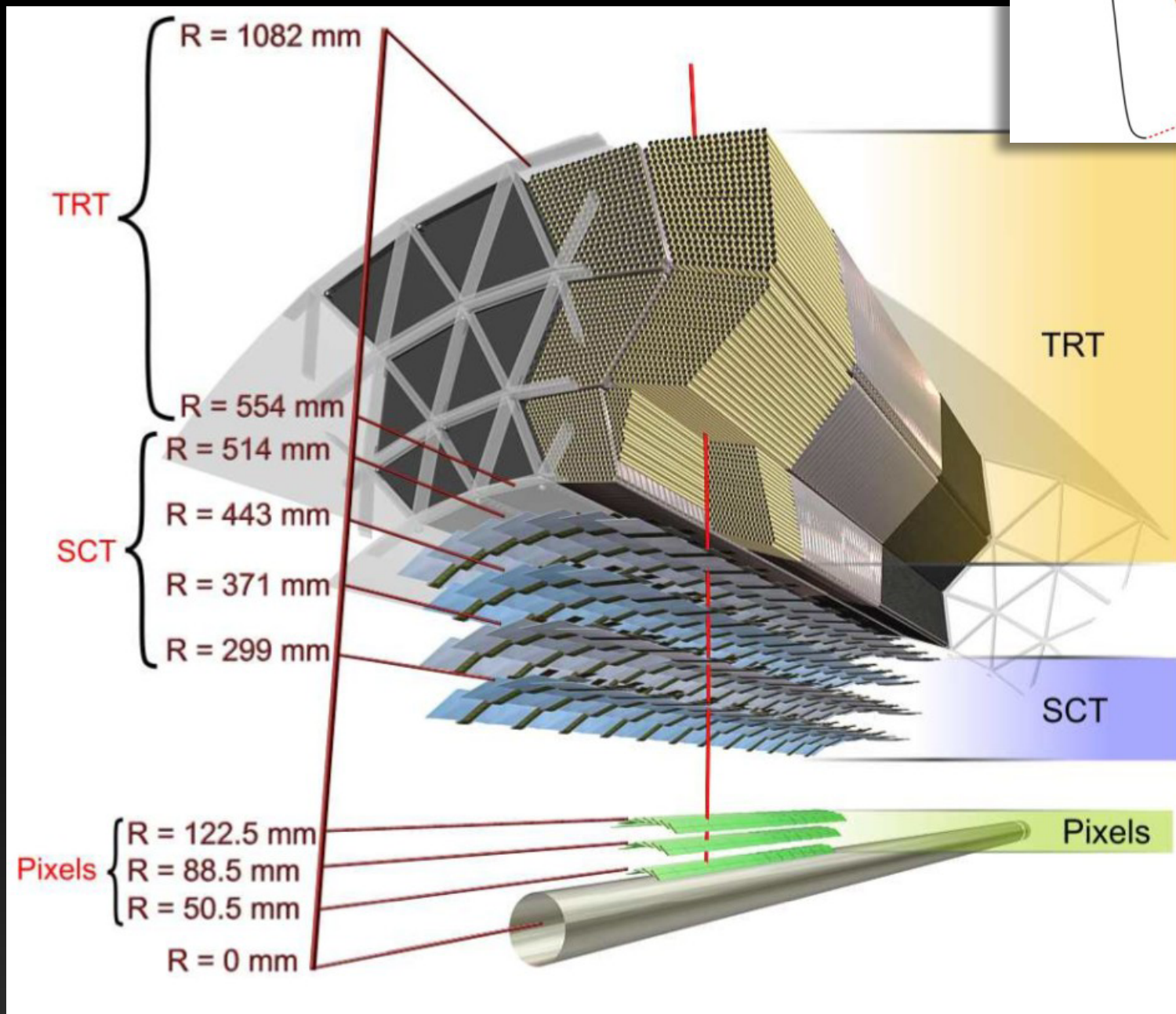
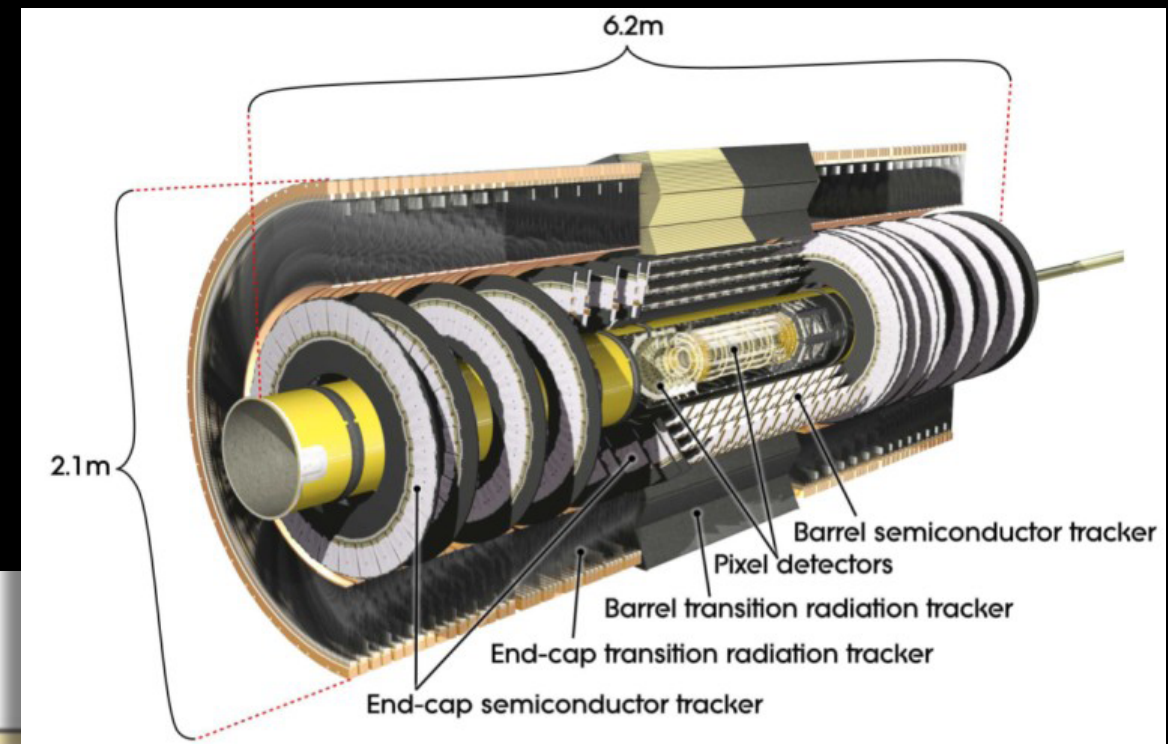
example: segment in muon drift tubes reconstruction from measured drift circles (left-right ambiguity)



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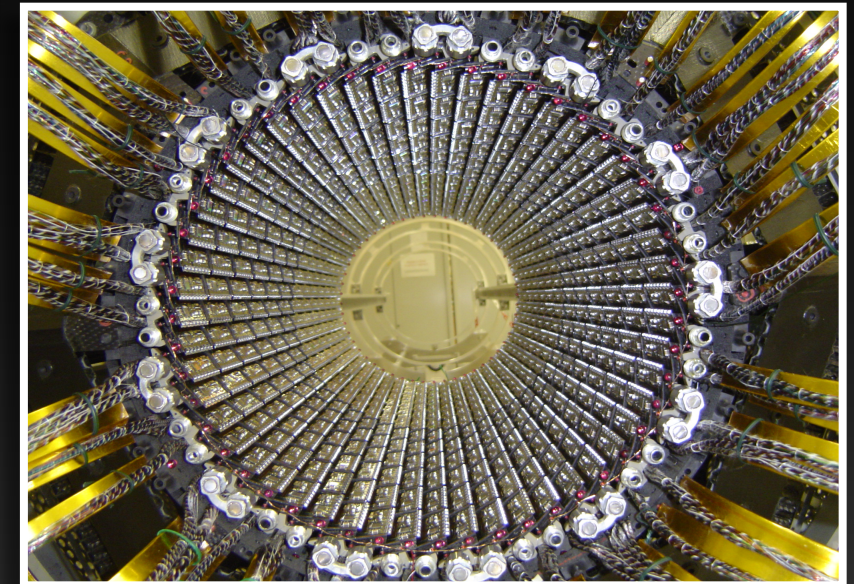
ATLAS Inner Detector

- expanded view of barrel



- barrel track passes:

- ➔ ~36 TRT 4mm straws
- ➔ 4x2 silicon Strips on stereo modules
- ➔ 3 Pixel layers

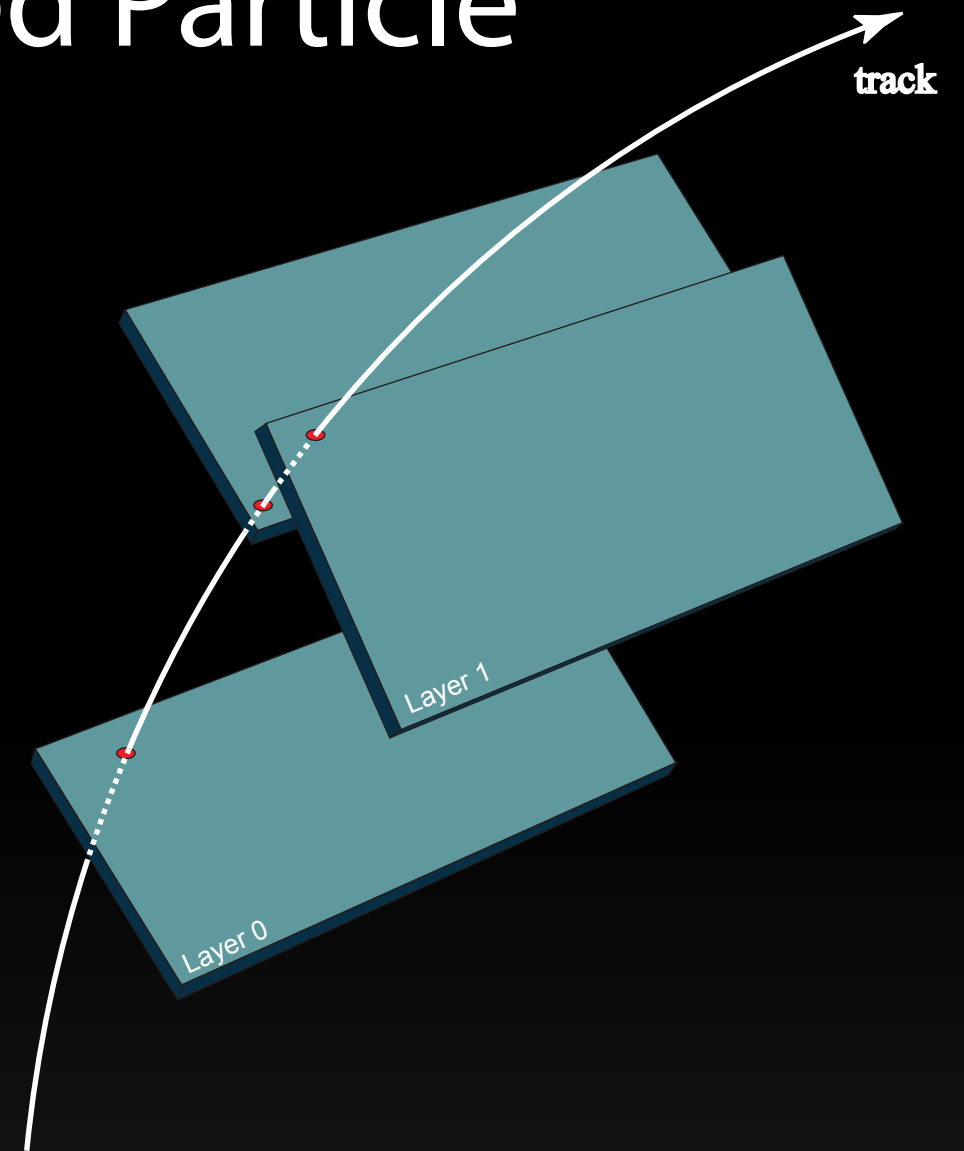


Charged Particle Trajectories and Extrapolation



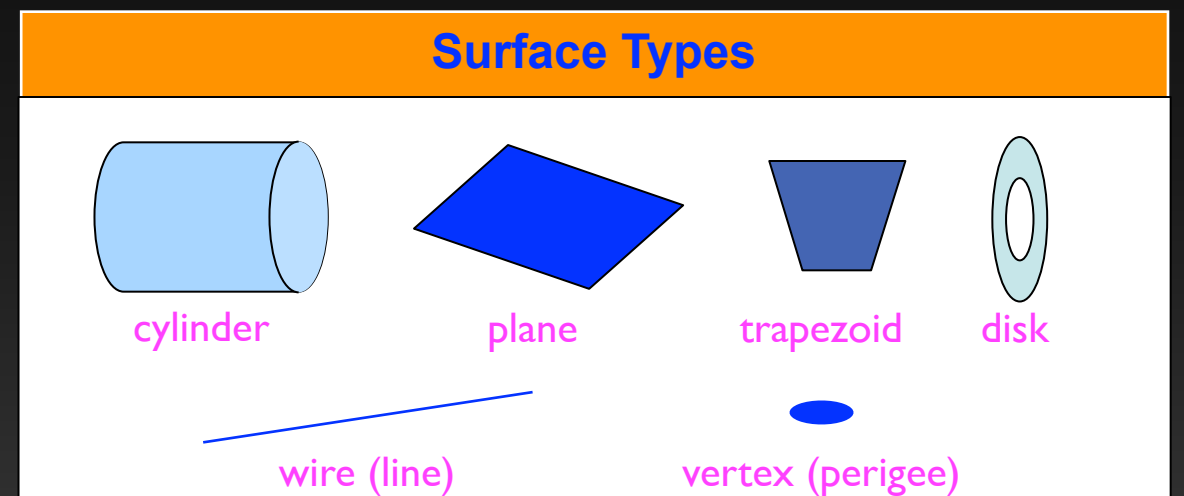
A Trajectory of a Charged Particle

- ➔ in a solenoid B field a charged particle trajectory is describing a **helix**
 - a circle in the plane perpendicular to the field ($R\phi$)
 - a path (not a line) at constant polar angle (θ) in the Rz plane
- ➔ a trajectory in space is defined by **5 parameters**
 - the **local position** (l_1, l_2) on a plane, a cylinder, ..., on the surface or reference system
 - the **direction** in θ and ϕ plus the **curvature** Q/P_T



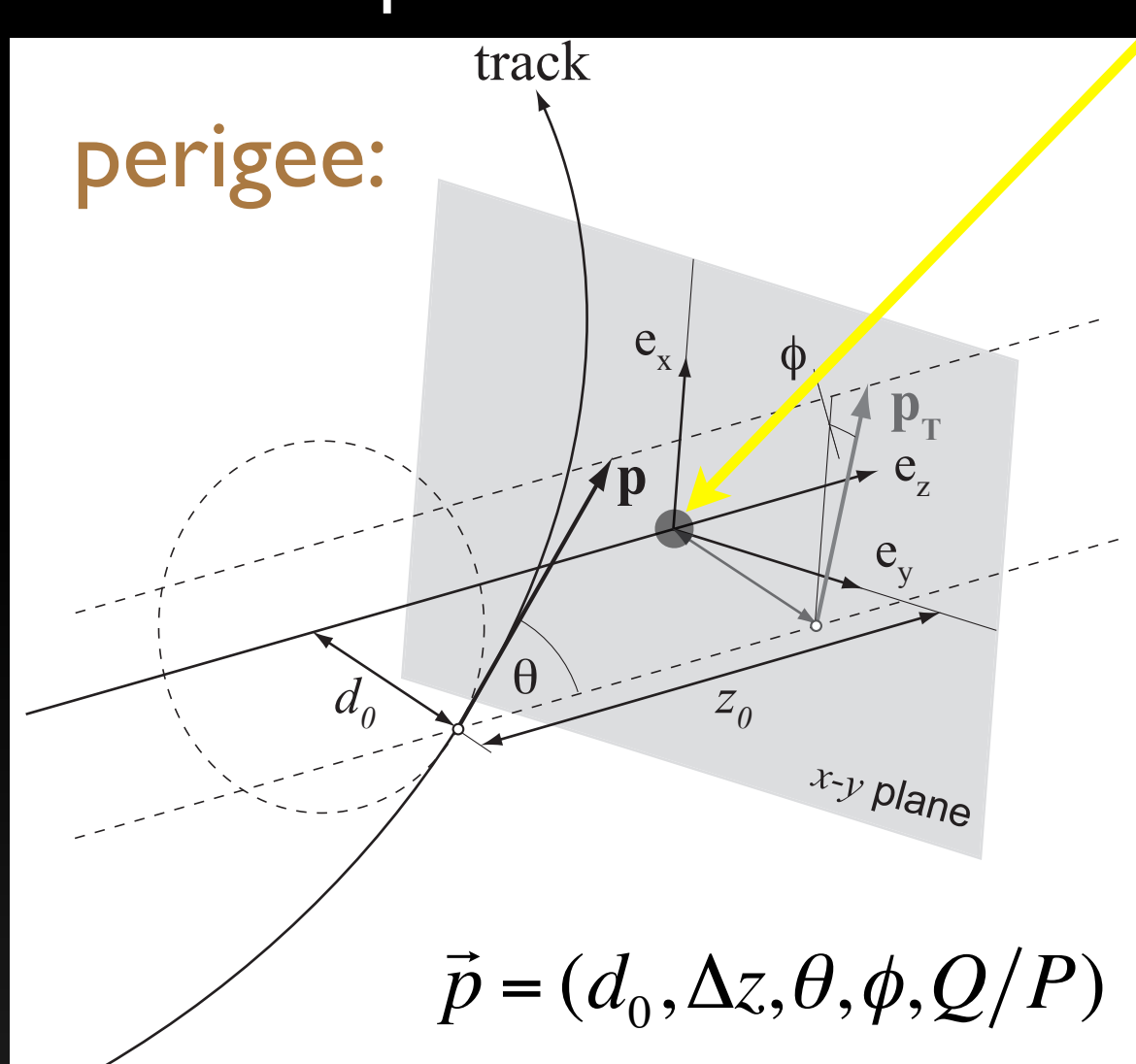
➔ ATLAS choice:

$$\vec{p} = (l_1, l_2, \theta, \phi, Q/P)$$



The **Perigee** Parameterization

- helix representation w.r.t. a vertex

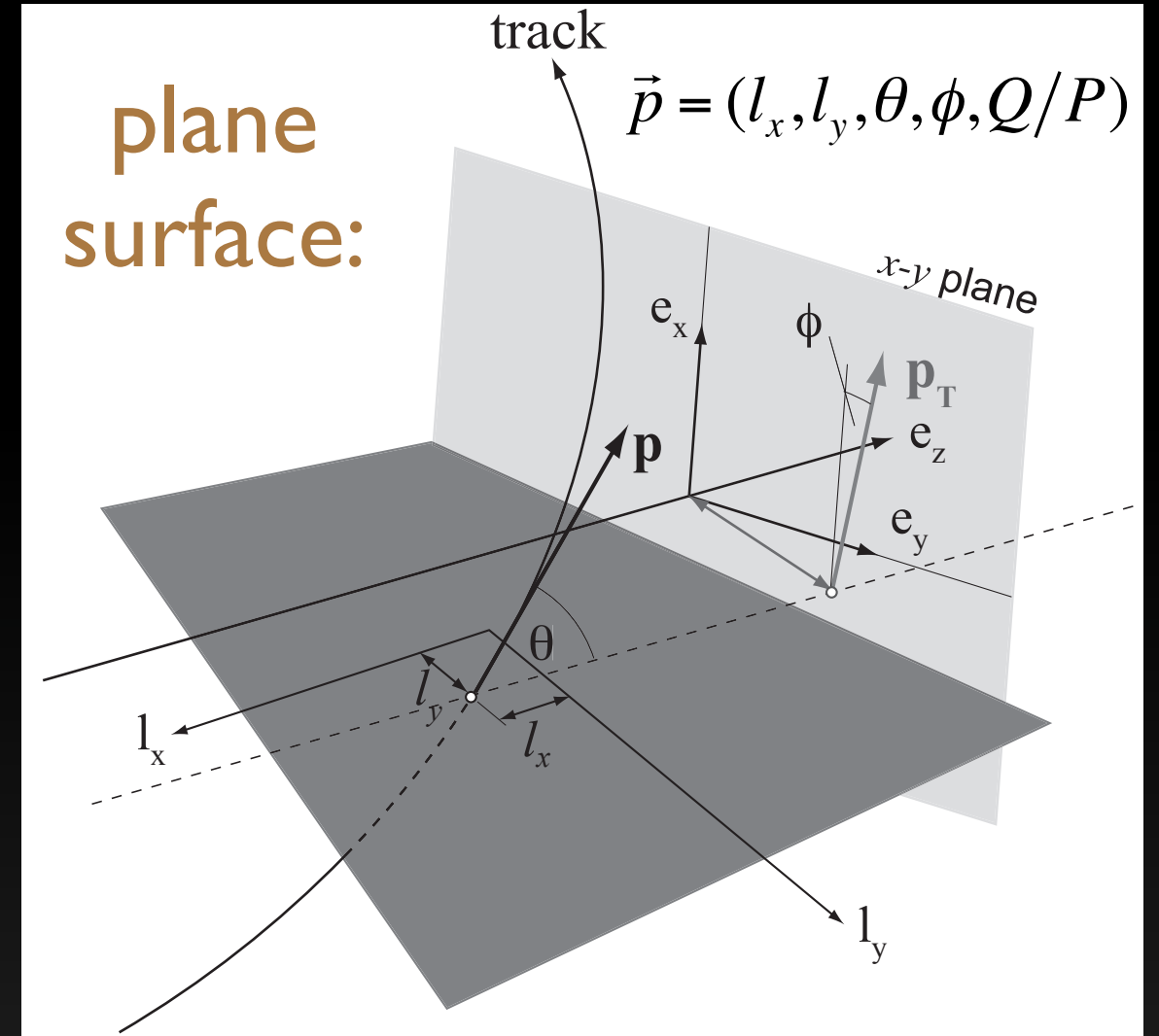
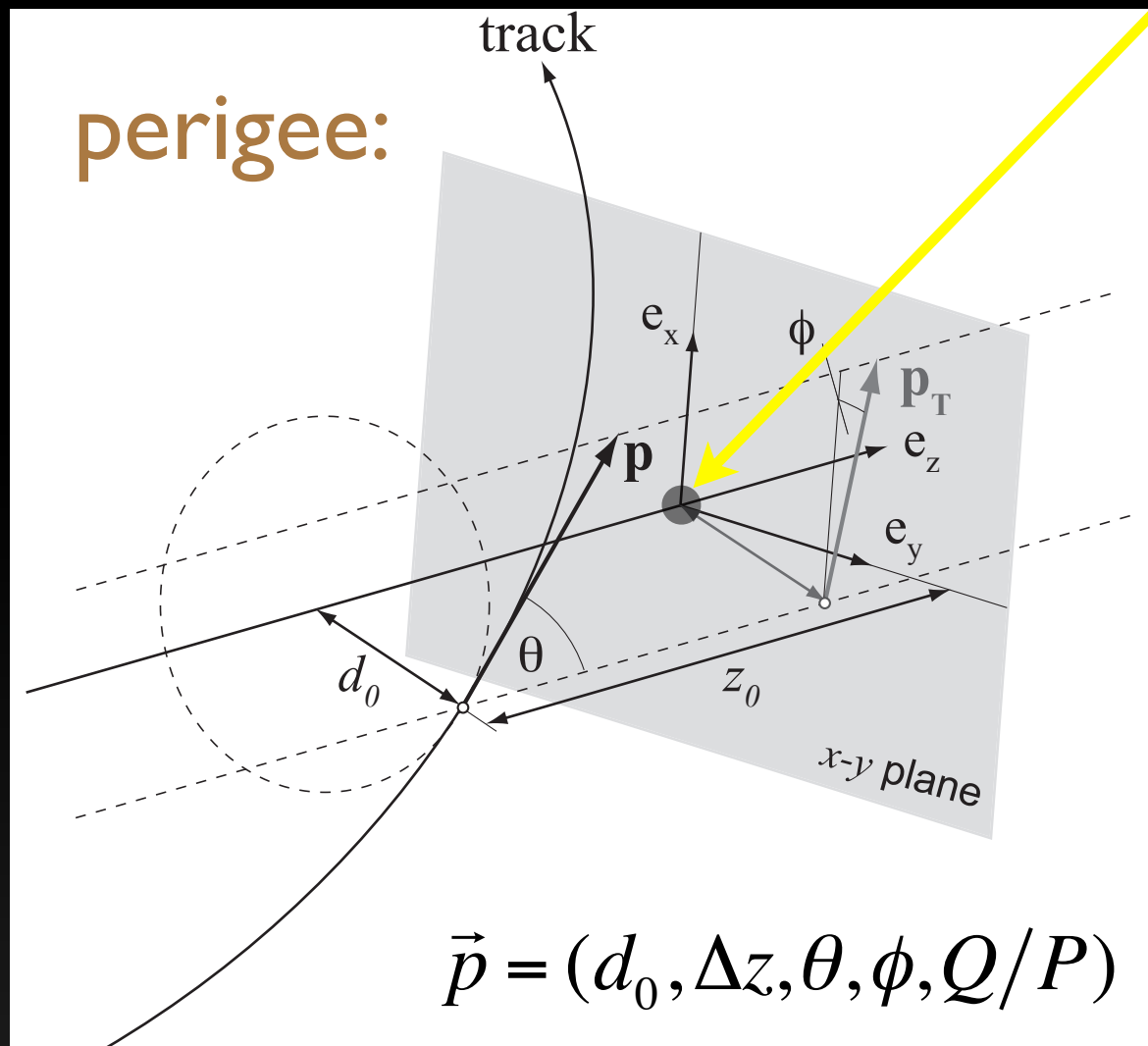


- commonly used

- ➔ e.g. to express track parameters near the production vertex
- ➔ alternative: e.g. on plane surface

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- helix representation w.r.t. a vertex



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Following the Particle Trajectory

- basic problems to be solved in order to follow a track through a detector:
 - ➔ next detector module that it intersects ?
 - ➔ what are its parameters on this surface ?
 - what is the uncertainty of those parameters ?
 - ➔ for how much material do I have to correct for ?

- requires:

- ➔ a detector geometry
 - surfaces for active detectors
 - passive material layers
- ➔ a method to discover which is the next surface (navigation)
- ➔ a propagator to calculate the new parameters and its errors
 - often referred to as “track model”

track

parameters
with uncertainty

- for a constant B-field (or no field)

- ➔ an analytical formula can be calculated for an intersection of a helix (or a straight line) on simple surfaces (plane, cylinder, vertex,...)



Following the Particle Trajectory

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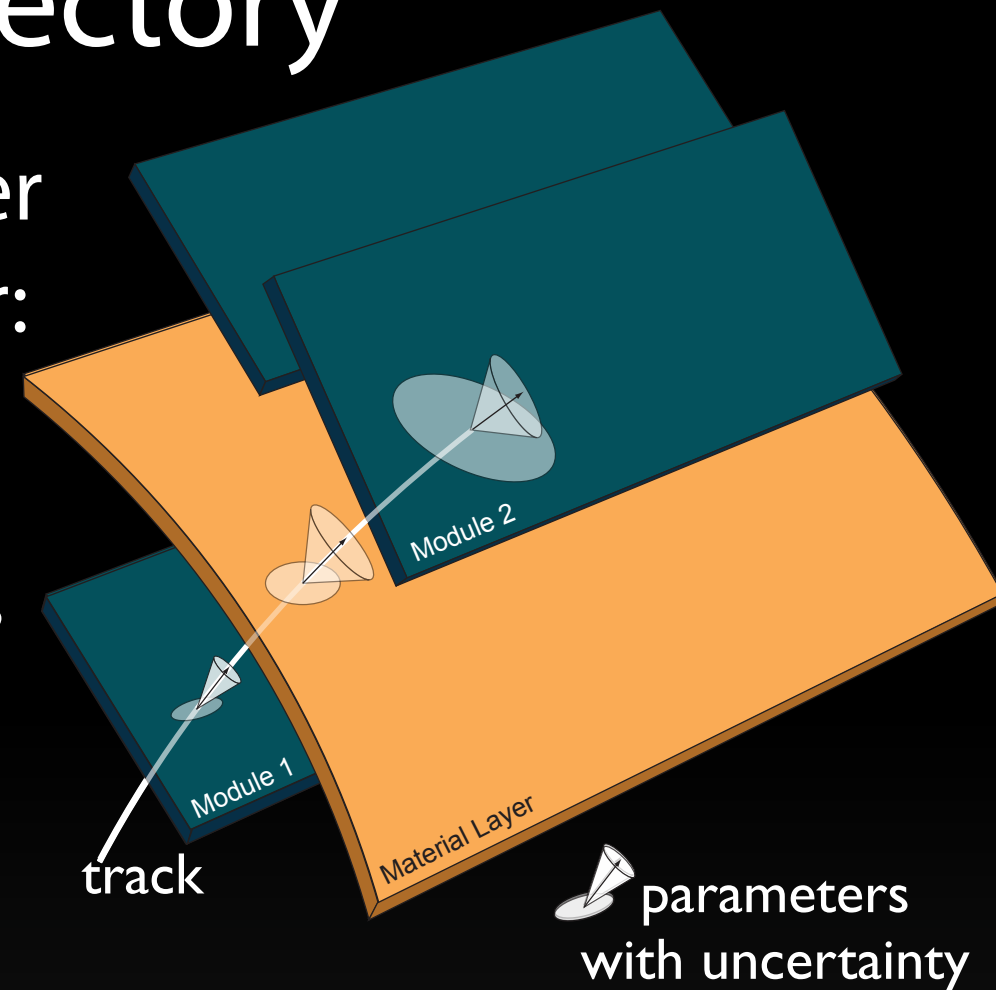
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Effects of **Material** and **realistic B-Field**

- **realistic** non-homogeneous B-field
 - ➔ analytical helix propagation has to be replaced by numerical B-field integration along the path of the trajectory
 - ➔ in ATLAS and CMS a 4th order adaptive **Runge-Kutta-Nystrom** approach is used
 - ➔ propagates covariance matrix in parallel
(Bugge, Myrheim, 1981, NIM 179, p.365)
 - for experts: muon reconstruction in ATLAS+CMS uses the STEP track model with continuous energy loss and multiple scattering
- energy loss
 - ➔ use most **probably energy loss** for x/X_0
 - ➔ correct momentum (curvature) and its covariance
- multiple scattering
 - ➔ increases **uncertainty on direction** of track
 - ➔ for given x/X_0 traversed add term to covariances of θ and ϕ on a material "layer"

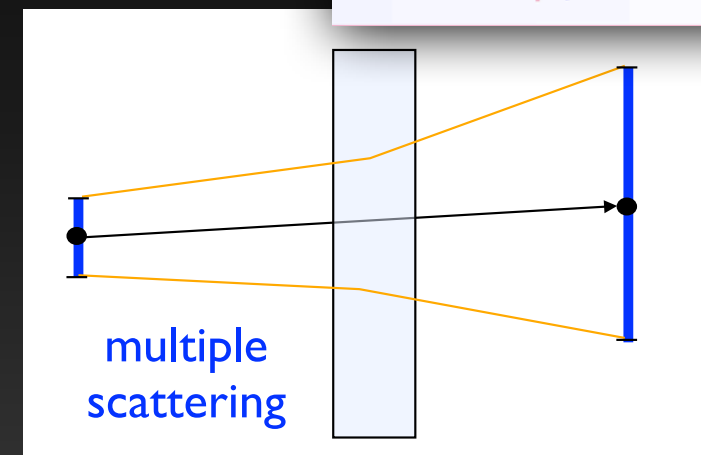
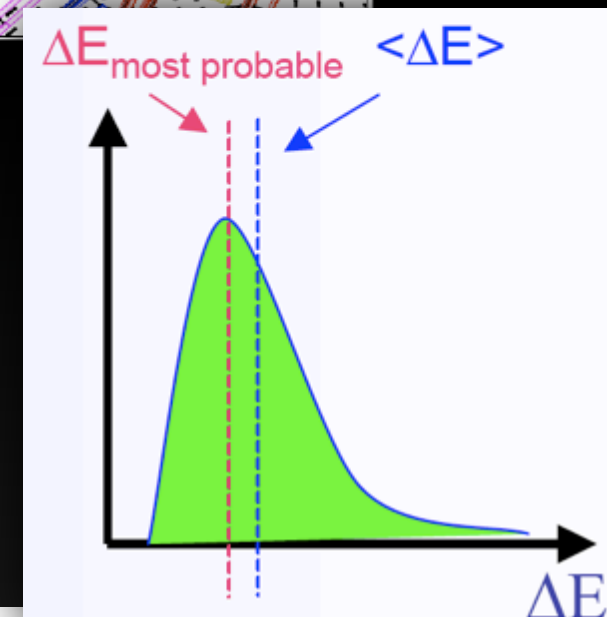
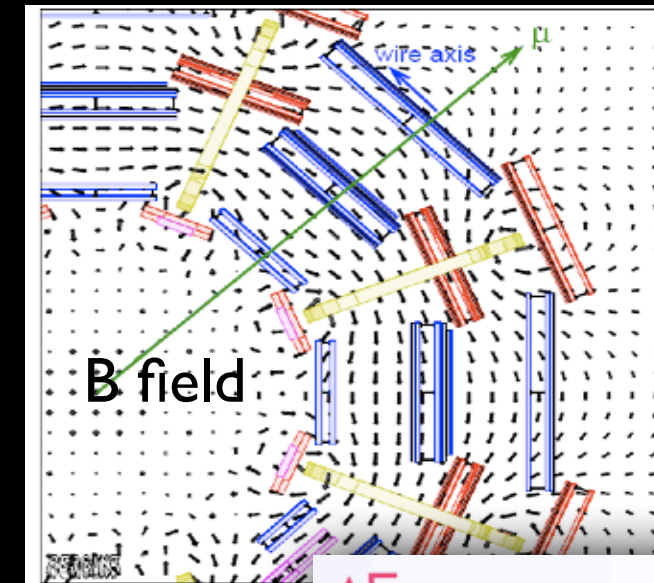


Illustration of Multiple Scattering Effect

- toy simulation
 - ➔ simulation of single particle traversing a set of individual thin material layers
 - single scattering steps accumulate

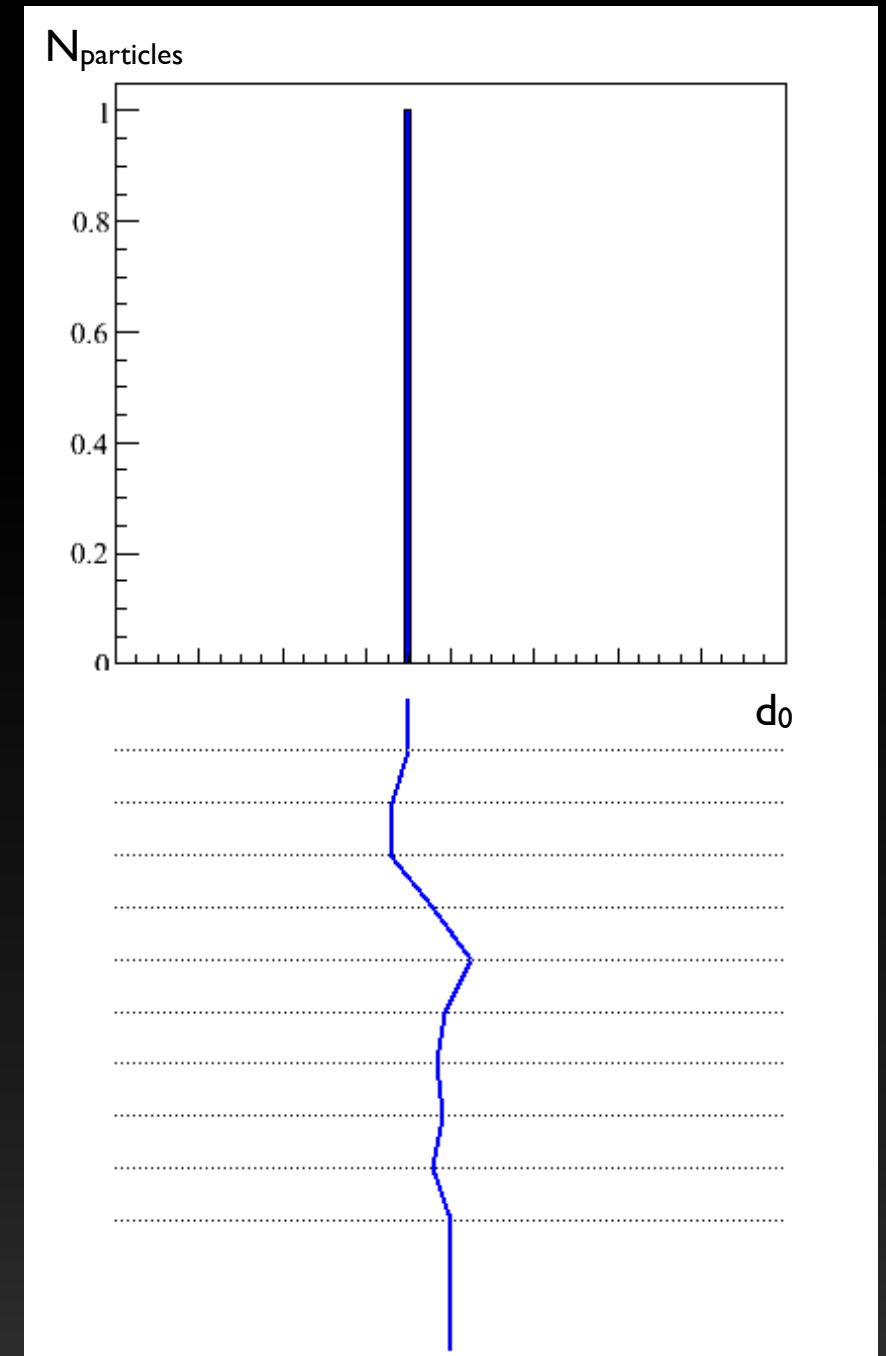


Illustration of Multiple Scattering Effect

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- ➔ repeat N times:
 - central limit theorem predicts gaussian distribution

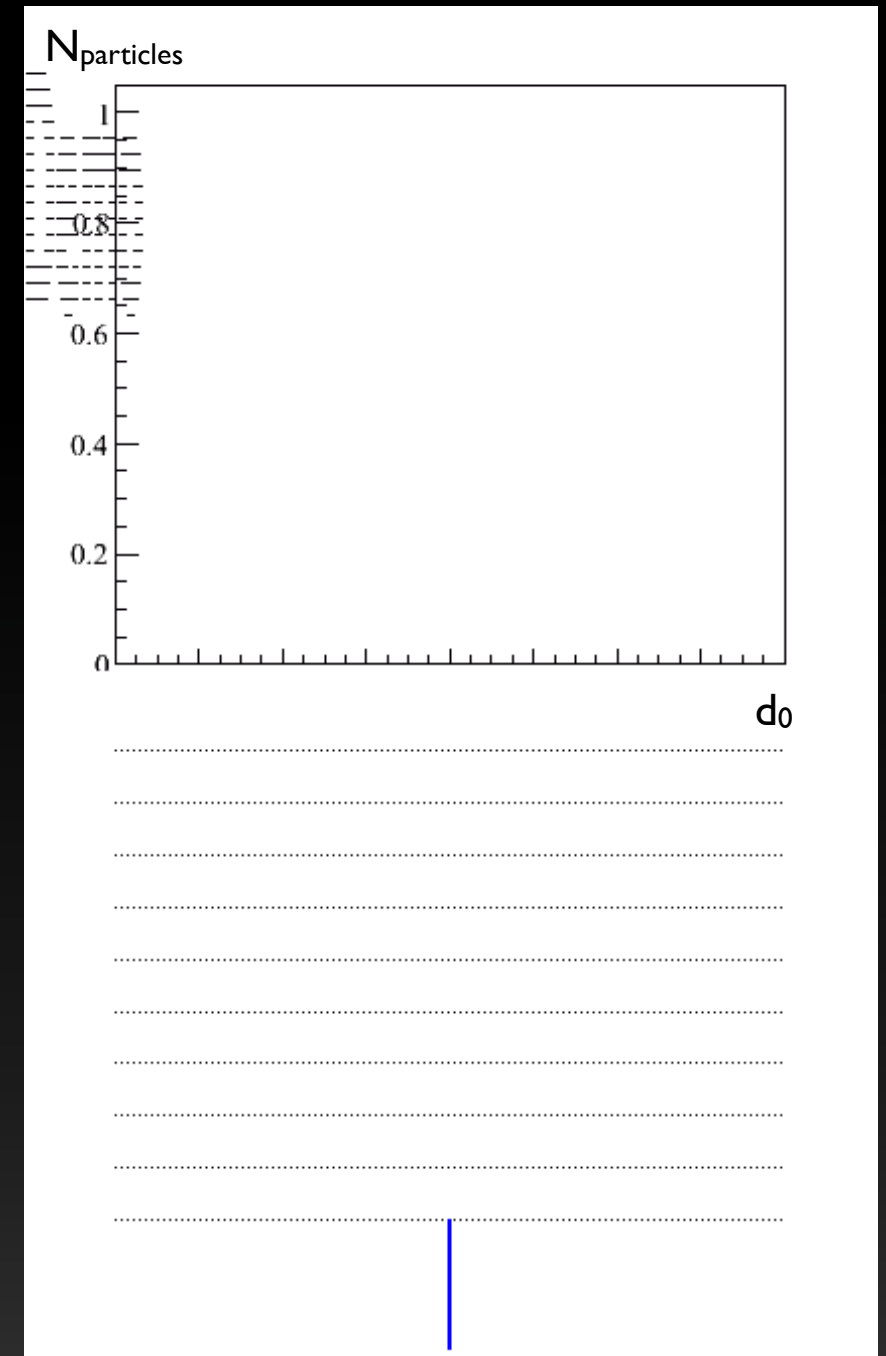
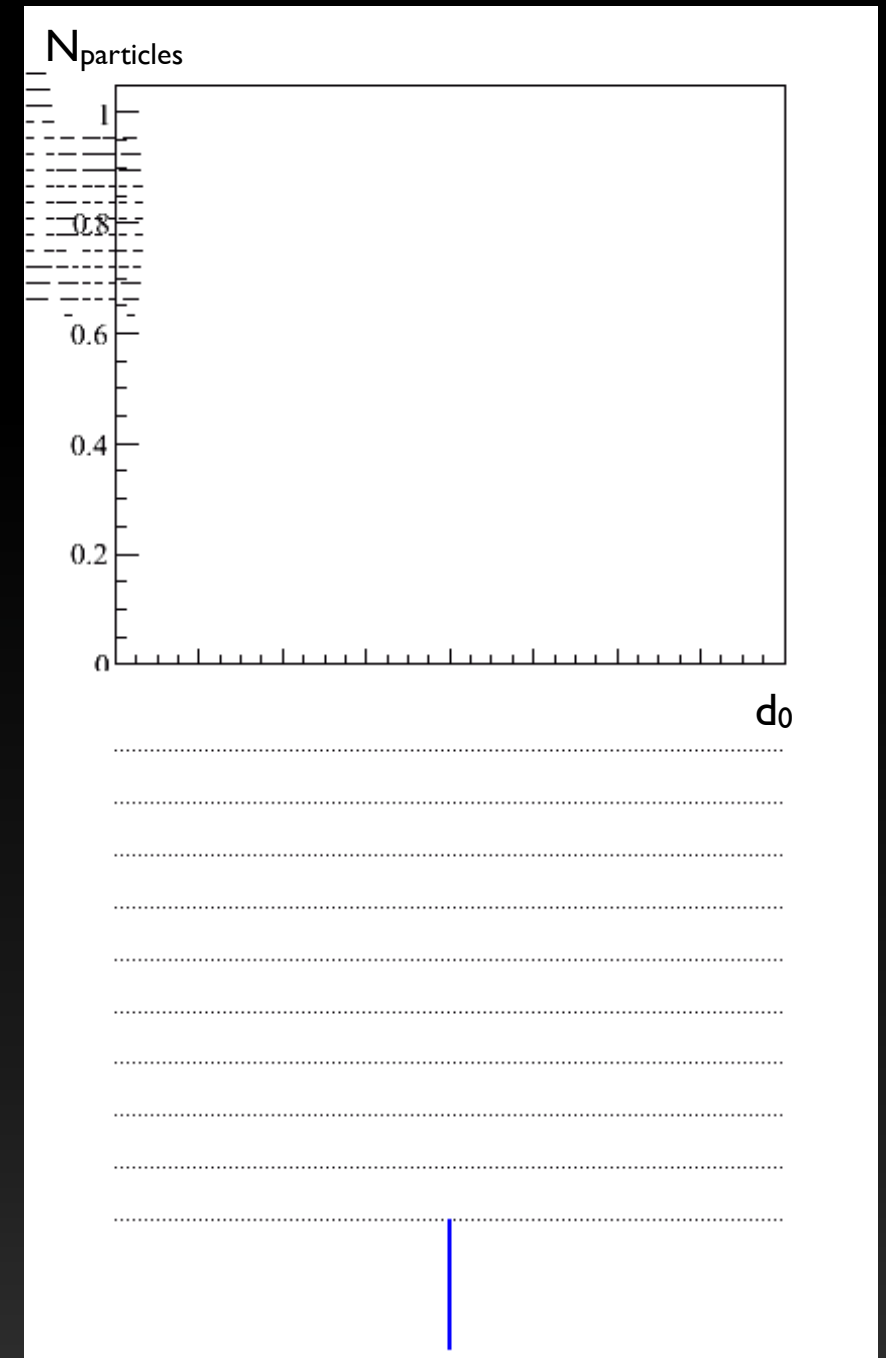


Illustration of Multiple Scattering Effect

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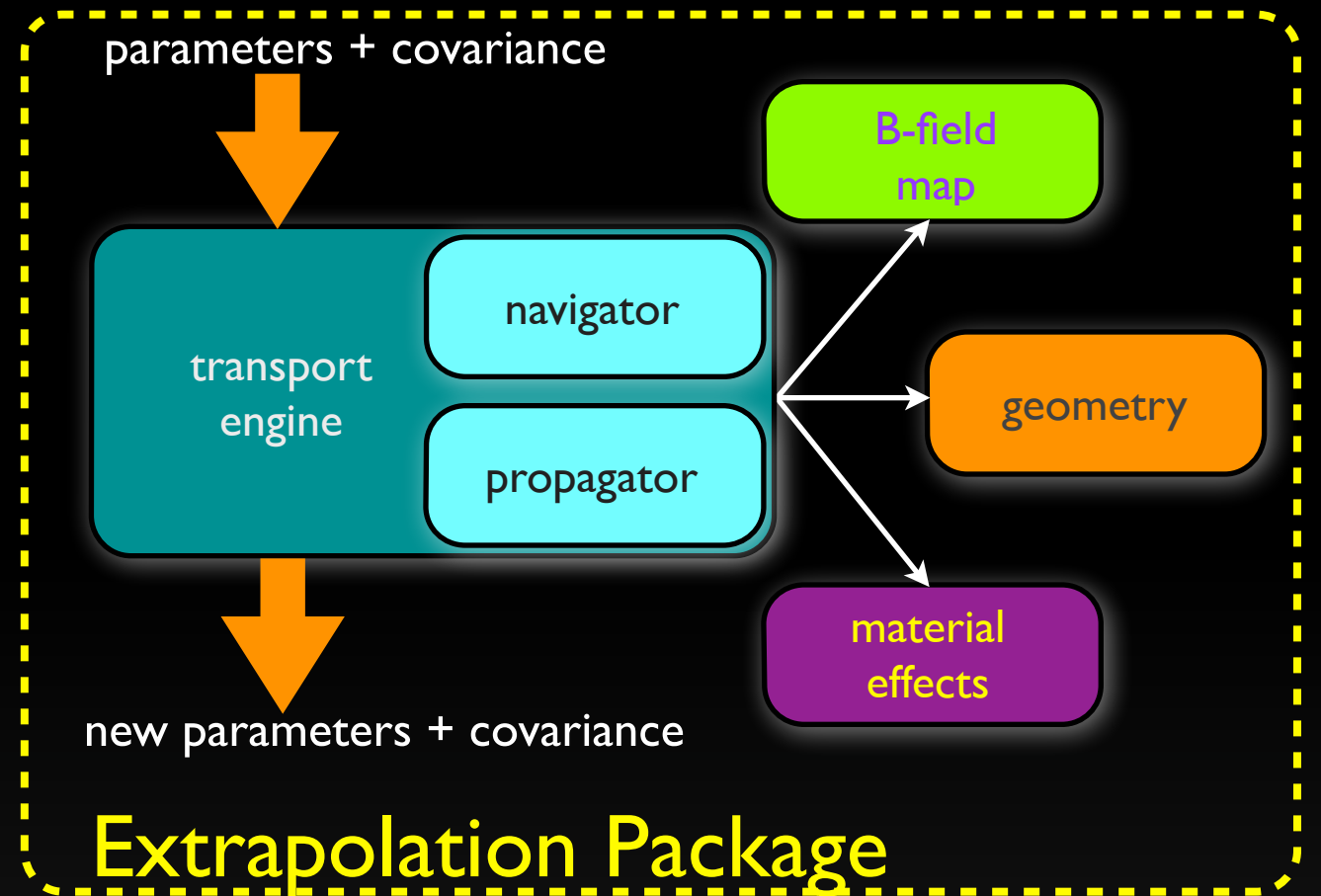
- ➔ simulation of single particle traversing a set of individual thin material layers
 - single scattering steps accumulate
- ➔ repeat N times:
 - central limit theorem predicts gaussian distribution

- sometimes we experience the effect



The Track Extrapolation Package

- a **transport engine** used in tracking software
 - ➔ central tool for pattern recognition, track fitting, etc.
 - ➔ parameter transport from **surface to surface**, including covariance
 - ➔ encapsulates the track model, geometry and material corrections

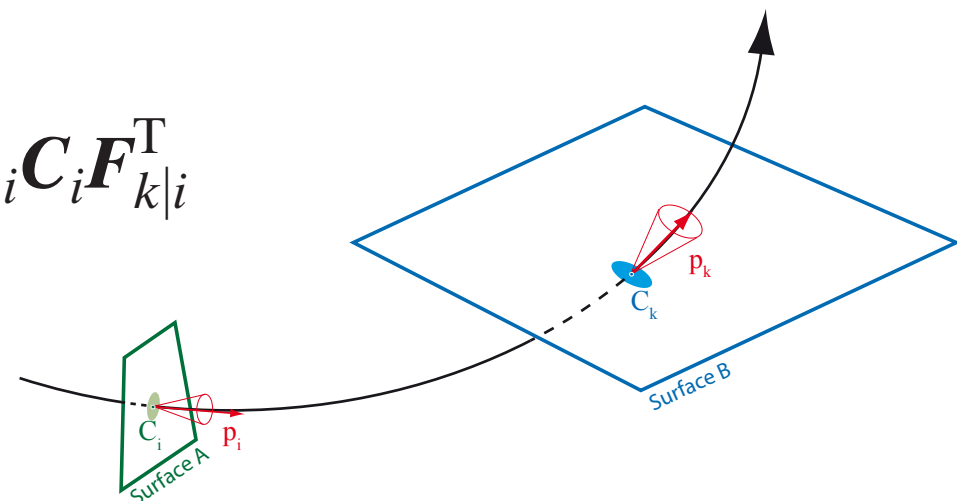


track following in mathematical terms:

$$\mathbf{q}_k = \mathbf{f}_{k|i}(\mathbf{q}_i) \quad \text{convariance: } \mathbf{C}_k = \mathbf{F}_{k|i} \mathbf{C}_i \mathbf{F}_{k|i}^T$$

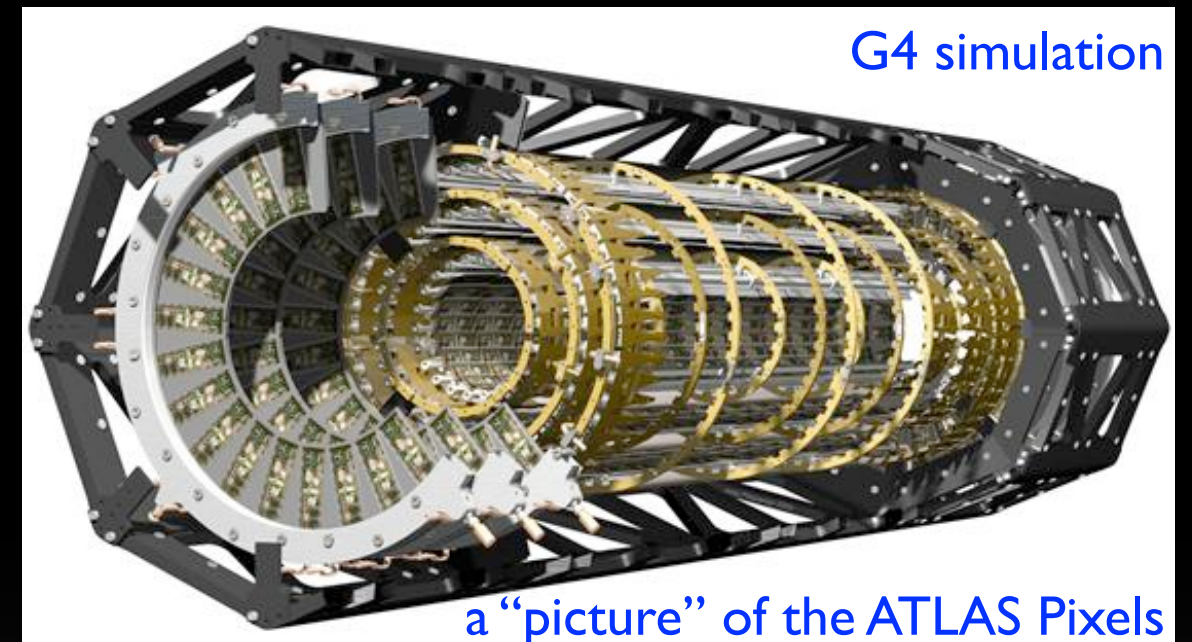
with: $\mathbf{f}_{k|i} \sim$ track model

$$\mathbf{F}_{k|i} = \frac{\partial \mathbf{q}_k}{\partial \mathbf{q}_i} \sim \text{Jacobi matrix}$$



Detector Geometry

- interactions in detector
 - material limiting tracking performance
 - LHC detectors are **complex**
 - require to very detailed description of their geometry
 - experiments developed **geometry models** (translation into G4 simulation)
 - huge number of volumes
- physics requirement to reach LHC goals (e.g. W mass)
 - control material close to beam pipe at % level



	model	placed volumes
ALICE	Root	4.3 M
ATLAS	GeoModel	4.8 M
CMS	DDD	2.7 M
LHCb	LHCb Det.Des.	18.5 M

for completeness

Weighing Detectors during Construction

- huge **effort** in experiments
 - ➔ put each individual detector part on balance and compare with model
 - ➔ CMS and ATLAS measured weight of their tracker and its components
 - ➔ correct the geometry implementation in simulation and reconstruction



example: ATLAS TRT measured before and after insertion of the SCT

CMS	estimated from measurements	simulation
active Pixels	2598 g	2455 g
full detector	6350 kg	6173 kg

Preliminary

ATLAS	estimated from measurements	simulation
Pixel package	201 kg	197 kg
SCT detector	672 ± 15 kg	672 kg
TRT detector	2961 ± 14 kg	2962 kg

Date	ATLAS $\eta \approx 0$	$\eta \approx 1.7$	CMS $\eta \approx 0$	$\eta \approx 1.7$
1994 (Technical Proposals)	0.20	0.70	0.15	0.60
1997 (Technical Design Reports)	0.25	1.50	0.25	0.85
2006 (End of construction)	0.35	1.35	0.35	1.50



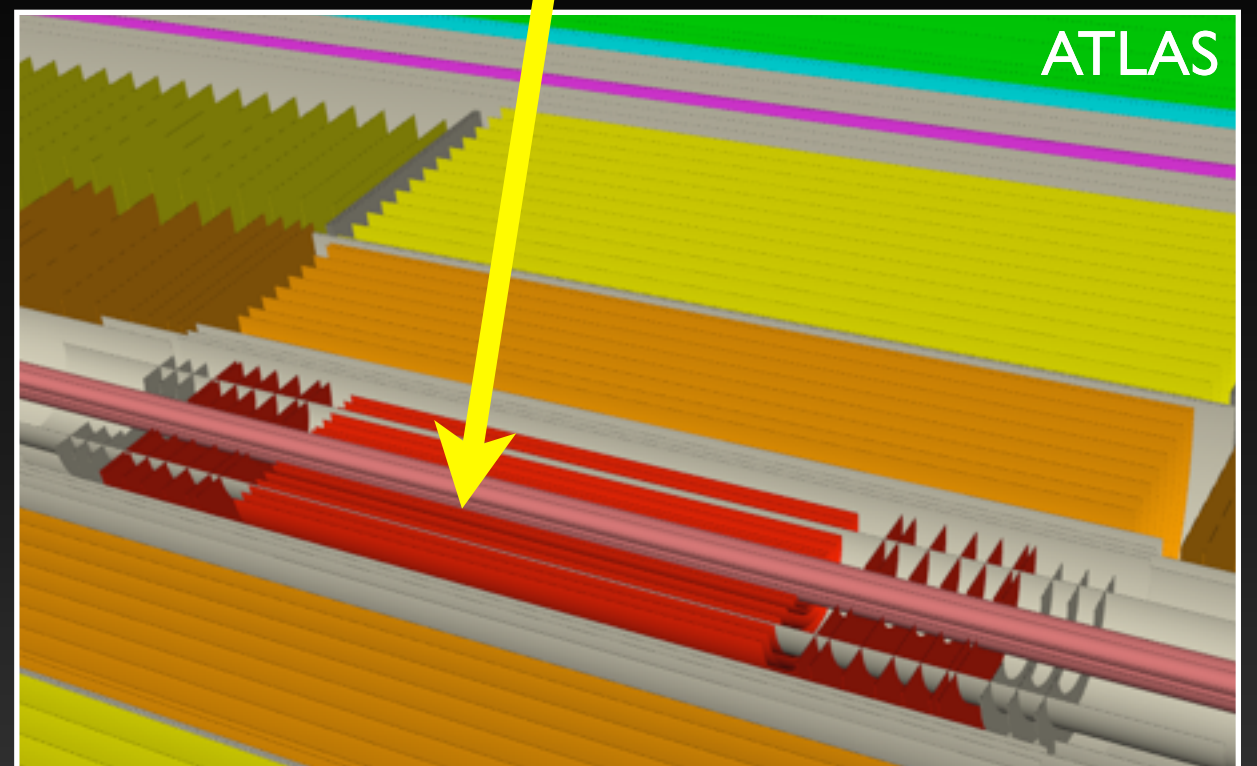
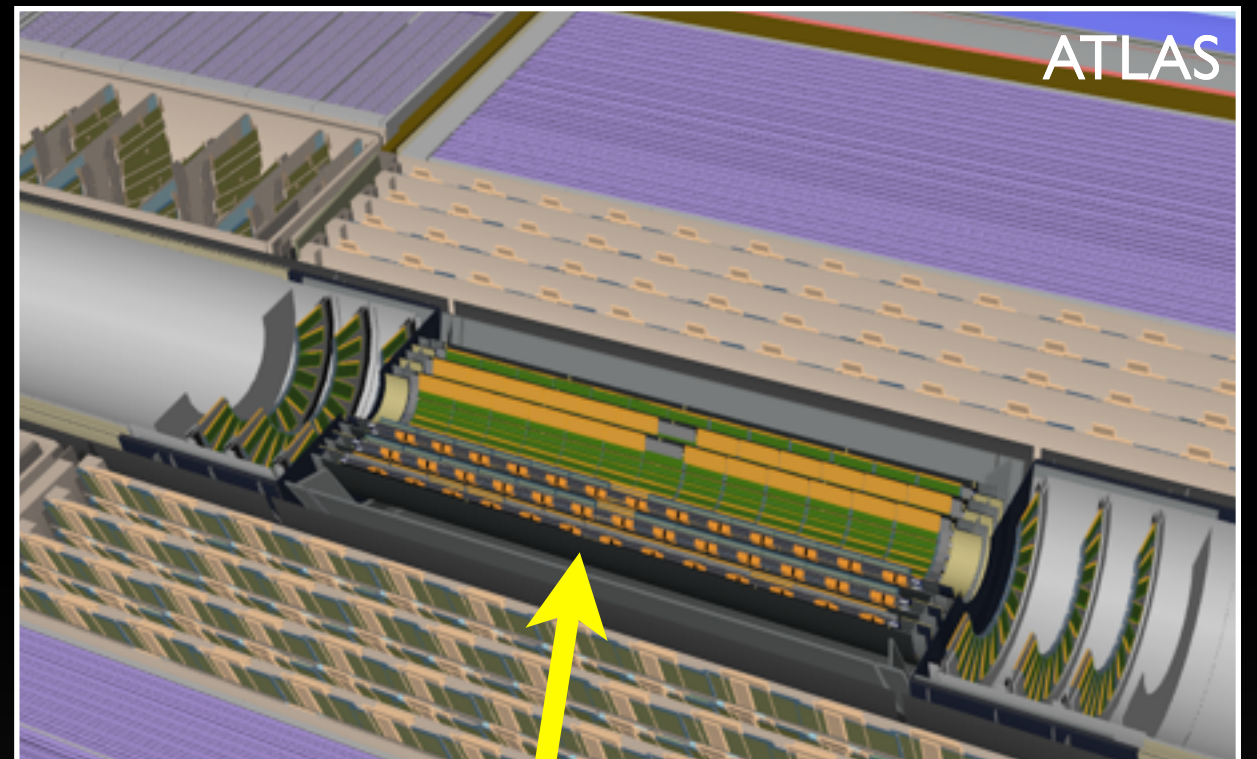
Full and Fast (Tracking) Geometries

- complex G4 geometries not optimal for reconstruction
 - ➔ simplified **tracking geometries**
 - ➔ material surfaces, field volumes
- reduced number of volumes
 - ➔ blending details of material onto simple surfaces/volumes
 - ➔ surfaces with 2D material density maps, templates per Si sensor...

	G4	tracking
ALICE	4.3 M	same *
ATLAS	4.8 M	10.2K *
CMS	2.7 M	3.8K *
LHCb	18.5 M	30

*1 ALICE uses full geometry (TGeo)

*2 plus a surface per Si sensor



Embedded Navigation Schemes

- **embedded navigation** scheme in tracking geometries

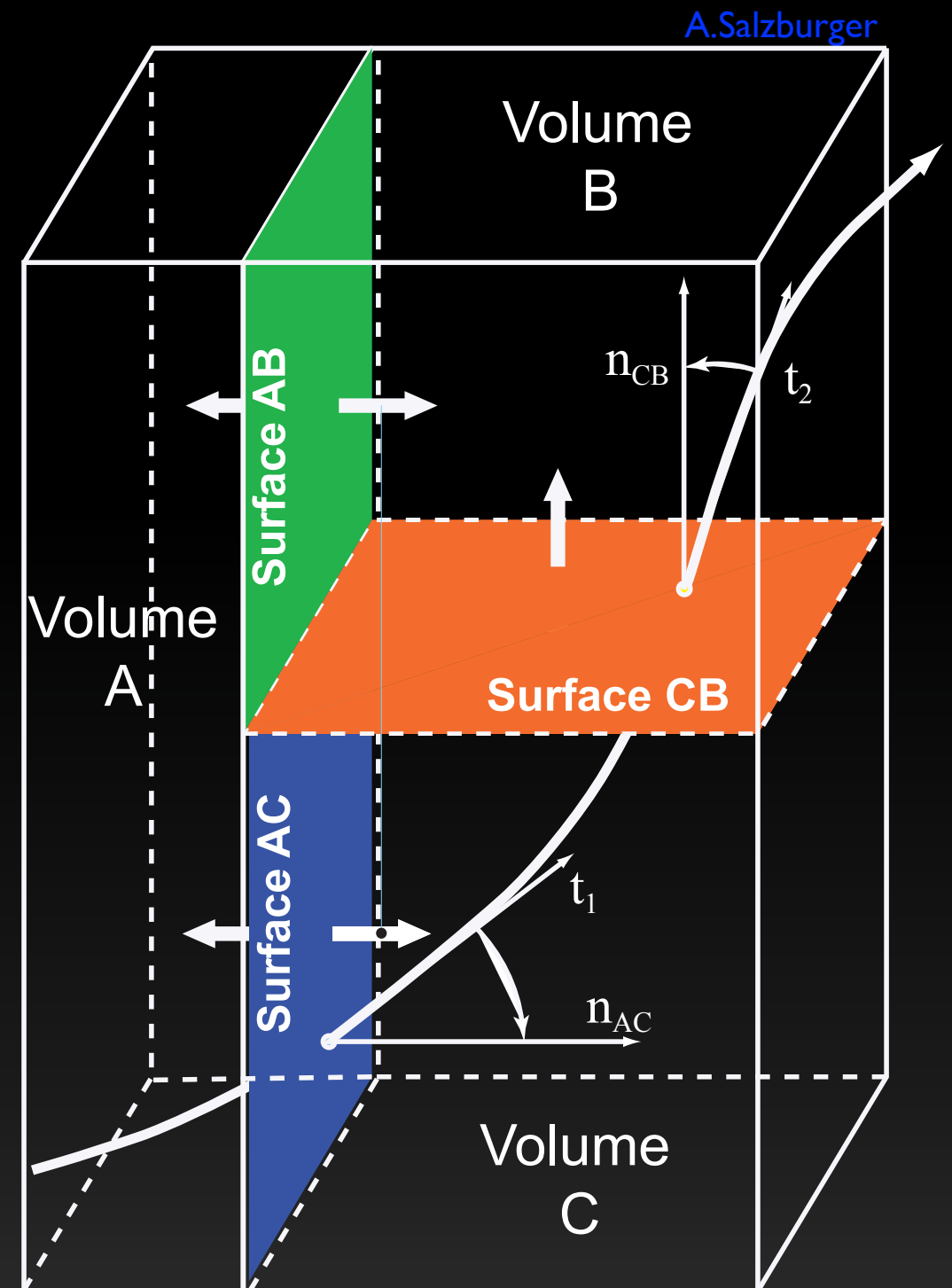
- ➔ G4 navigation uses voxelisation as generic navigation mechanism
- ➔ **embedded navigation** for simplified models
 - used in pattern recognition, extrapolation, track fitting and fast simulation

- **example: ATLAS**

- ➔ developed geometry of connected volumes
- ➔ boundary surfaces connect neighbouring volumes to predict next step

ATLAS	G4	tracking	ratio
crossed volumes in tracker	474	95	5
time in SI2K sec	19.1	2.3	8.4

(neutral geantinos, no field lookups)



Detour: Simulation (Geant4)

- Geant4 is based upon

- ➔ **stack** to keep track of all particles produced and stack manager

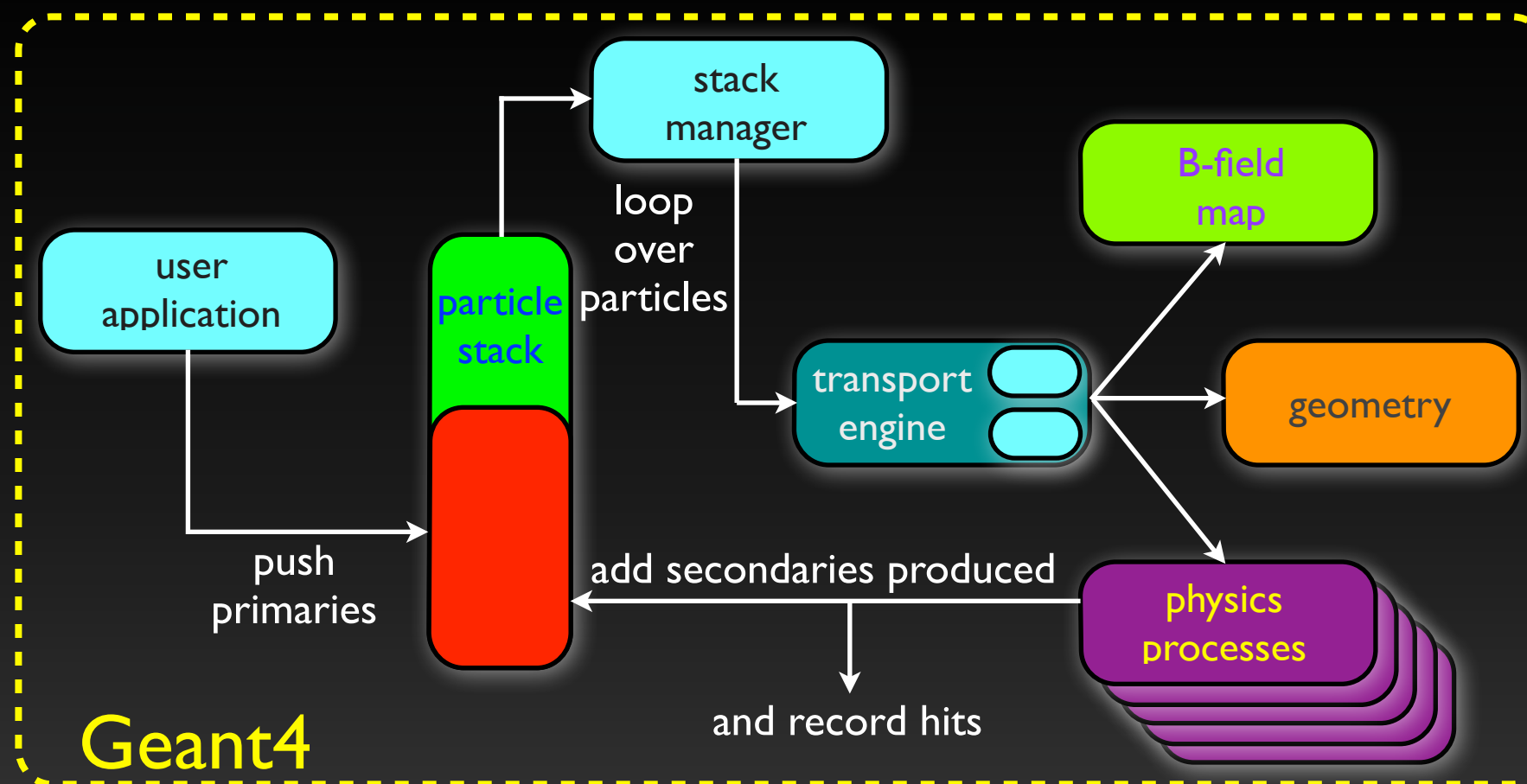
- ➔ **extrapolation system** to propagate each particle:

- transport engine with navigation
- geometry model
- B-field

same concept as for
track reconstruction

- ➔ set of **physics processes** describing interaction of particles with matter

- ➔ a user application interface, ...



Geant4



Fast Simulation

- **CPU** needs for full G4 exceeds computing models
 - ➔ simulation strategies of experiments mix full G4 and fast simulation

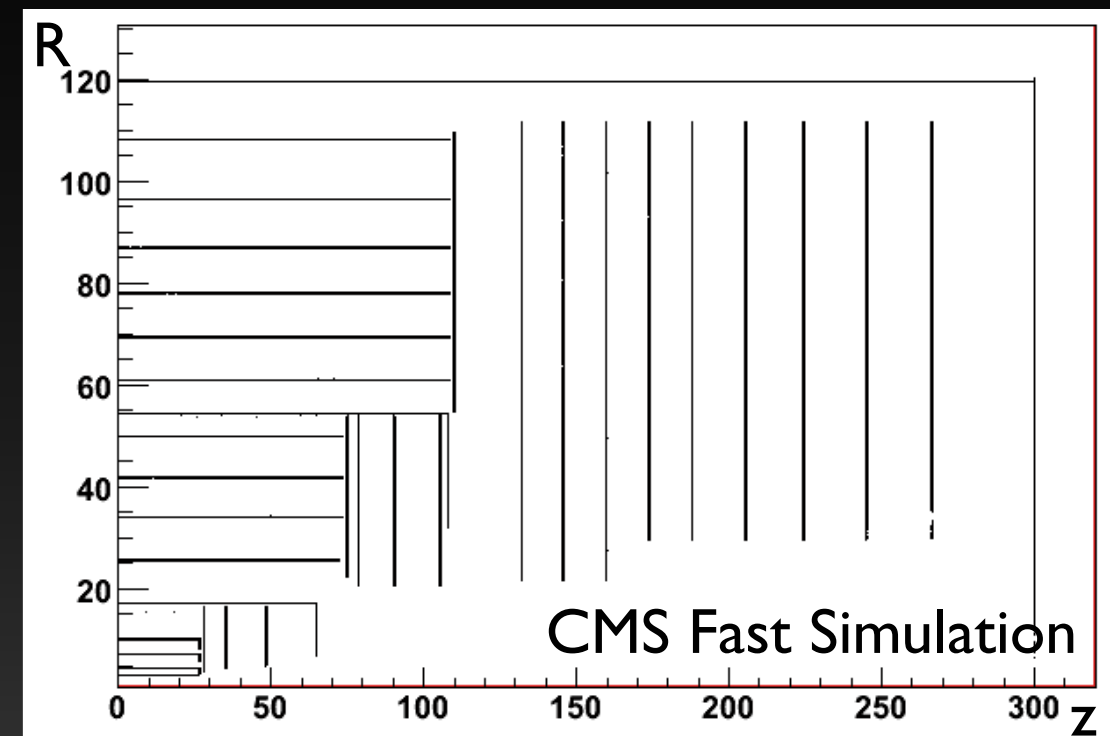
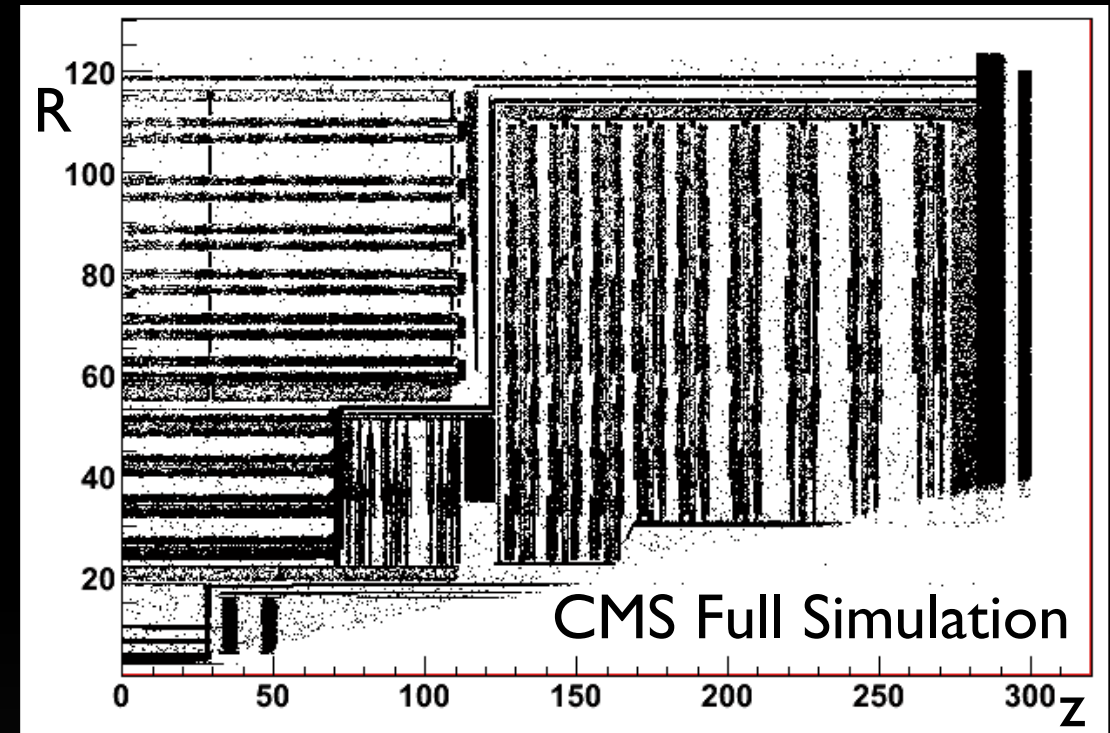
	G4	fast sim.
CMS	360	0.8
ATLAS	1990	7.4

- ttbar events, in kSI2K sec
- G4 differences: calo.modeling , phys.list, η cuts, b-field

- **fast simulation engines**

- ➔ fast calo. simulation (parameterization, showers libraries, ...)
- ➔ simplified **tracking geometries**
- ➔ simplify physics processes w.r.t. G4
- ➔ output in same data model as full sim.
- ➔ able to run full reconstruction (+trigger)

for completeness



Back to Tracking: Track Fitting



Track Fitting

- task of a track fit:
 - ➔ estimate the track parameters from a set of measurements
- measurement model
 - ➔ in mathematical terms:

$$m_k = h_k(q_k) + \gamma_k$$

with: $h_k \sim$ functional dependency of measurement on e.g. track angle

$\gamma_k \sim$ error (noise term)

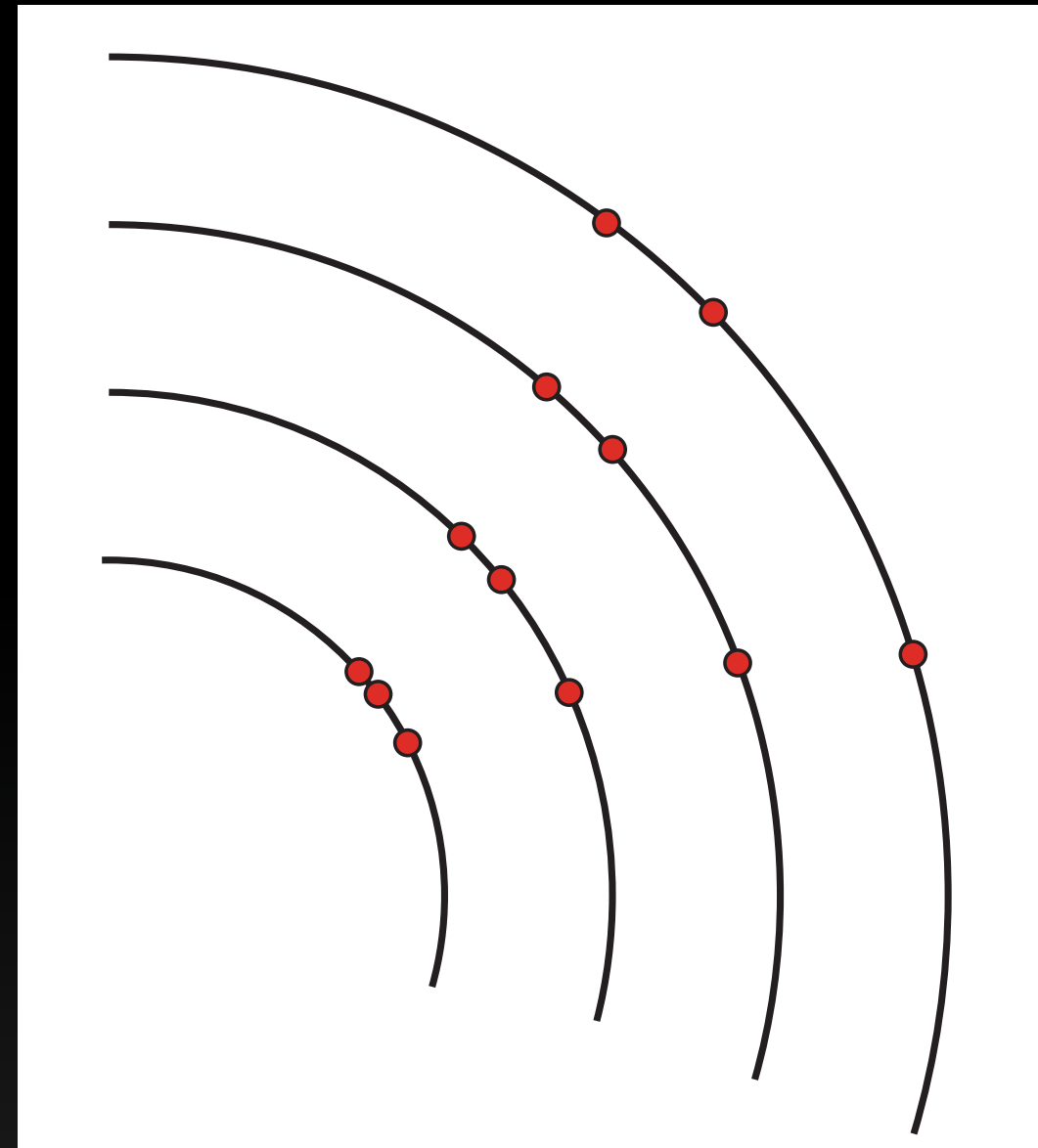
$H_k = \frac{\partial m_k}{\partial q_k} \sim$ Jacobian, often contains only rotations and projections

➔ in practice those m_k are clusters, drift circles, ...

- examples for fitting techniques

➔ **Least Square** track fit or **Kalman Filter** track fit

➔ more specialised versions: **Gaussian Sum Filter** or **Deterministic Annealing Filters**



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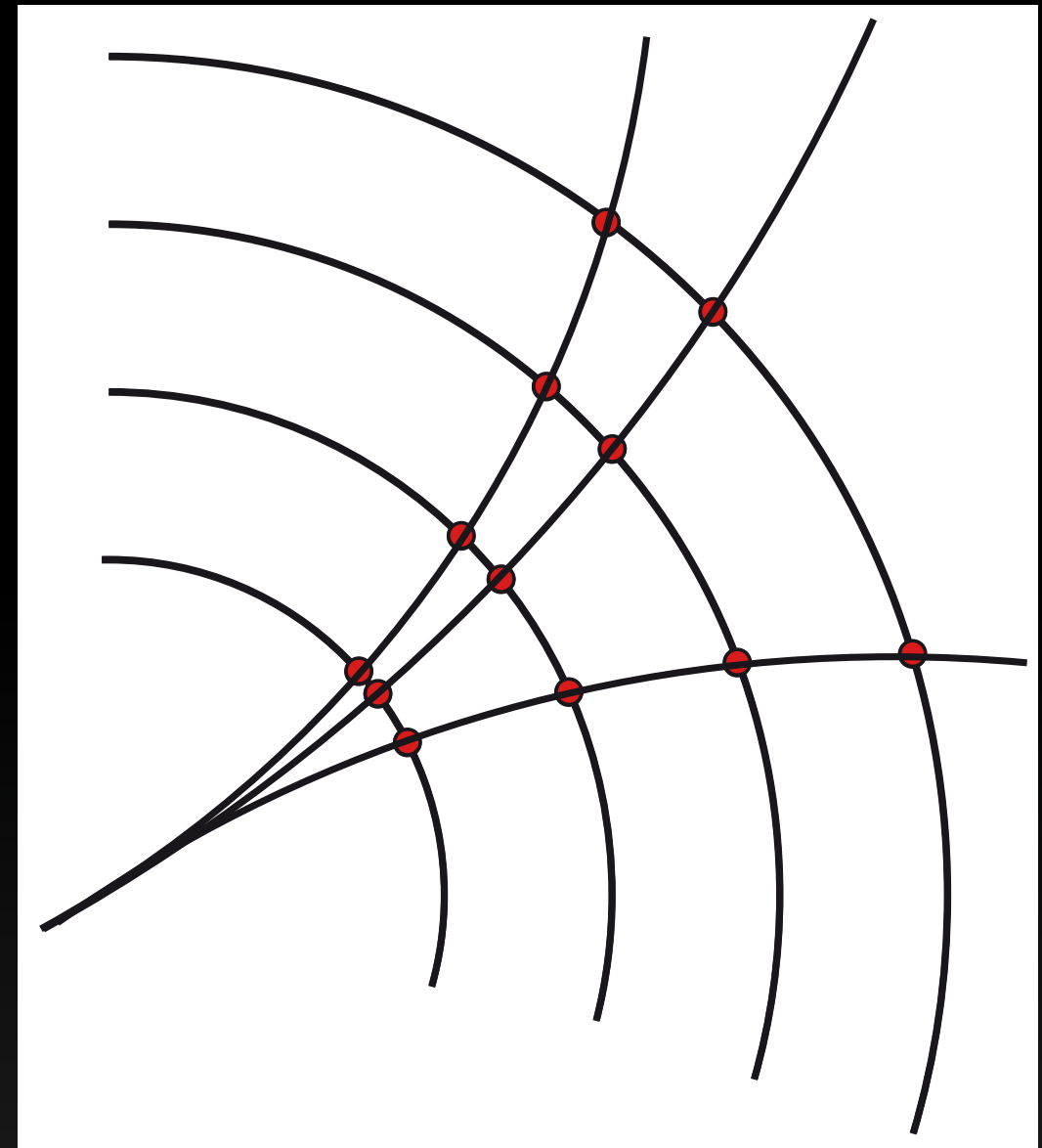
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Classical **Least Square** Track Fit

Carl Friedrich Gauss is credited with developing the fundamentals of the basis for least-squares analysis in 1795 at the age of eighteen.

Legendre was the first to publish the method, however.



- construct and minimise the **χ^2 function**:

$$\chi^2 = \sum_k \Delta m_k^T G_k^{-1} \Delta m_k \quad \text{with:} \quad \Delta m_k = m_k - d_k(p)$$

d_k contains measurement model and propagation of the parameters p : $d_k = h_k \circ f_{k|k-1} \circ \dots \circ f_{2|1} \circ f_{1|0}$

G_k is the covariance matrix of m_k . Linearize the problem:

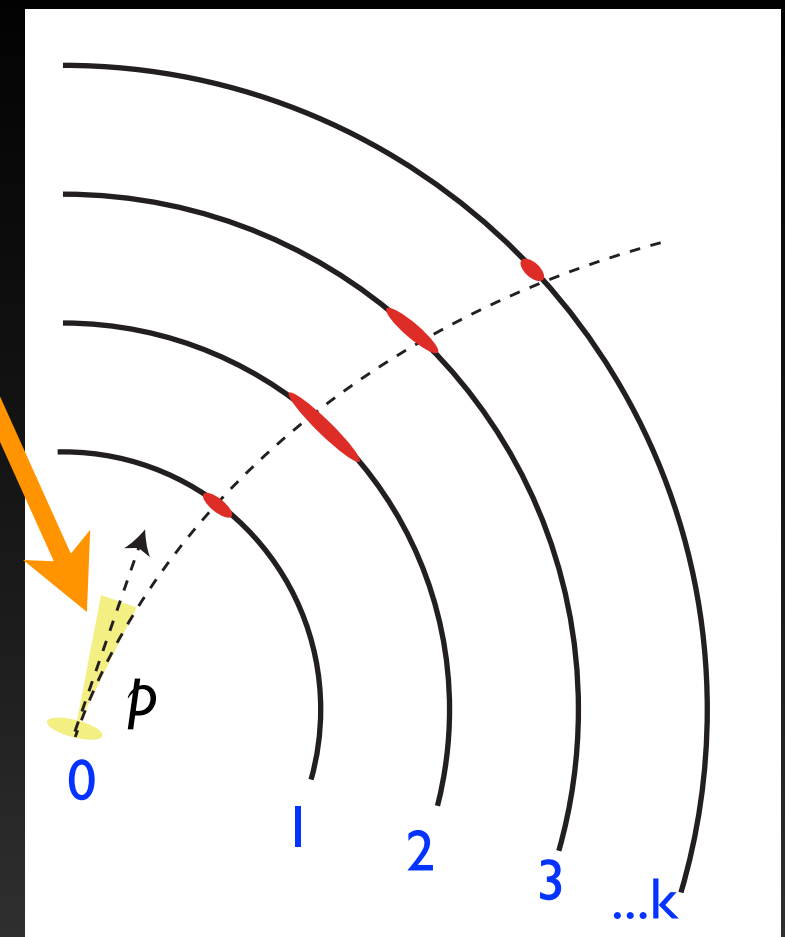
$$d_k(p_0 + \delta p) \cong d_k(p_0) + D_k \cdot \delta p + \text{higher terms}$$

with Jacobian: $D_k = H_k F_{k|k-1} \dots F_{2|1} F_{1|0}$

minimizing the linearized χ^2 yields:

$$\frac{\partial \chi^2}{\partial p} = 0 \Rightarrow \delta p = \left(\sum_k D_k^T G_k^{-1} D_k \right)^{-1} \sum_k D_k^T G_k^{-1} (m_k - d_k(p_0))$$

and covariance of δp is: $C = \left(\sum_k D_k^T G_k^{-1} D_k \right)^{-1}$



for completeness

Classical Least Square Track Fit

- material effects

- ➔ can be absorbed in track model $f_{k|i}$, provided effects are small
- ➔ for substantial multiple scattering, allows for **scattering angles** in the fit

- scattering angles

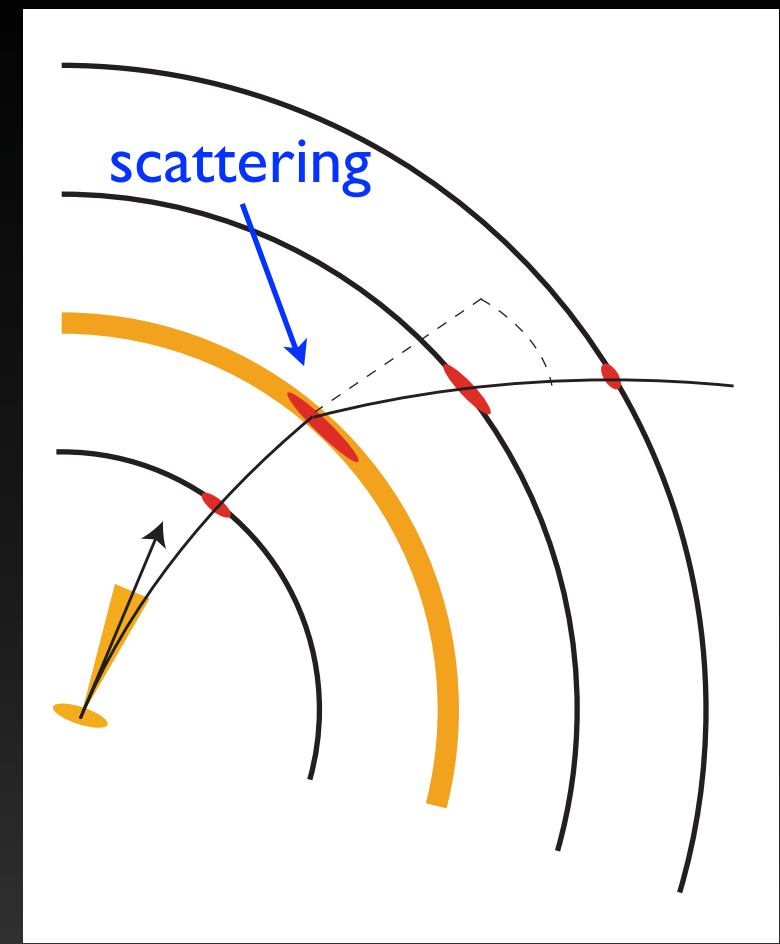
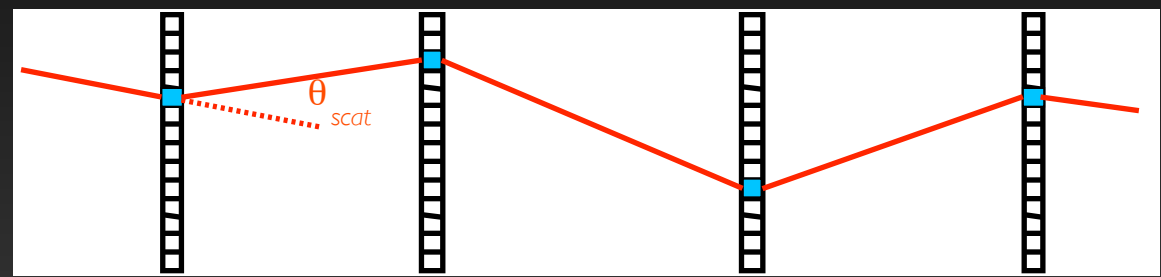
- ➔ on each material surface, add 2 angles $\delta\theta_i$ as free parameters to the fit
- ➔ expected mean of those angles is 0 (!), their covariance Q_i is given by multiple scattering in x/X_0

- changes to χ^2 formula on previous slide

$$\chi^2 = \sum_k \Delta m_k^T G_K^{-1} \Delta m_k + \sum_i \delta\theta_i^T Q_i^{-1} \delta\theta_i$$

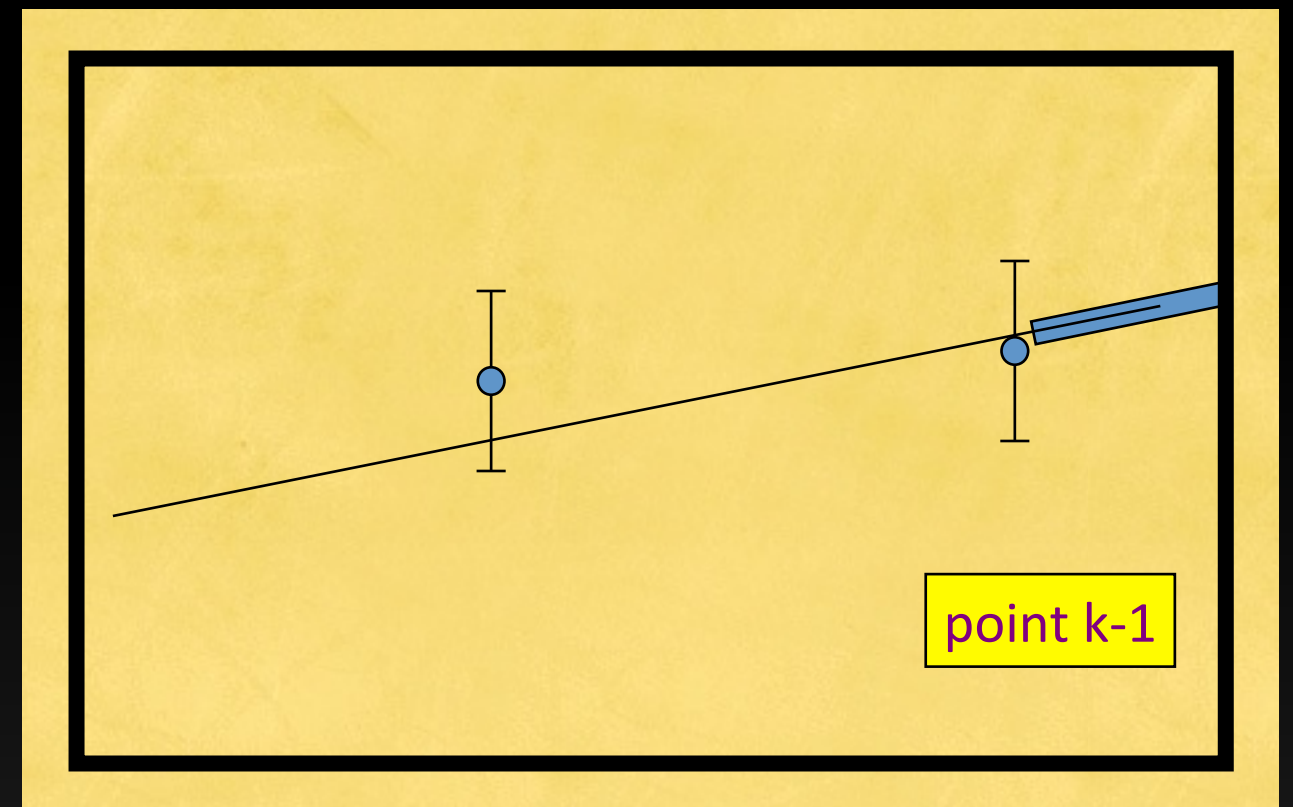
with: $\Delta m_k = m_k - d_k(p, \delta\theta_i)$

- ➔ computationally expensive: *need to invert a (5+2*n) matrix*
- ➔ advantage is that the fitted track precisely follows the particle trajectory: *(e.g. for ATLAS muon reconstruction)*



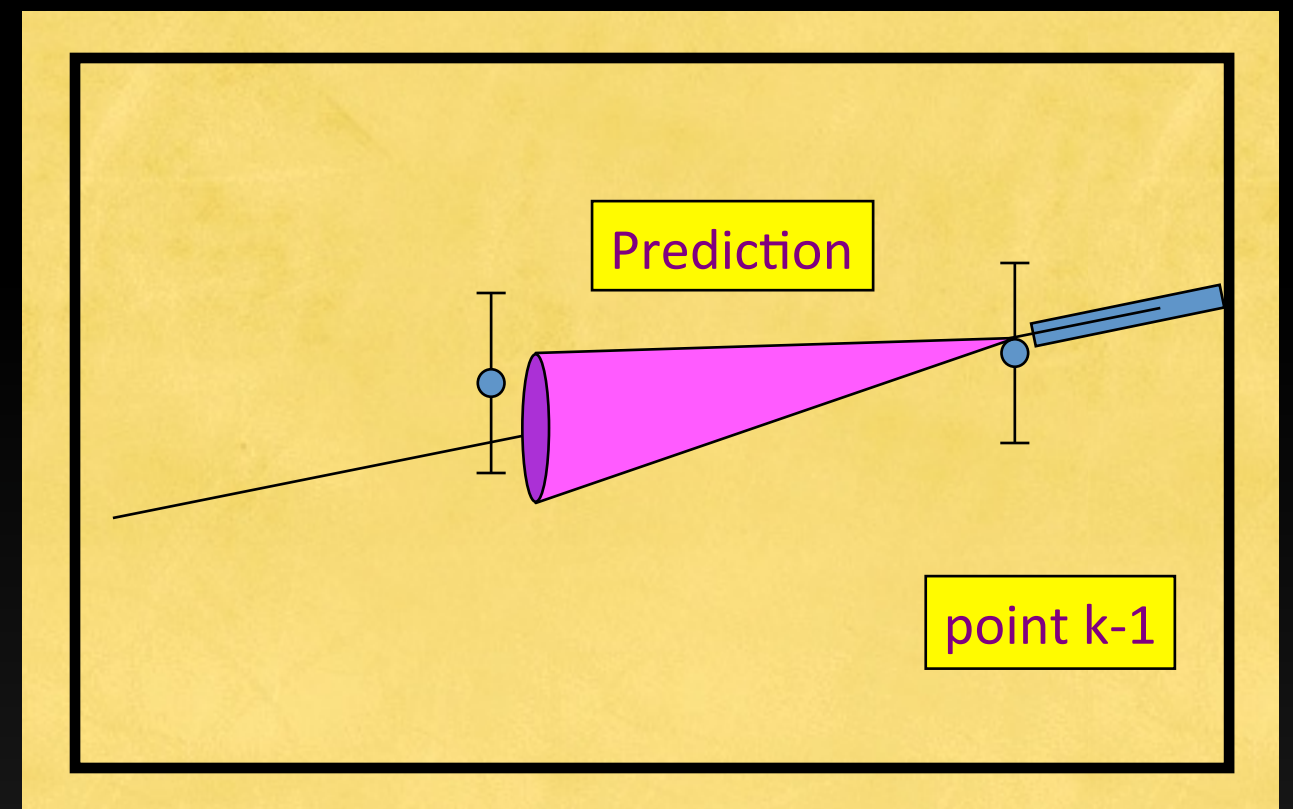
The Kalman Filter Track Fit

- a Kalman Filter is a **progressive** way of performing a least square fit
 - ➔ mathematically equivalent
- how does the filter work ?
 1. trajectory parameters at point **k-1**



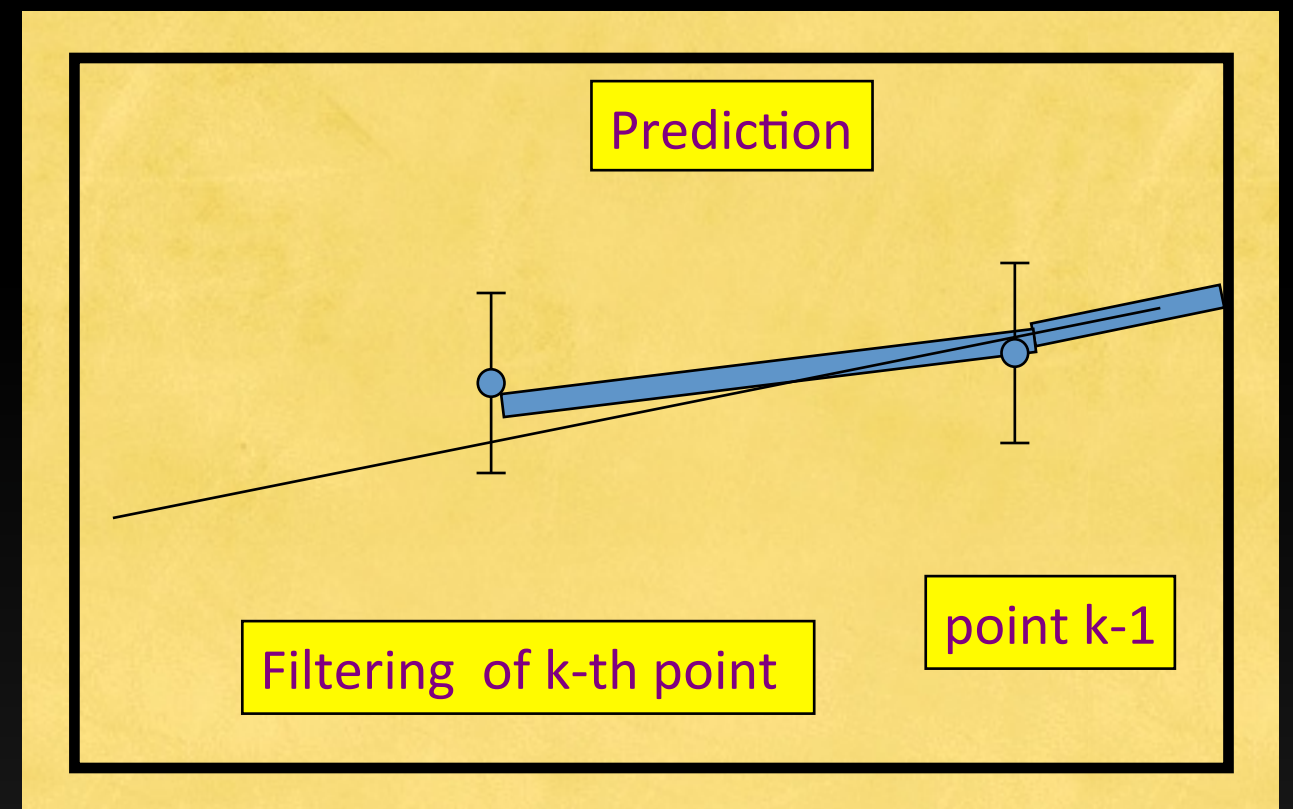
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(let's ignore material effects)



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 3. update predicted parameters with measurement **k**
(simple weighted mean or gain matrix update)
 4. and start over with 1.

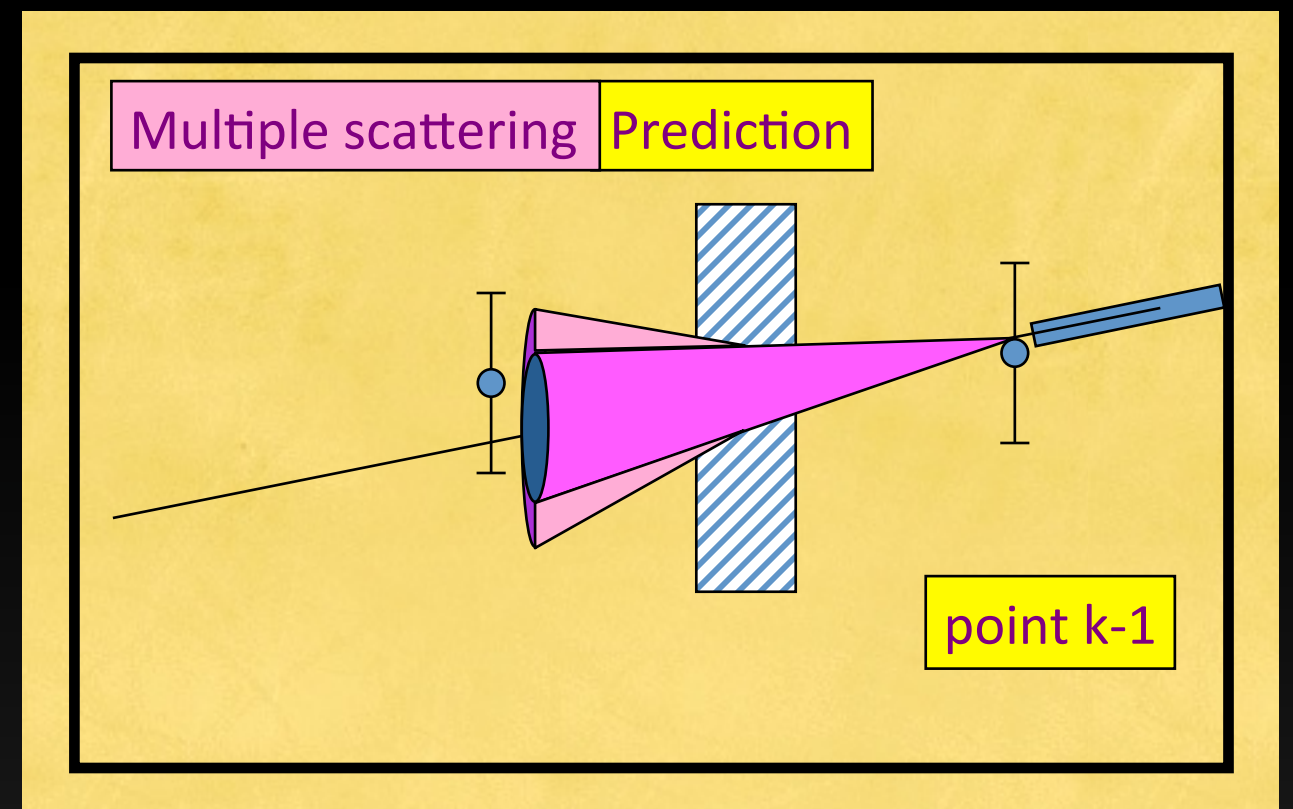


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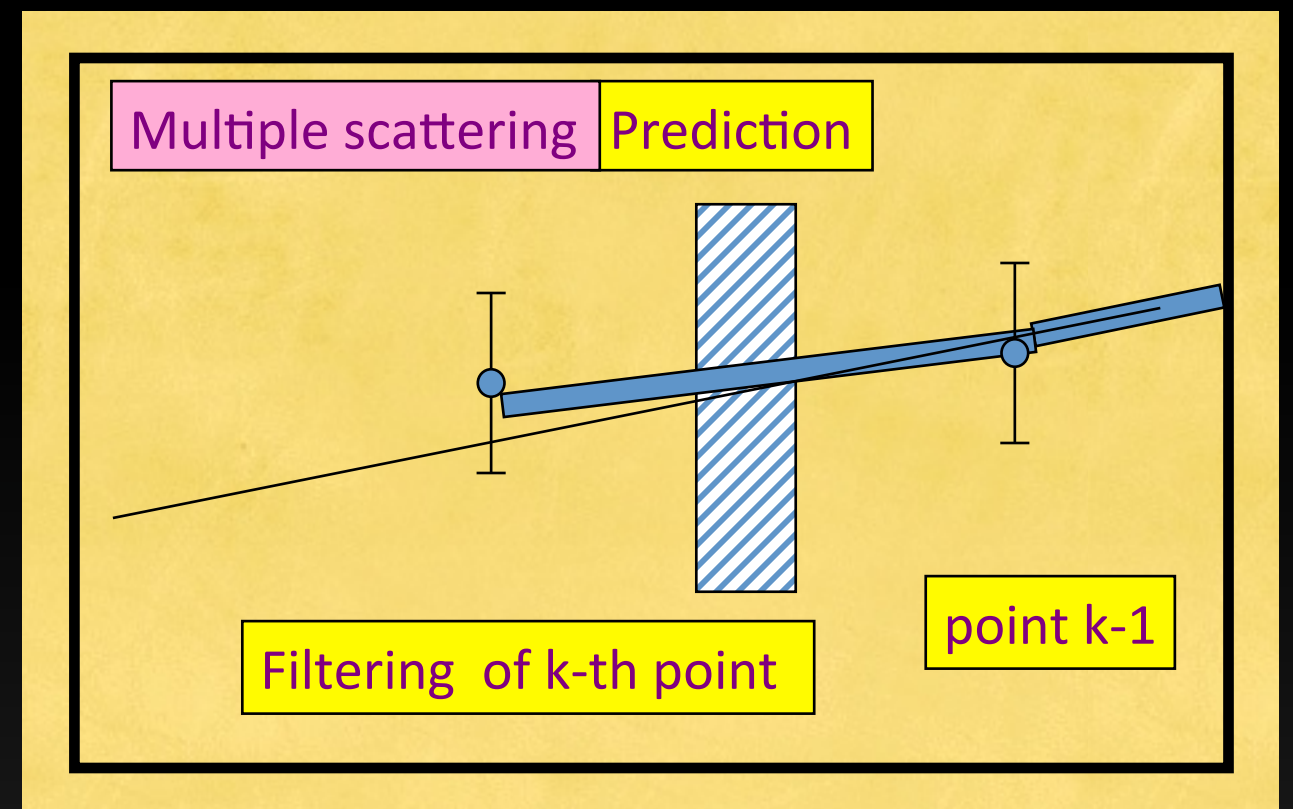
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- **material effects** (multiple scattering and energy loss)

- ➔ incorporated in the propagated parameters (prediction)
- ➔ and therefore enters into the updated parameters at point **k**

The Kalman Filter Track Fit

for completeness

- in mathematical terms:

1. propagate \mathbf{p}_{k-1} and its covariance \mathbf{C}_{k-1} :

$$\mathbf{q}_{k|k-1} = \mathbf{f}_{k|k-1}(\mathbf{q}_{k-1|k-1})$$

$$\mathbf{C}_{k|k-1} = \mathbf{F}_{k|k-1} \mathbf{C}_{k-1|k-1} \mathbf{F}_{k|k-1}^T + \mathbf{Q}_k$$

with $\mathbf{Q}_k \sim$ noise term (M.S.)

2. update prediction to get $\mathbf{q}_{k|k}$ and $\mathbf{C}_{k|k}$:

$$\mathbf{q}_{k|k} = \mathbf{q}_{k|k-1} + \mathbf{K}_k [\mathbf{m}_k - \mathbf{h}_k(\mathbf{q}_{k|k-1})]$$

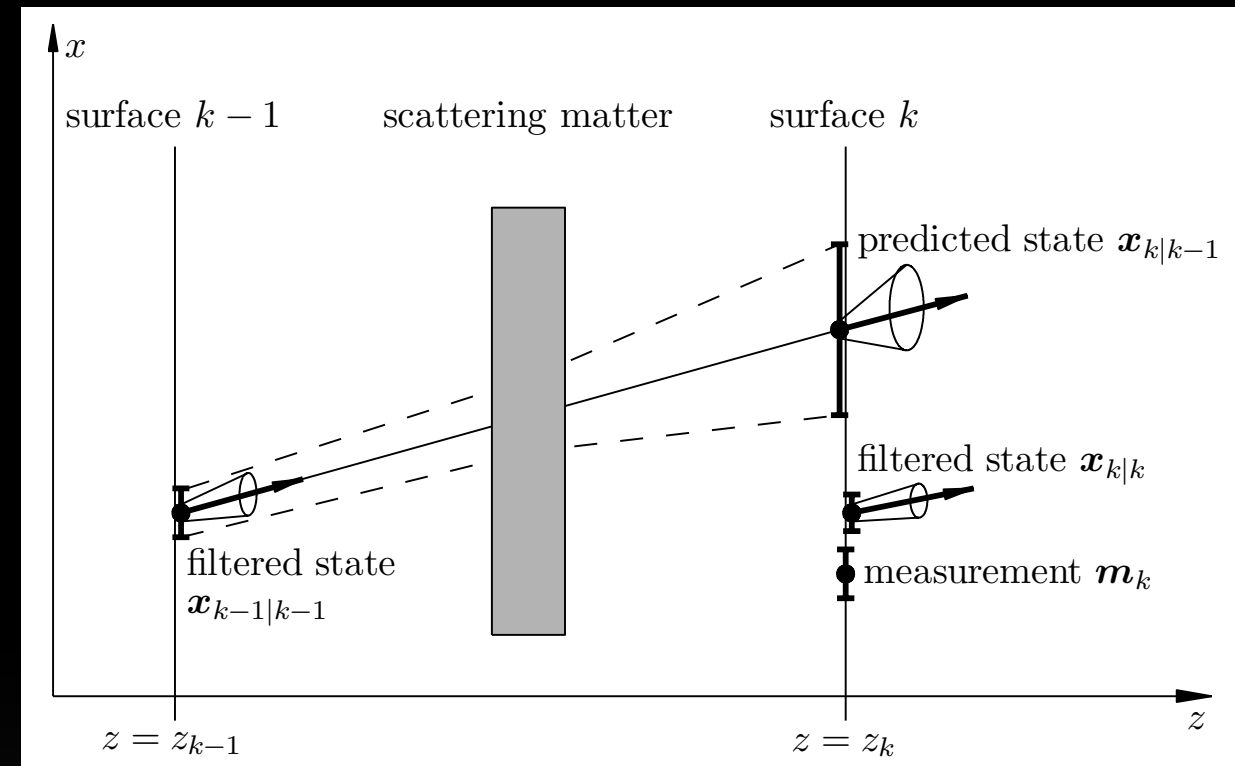
$$\mathbf{C}_{k|k} = (\mathbf{I} - \mathbf{K}_k \mathbf{H}_k) \mathbf{C}_{k|k-1}$$

with $\mathbf{K}_k \sim$ gain matrix:

$$\mathbf{K}_k = \mathbf{C}_{k|k-1} \mathbf{H}_k^T (\mathbf{G}_k + \mathbf{H}_k \mathbf{C}_{k|k-1} \mathbf{H}_k^T)^{-1}$$

→ alternative to gain matrix approach is a weighted mean to obtain $\mathbf{p}_{k|k}$

- but requires to invert 5x5 matrix instead of a matrix of $\text{rank}(\mathbf{G}_k)$



- Kalman Smoother:

→ provides full information along track

proceeds from layer $k+1$ to layer k :

$$\mathbf{q}_{k|n} = \mathbf{q}_{k|k} + \mathbf{A}_k (\mathbf{q}_{k+1|n} - \mathbf{q}_{k+1|k})$$

$$\mathbf{C}_{k|n} = \mathbf{C}_{k|k} - \mathbf{A}_k (\mathbf{C}_{k+1|k} - \mathbf{C}_{k+1|n}) \mathbf{A}_k^T$$

with $\mathbf{A}_k \sim$ smoother gain matrix:

$$\mathbf{A}_k = \mathbf{C}_{k|k} \mathbf{F}_{k+1|k}^T (\mathbf{C}_{k+1|k})^{-1}$$

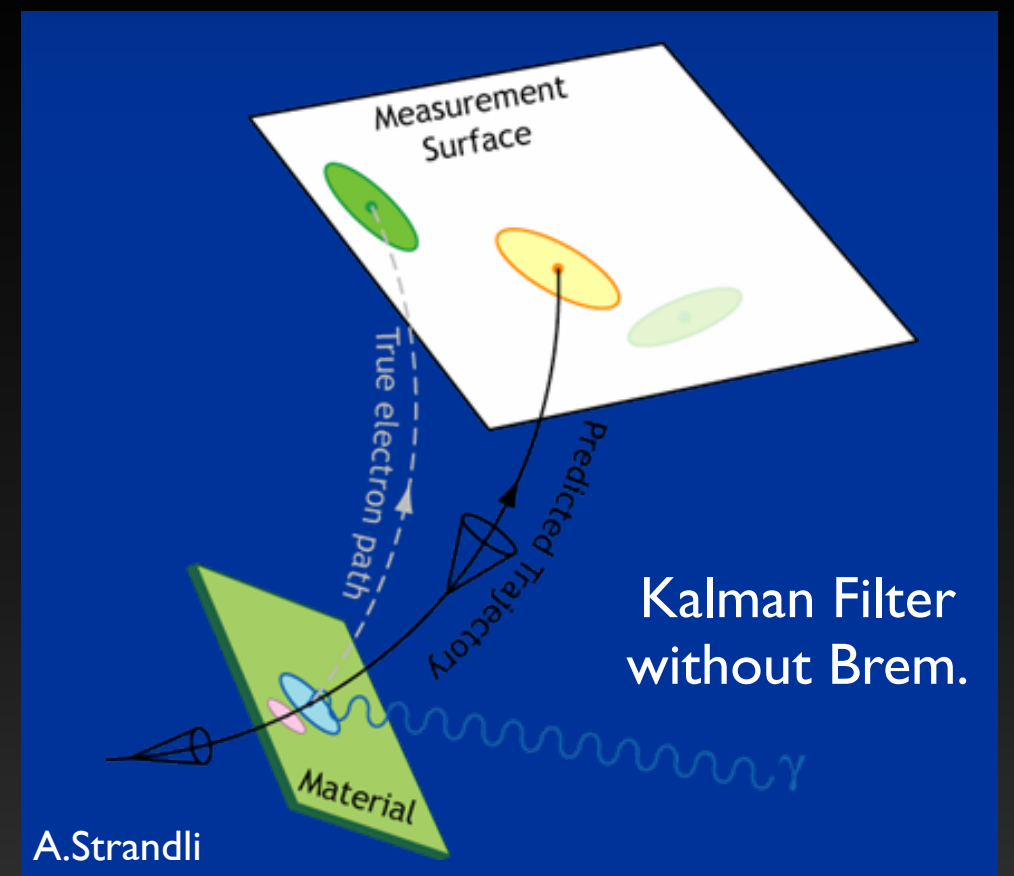
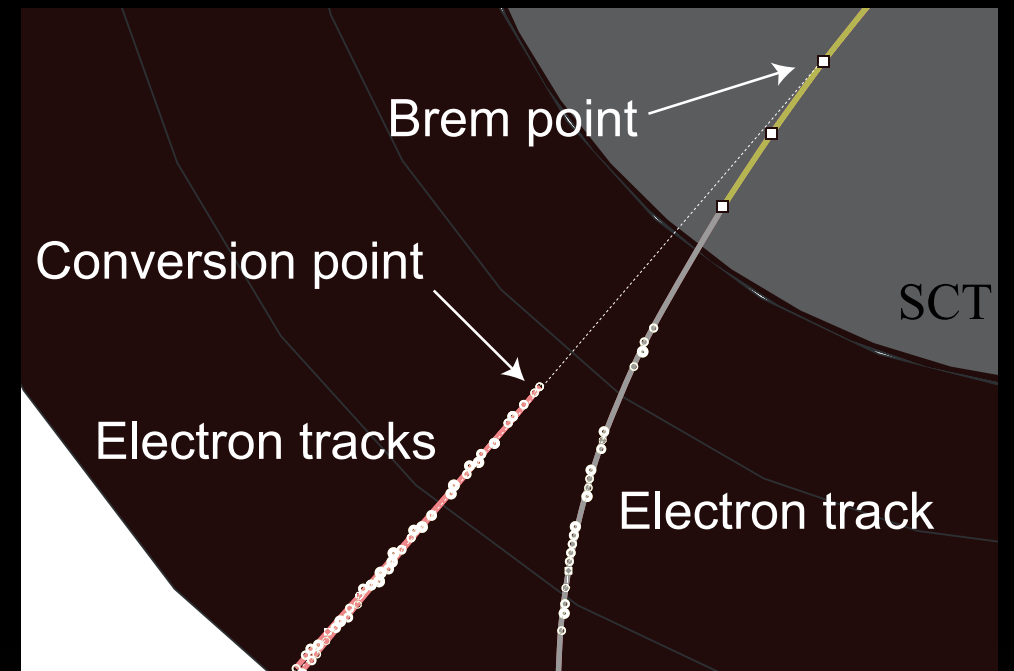
→ equivalent: combine forw./back. filter



Brem. Fitting for Electrons

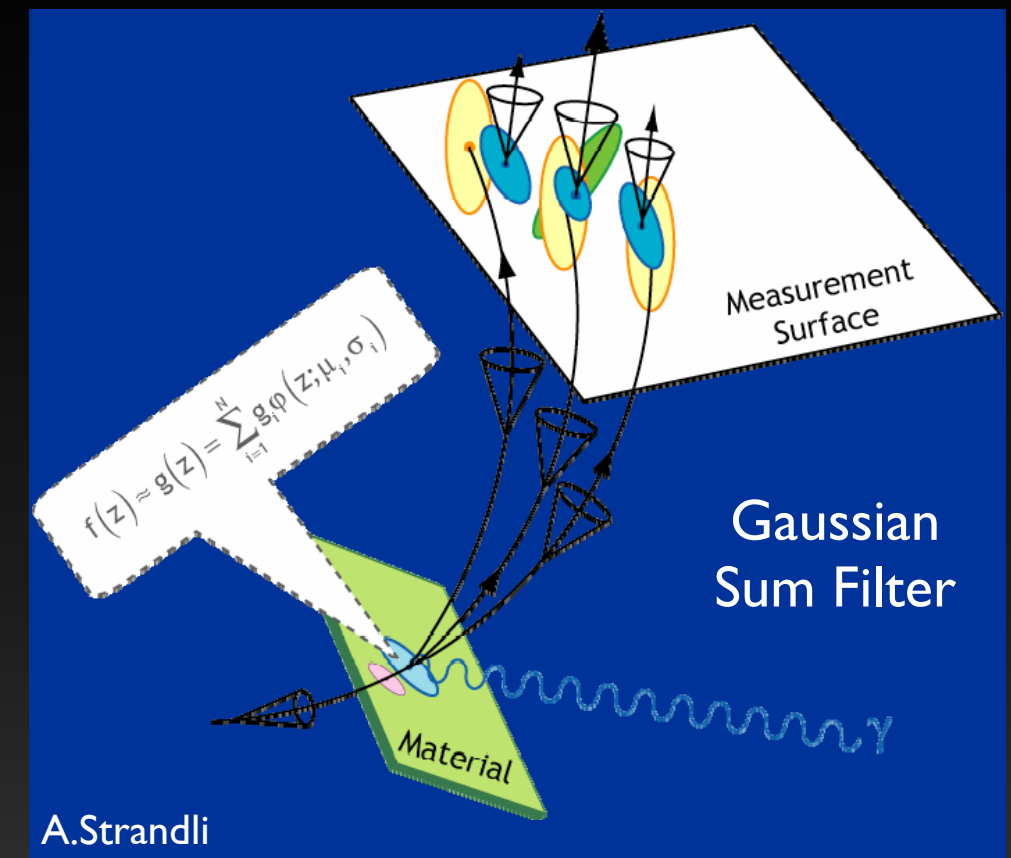
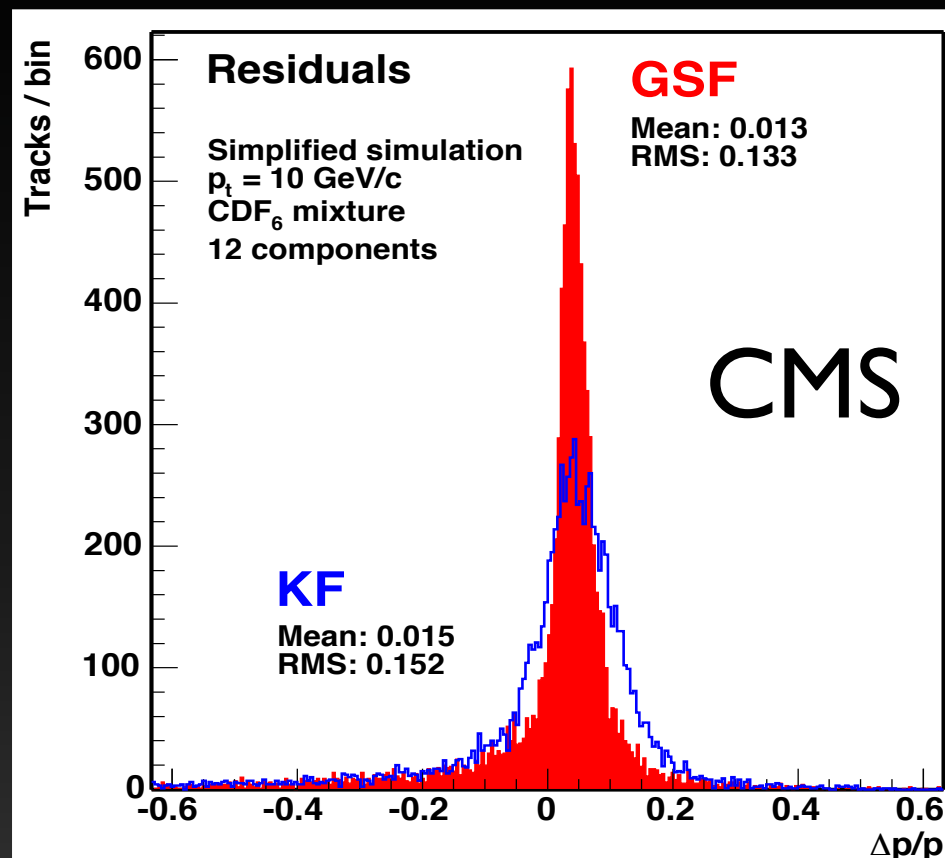
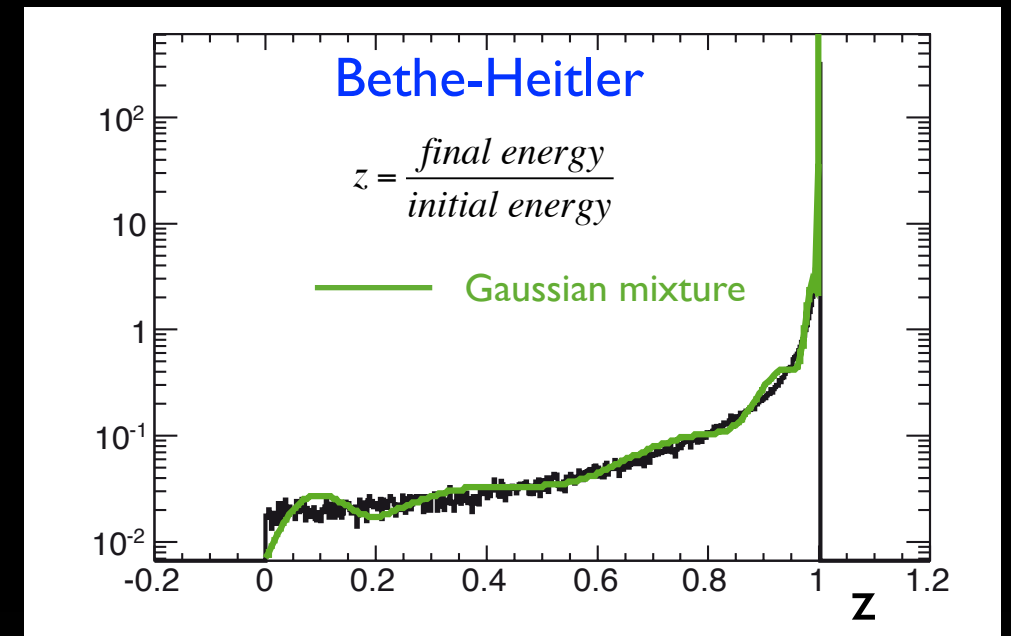
advanced techniques

- material in tracker
 - ➔ e-bremsstrahlung and γ -conversions
- electron efficiency limited
 - ➔ momentum loss due to bremsstrahlung leads to large changes in track curvature
 - ➔ fit is biased towards small momenta or fails completely
- **techniques** to allow for bremsstrahlung in track fitting
 - ➔ brem. point in Least Square track fit
 - ➔ Kalman Filter with dynamic noise adjustment
 - ➔ Gaussian Sum Filter



Gaussian Sum Filter

- ➔ approximate Bethe-Heitler distribution as Gaussian mixture
 - state vector after material correction becomes sum of Gaussian components
- ➔ GSF resembles set of parallel Kalman Filters for N components
 - computationally expensive !
 - default electron fitter in CMS and ATLAS



Deterministic Annealing Filters

- robust technique

- ➔ developed for fitting with high occupancies
 - e.g. ATLAS TRT with high event pileup
 - reconstruction of 3-prong τ decays
- ➔ can deal with several close by hits on a layer

- adaptive fit

- ➔ multiply weight of each hit in layer with assignment probability:

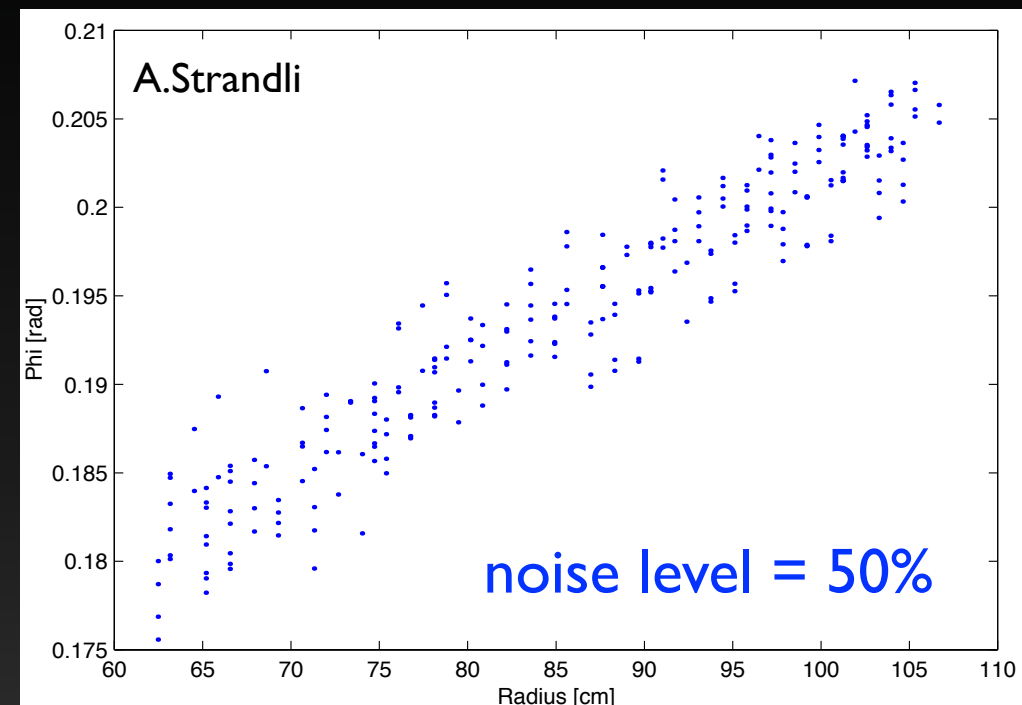
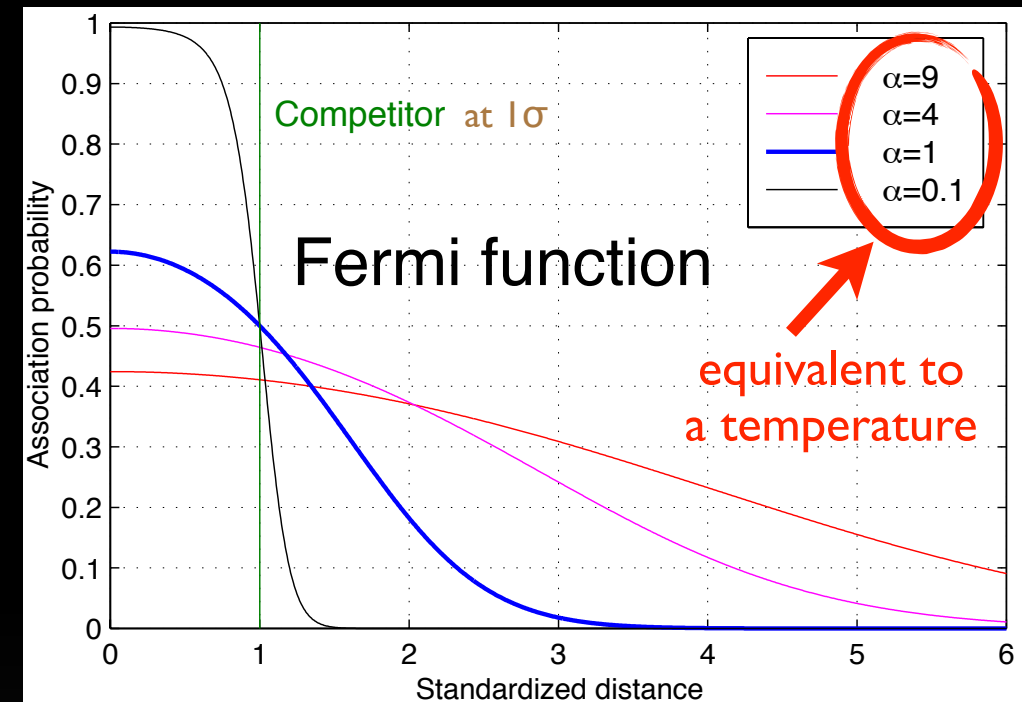
$$P_{ik} = \frac{\exp(-\hat{d}_{ik}^2/T)}{\sum_{j=1}^{n_k} \exp(-\hat{d}_{jk}^2/T)}$$

Boltzman factor

with: $\hat{d}_{ik} = d_{ik}/\sigma_k$
normalized distance

- ➔ process decreasing temperature T is called annealing (iterative)
 - start at **high T** ~ all hits contribute same
 - at **low T** ~ close by hits remain

- ➔ can be written as a **Multi Track Filter**



advanced techniques

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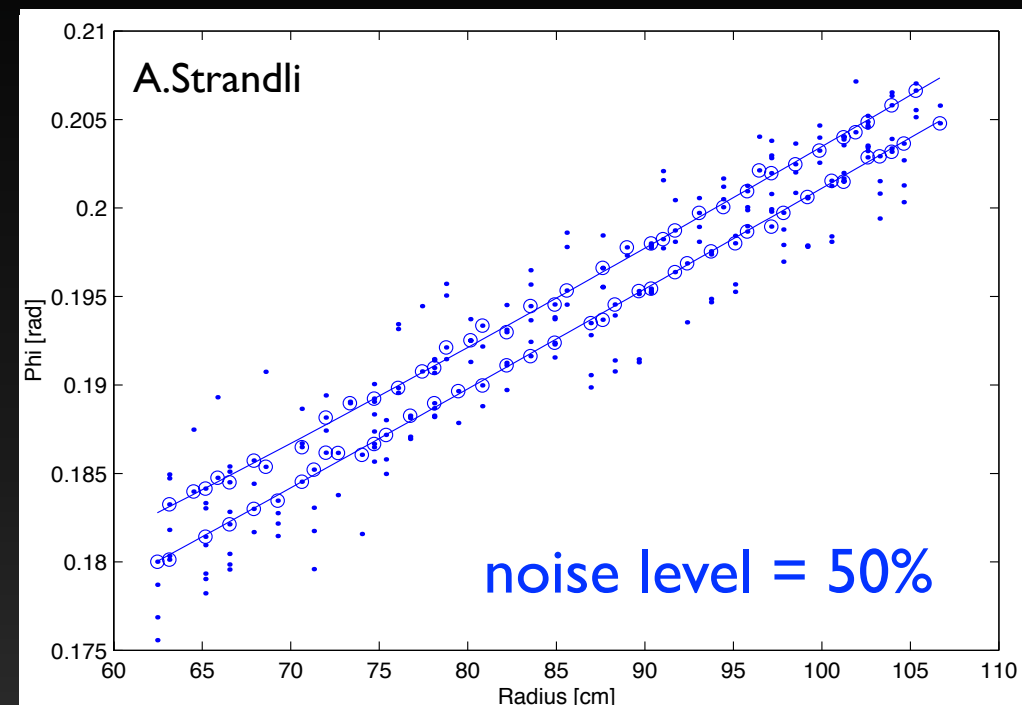
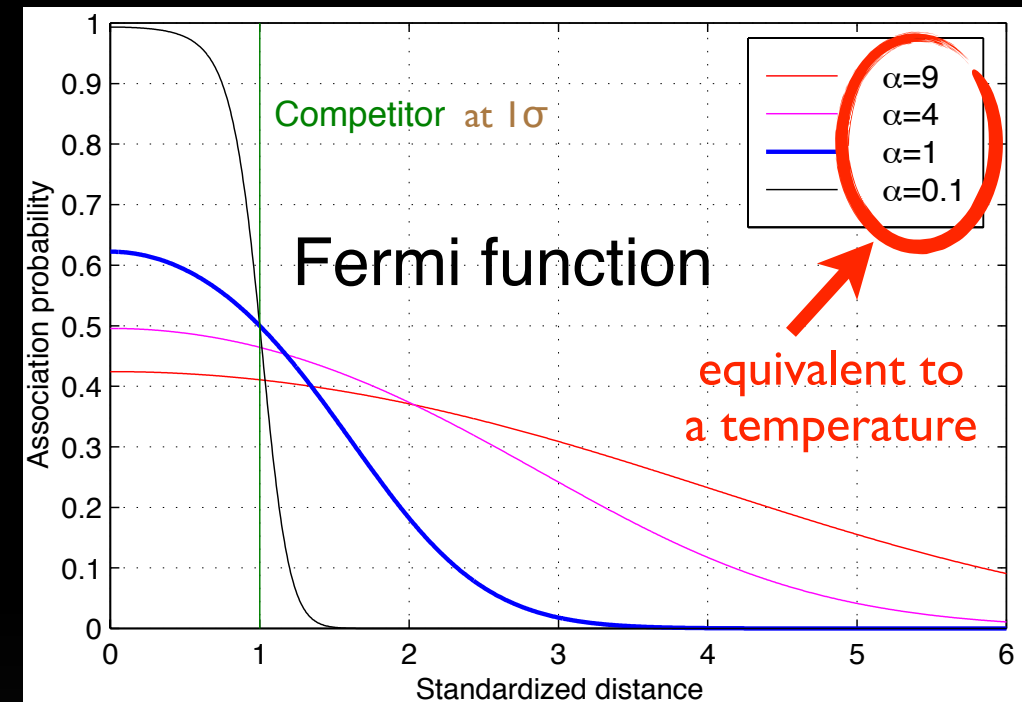
Boltzman factor

with: $\hat{d}_{ik} = d_{ik}/\sigma_k$

normalized distance

- ➔ process decreasing temperature T is called annealing (iterative)
 - start at high T ~ all hits contribute same
 - at low T ~ close by hits remain

- ➔ can be written as a **Multi Track Filter**

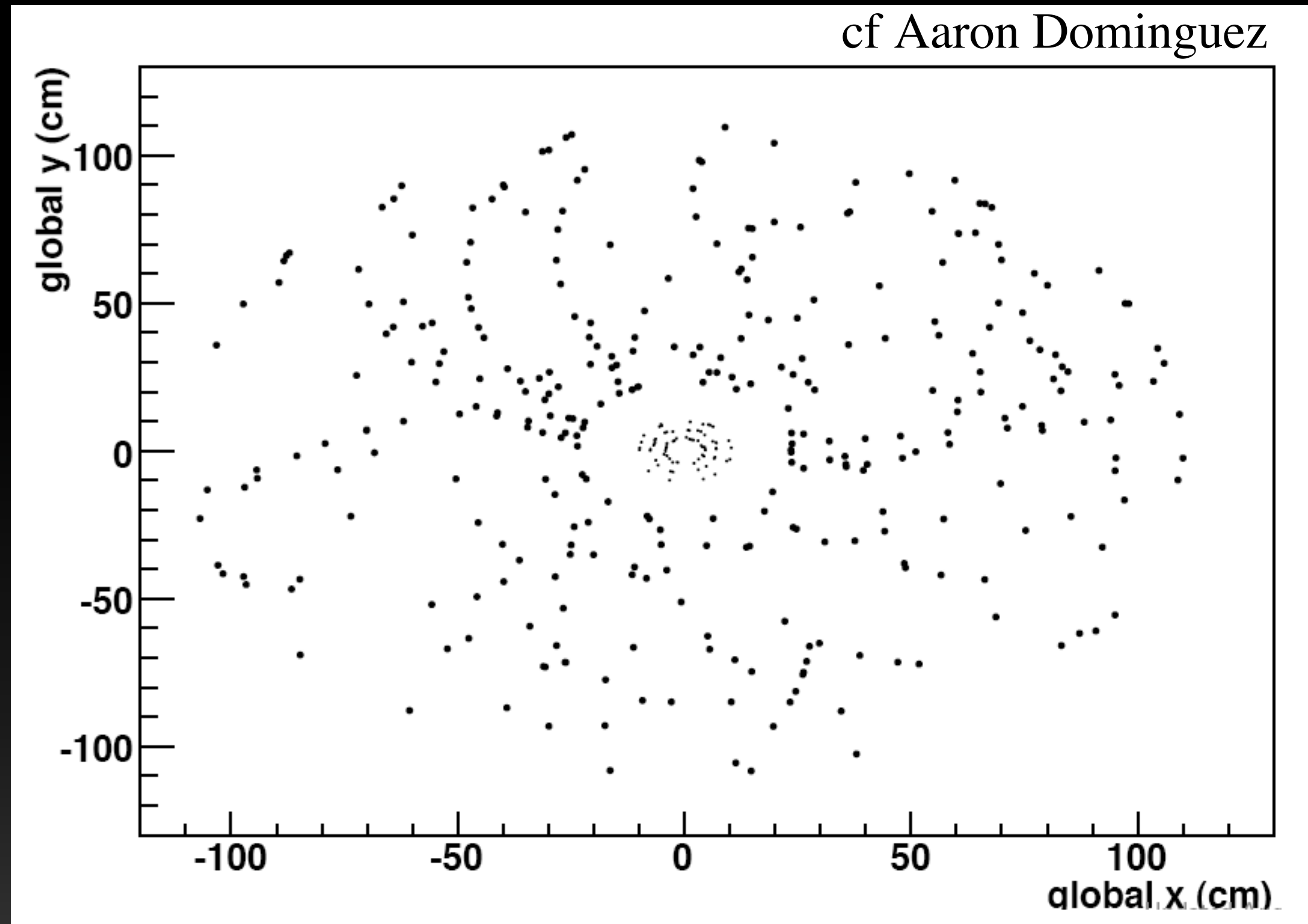


Track Finding



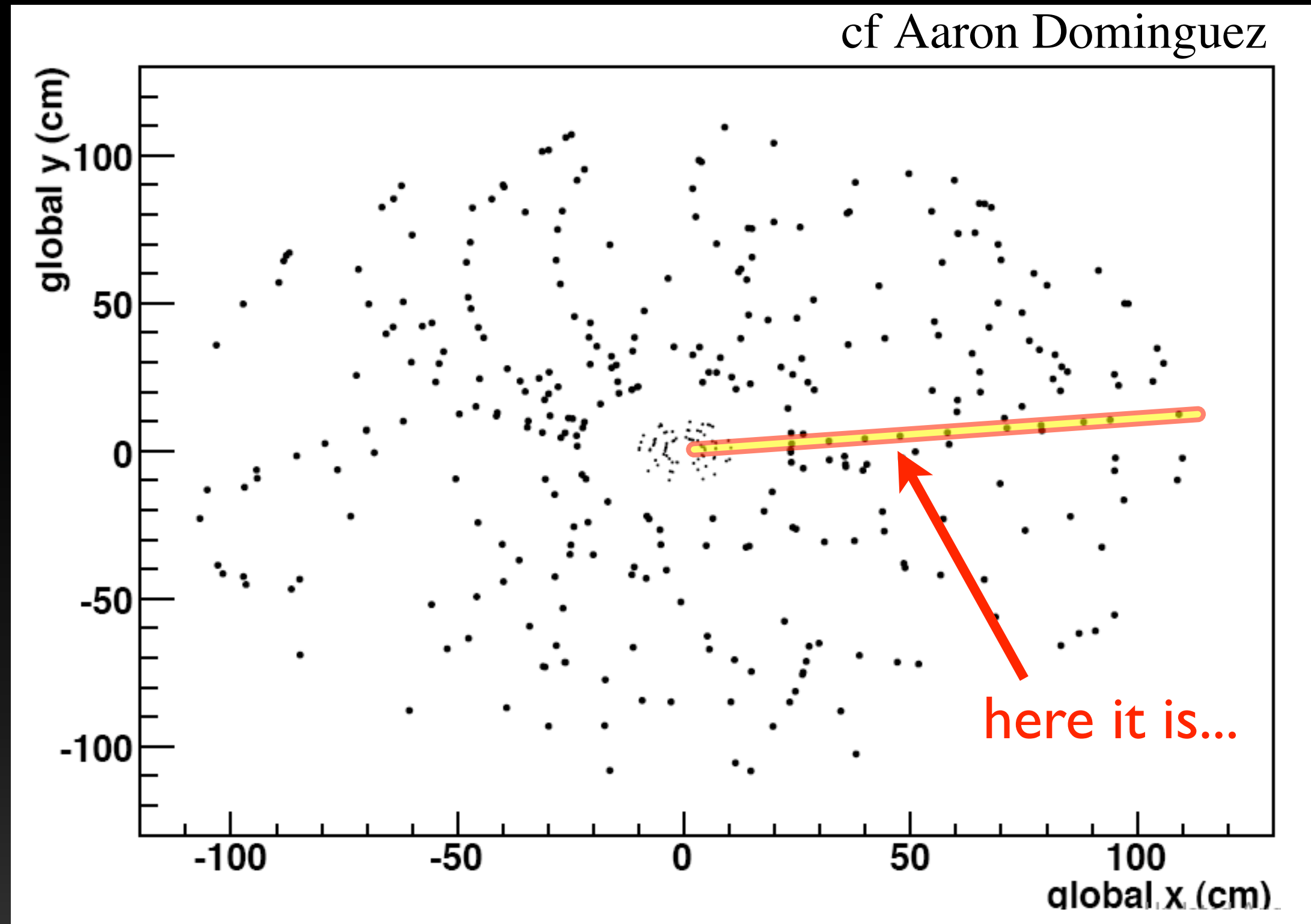
you saw this already!

Track Finding: Can you find the 50 GeV track?



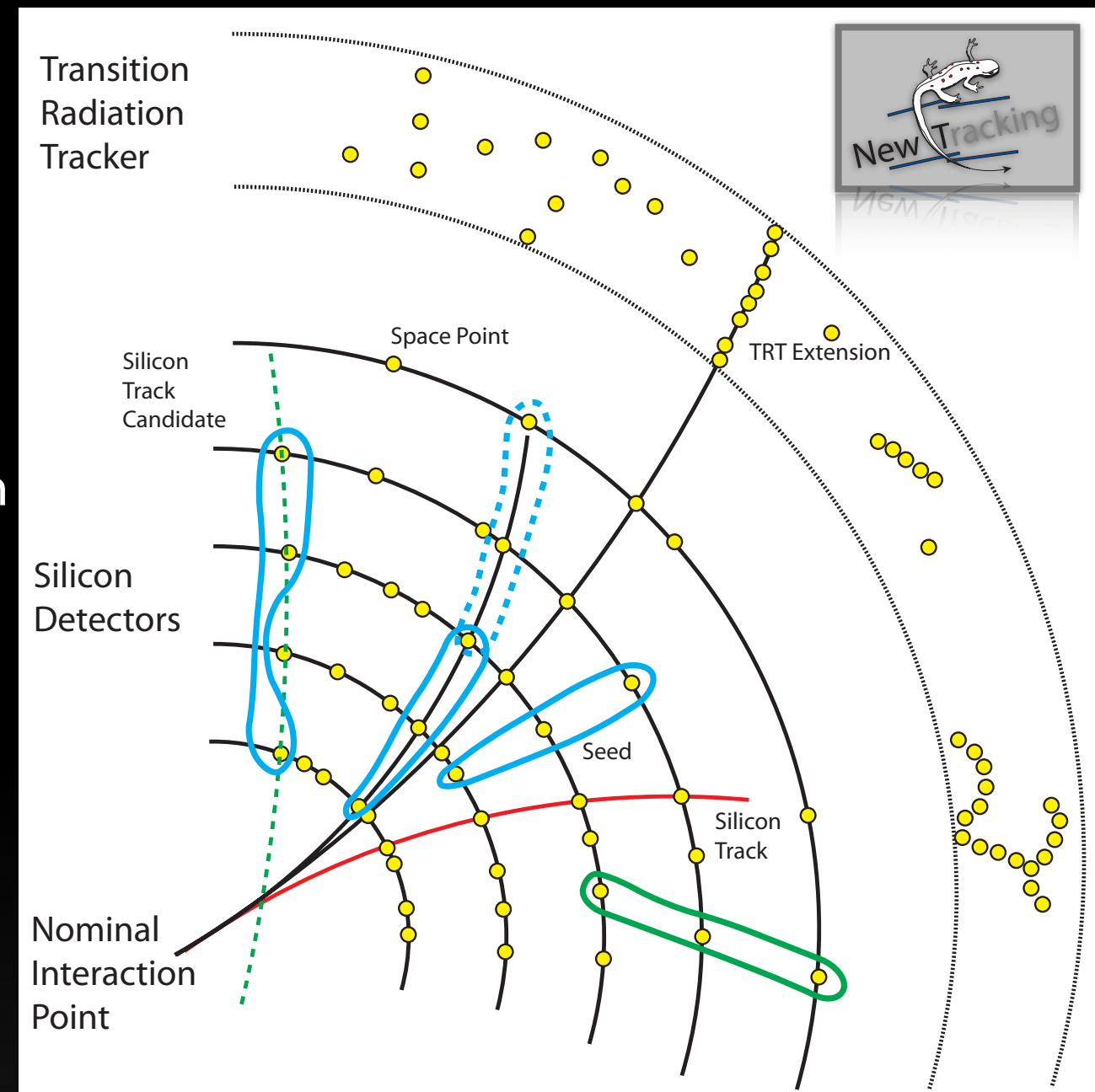
you saw this already!

Track Finding: Can you find the 50 GeV track?



Track Finding

- the task of the track finding
 - ➔ identify **track candidates** in event
 - ➔ cope with the combinatorial explosion of possible **hit combinations**
- different techniques
 - ➔ rough distinction: **local/sequential** and **global/parallel** methods
 - ➔ local method: generate **seeds and complete** them to track candidates
 - ➔ global method: **simultaneous clustering** of detector hits into track candidates
- some **local** methods
 - ➔ track road
 - ➔ track following
 - ➔ progressive track finding



- some **global** methods
 - ➔ conformal mapping
 - Hough and Legendre transform
 - ➔ adaptive methods
 - Hopfield network, Elastic net, Cellular automation ...
- (will not discuss the latter)

Conformal Mapping

- Hough transform

- ➔ cycles through the origin in x-y transform into straight lines in u-v

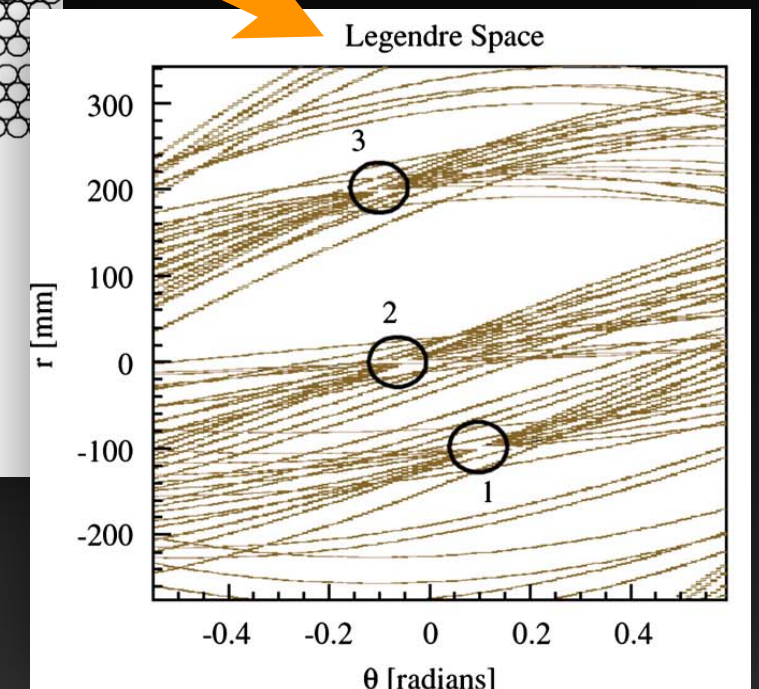
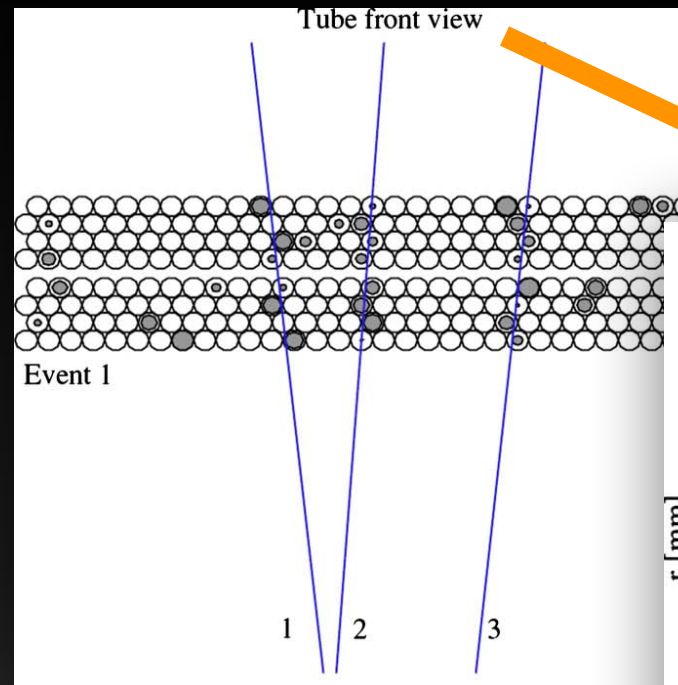
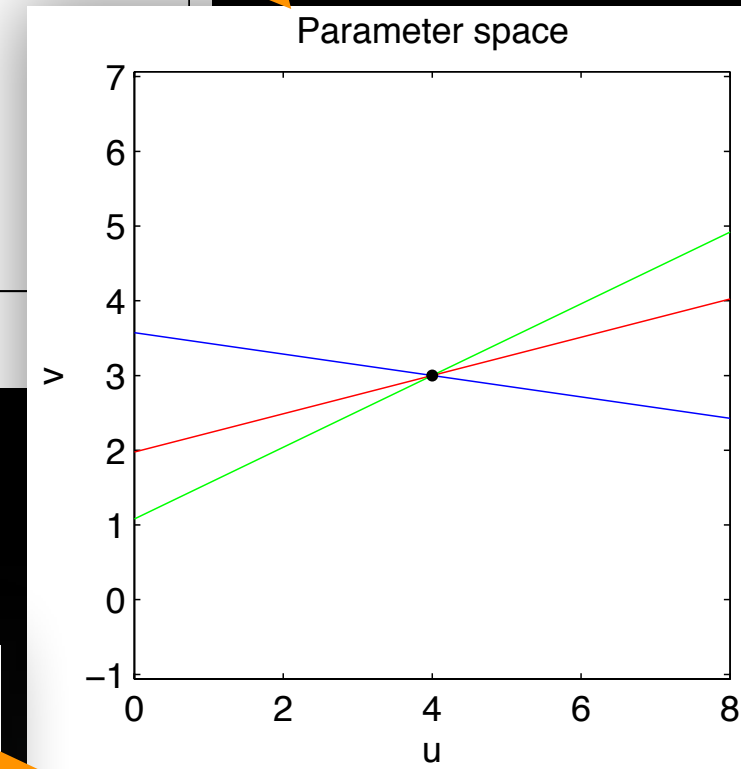
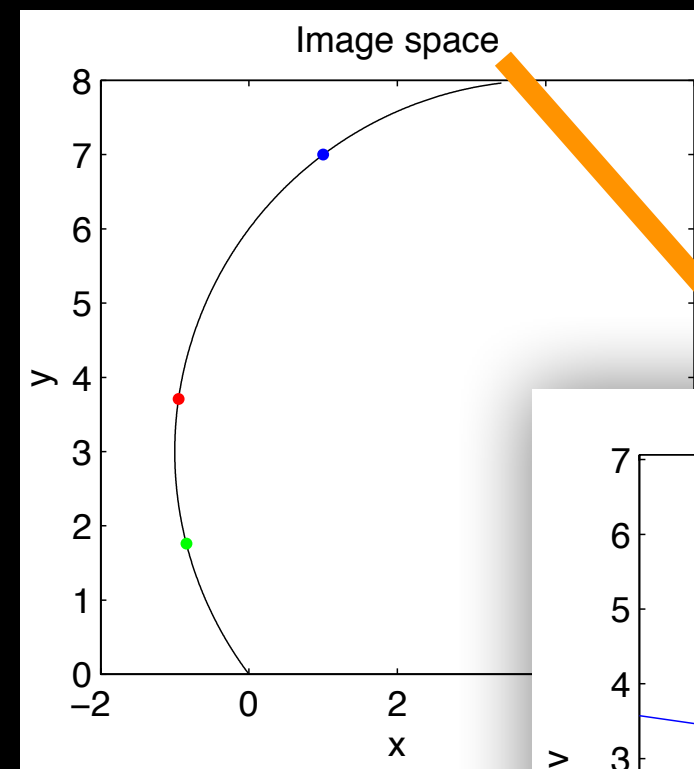
$$u = \frac{x}{x^2 + y^2}, \quad v = \frac{y}{x^2 + y^2}$$

➔ $v = -\frac{x}{y}u + \frac{x^2 + y^2}{2y}$

- ➔ search for maxima (histogram) in **parameter space** to find track candidates

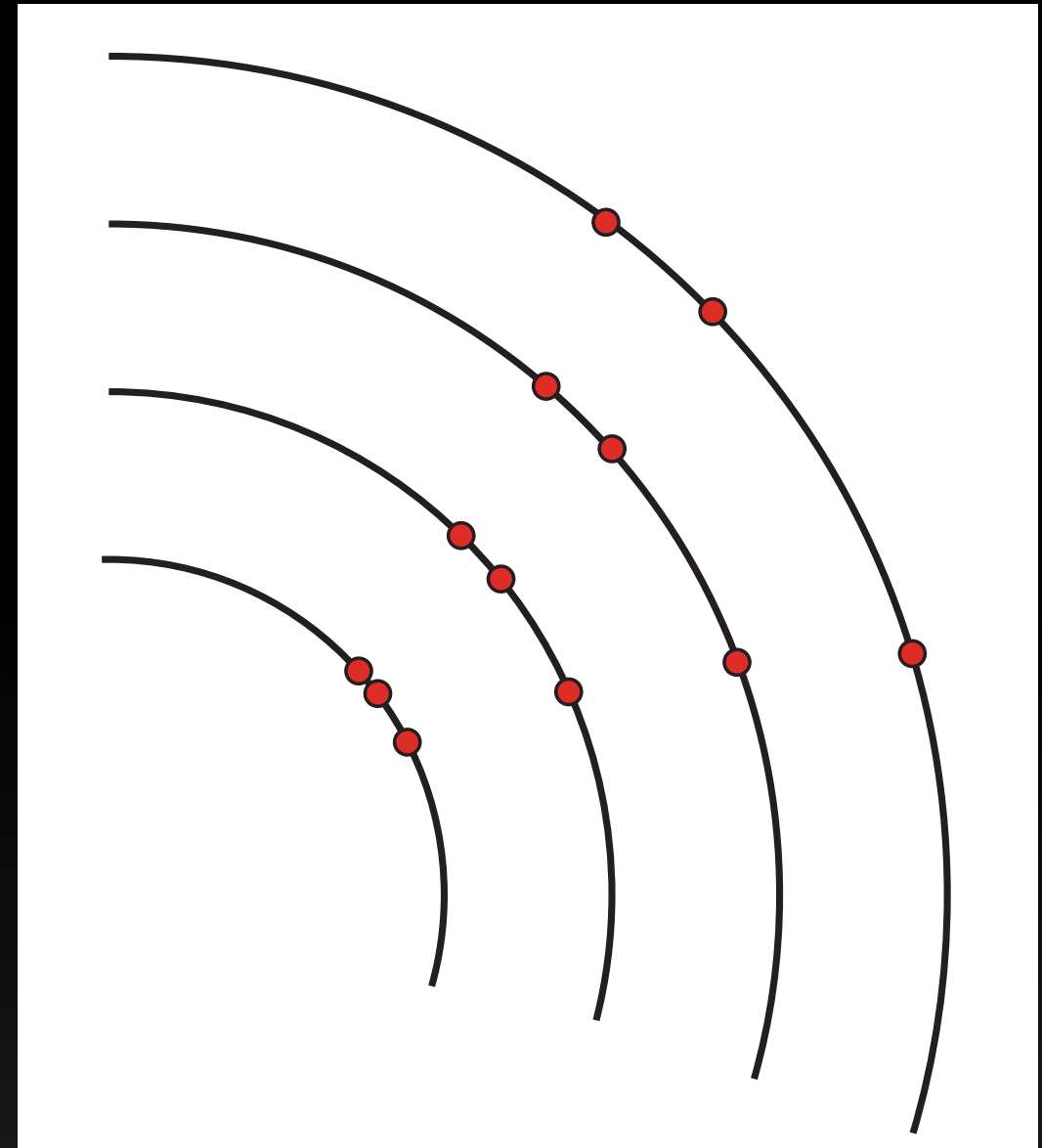
- Legendre transform

- ➔ used for track finding in drift tubes
- ➔ drift radius is transformed into sine-curves in **Legendre space**
- ➔ solves as well L-R ambiguity



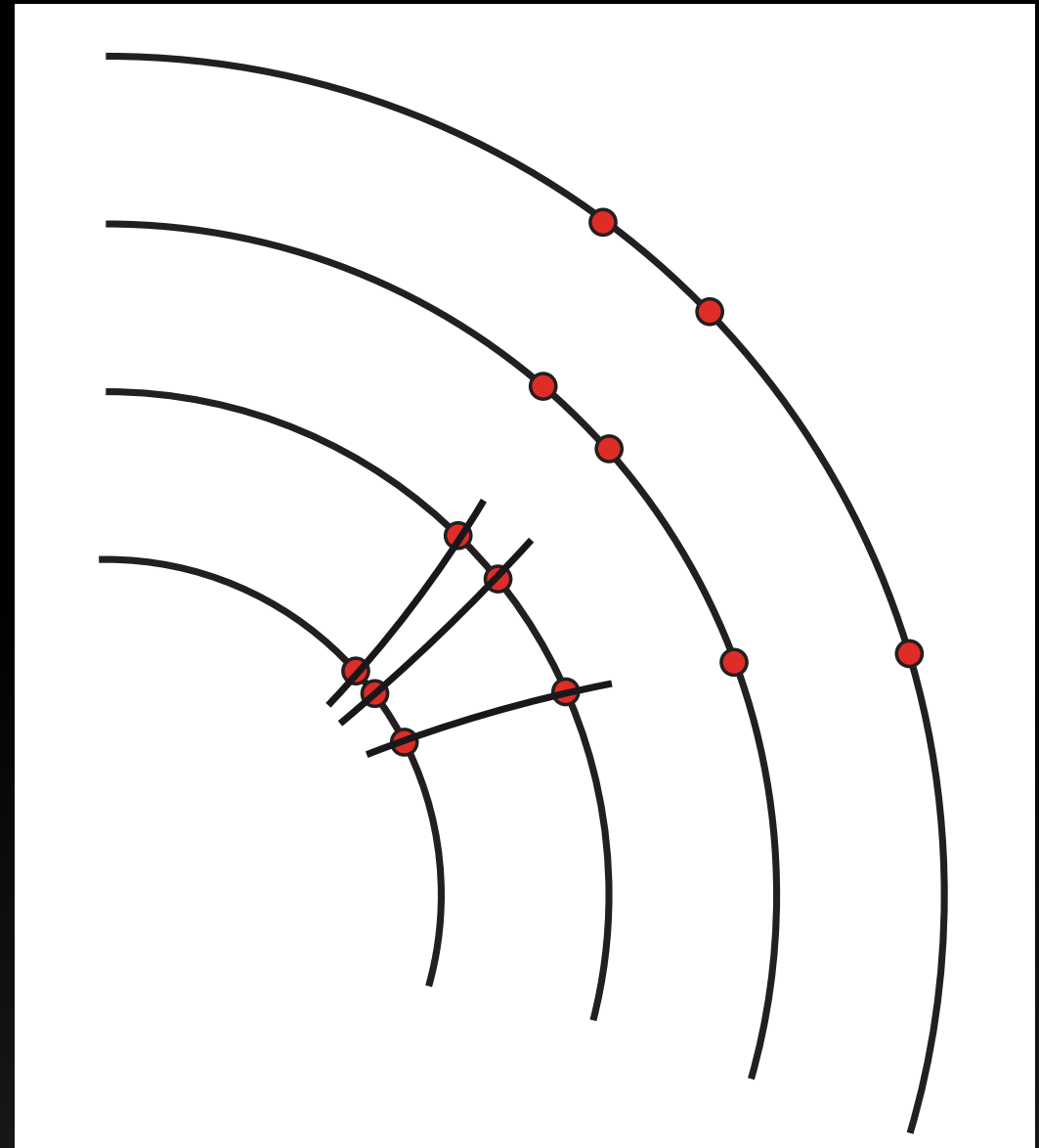
Local Track Finding

- Track Road algorithm



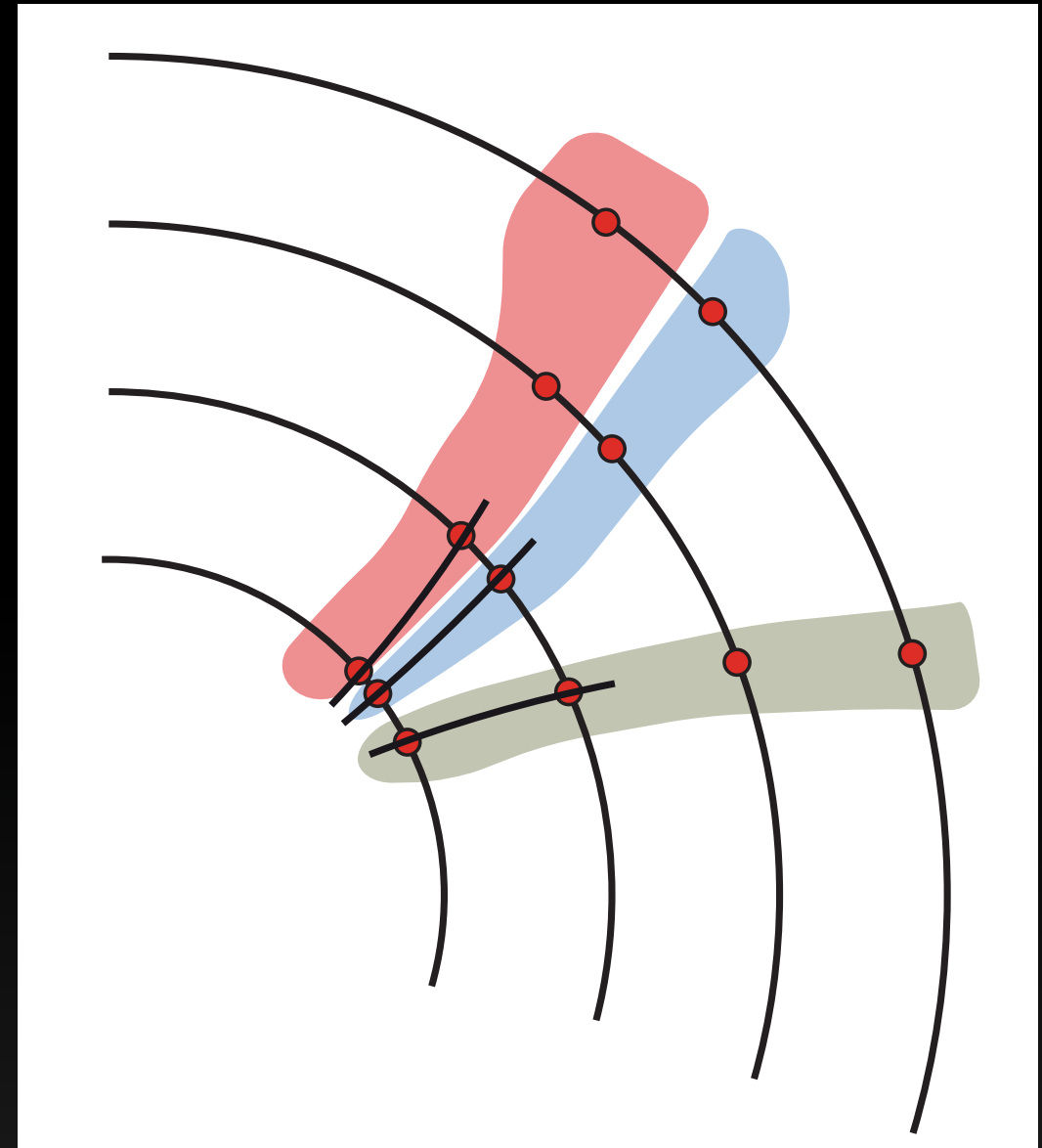
Local Track Finding

- Track Road algorithm
 - ➔ find **seeds** ~ combinations of 2-3 hits



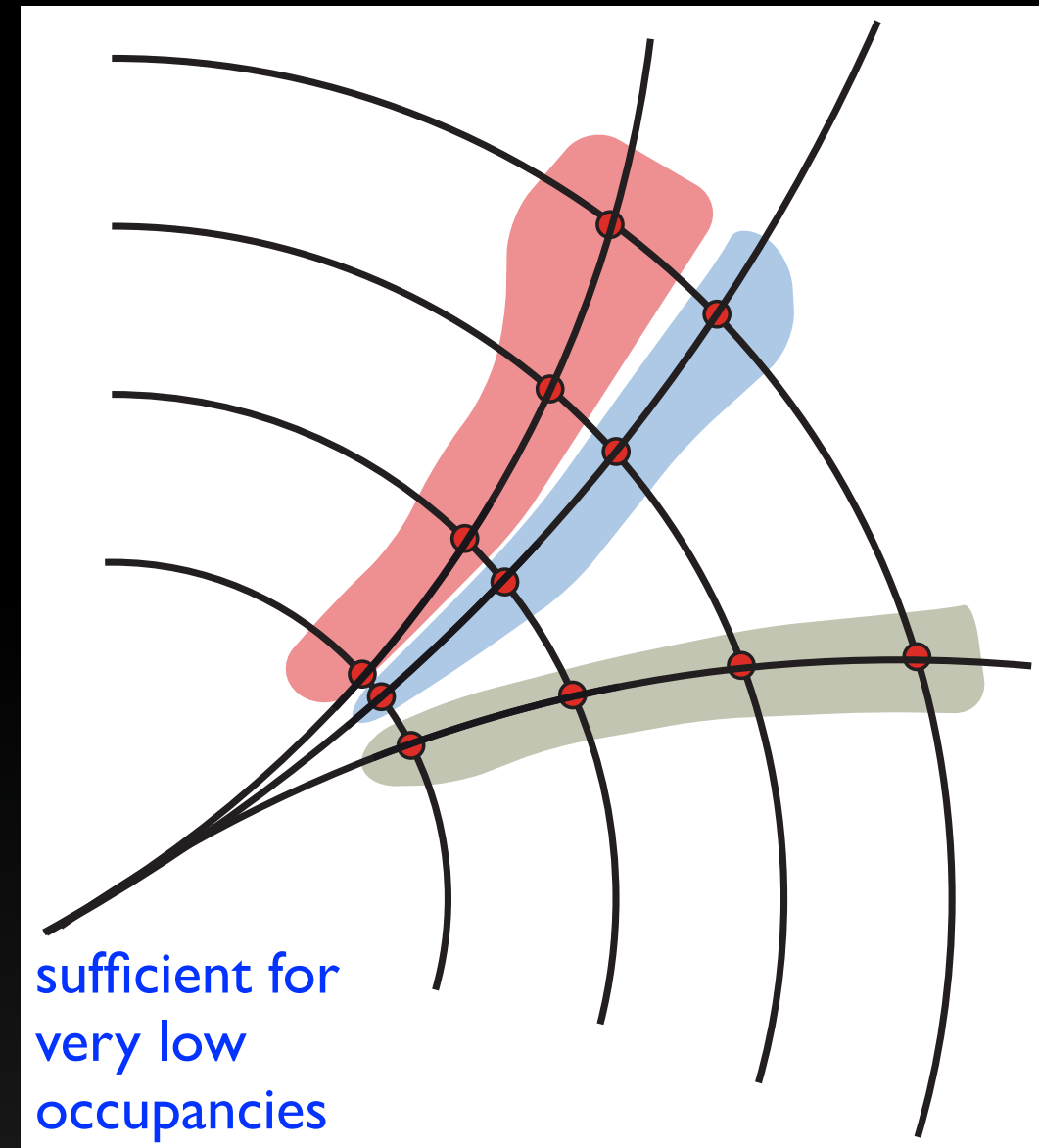
Local Track Finding

- Track Road algorithm
 - ➔ find **seeds** ~ combinations of 2-3 hits
 - ➔ build **road** along the likely trajectory



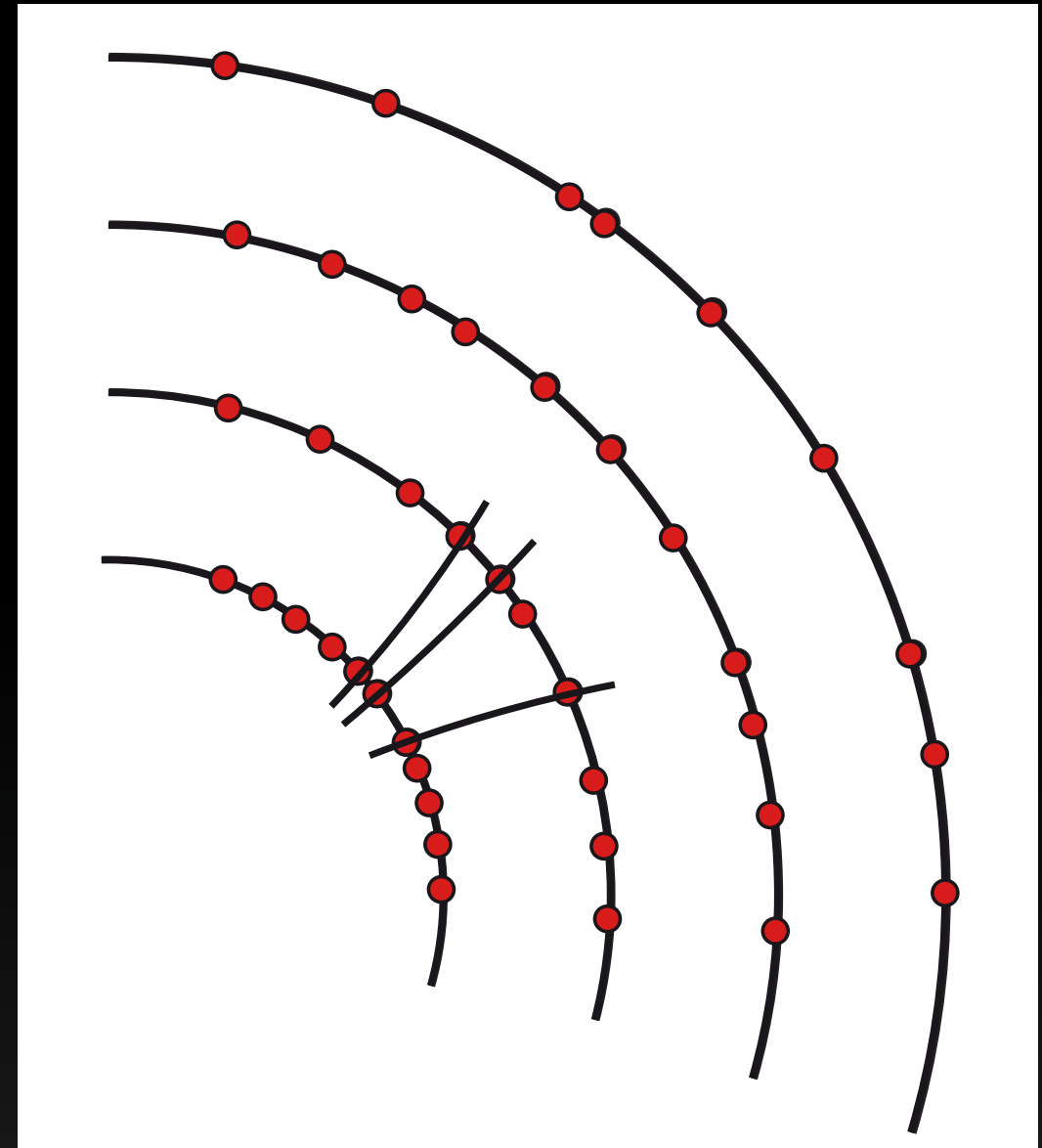
Local Track Finding

- Track Road algorithm
 - ➔ find **seeds** ~ combinations of 2-3 hits
 - ➔ build **road** along the likely trajectory
 - ➔ select **hits** on layers to obtain **candidates**



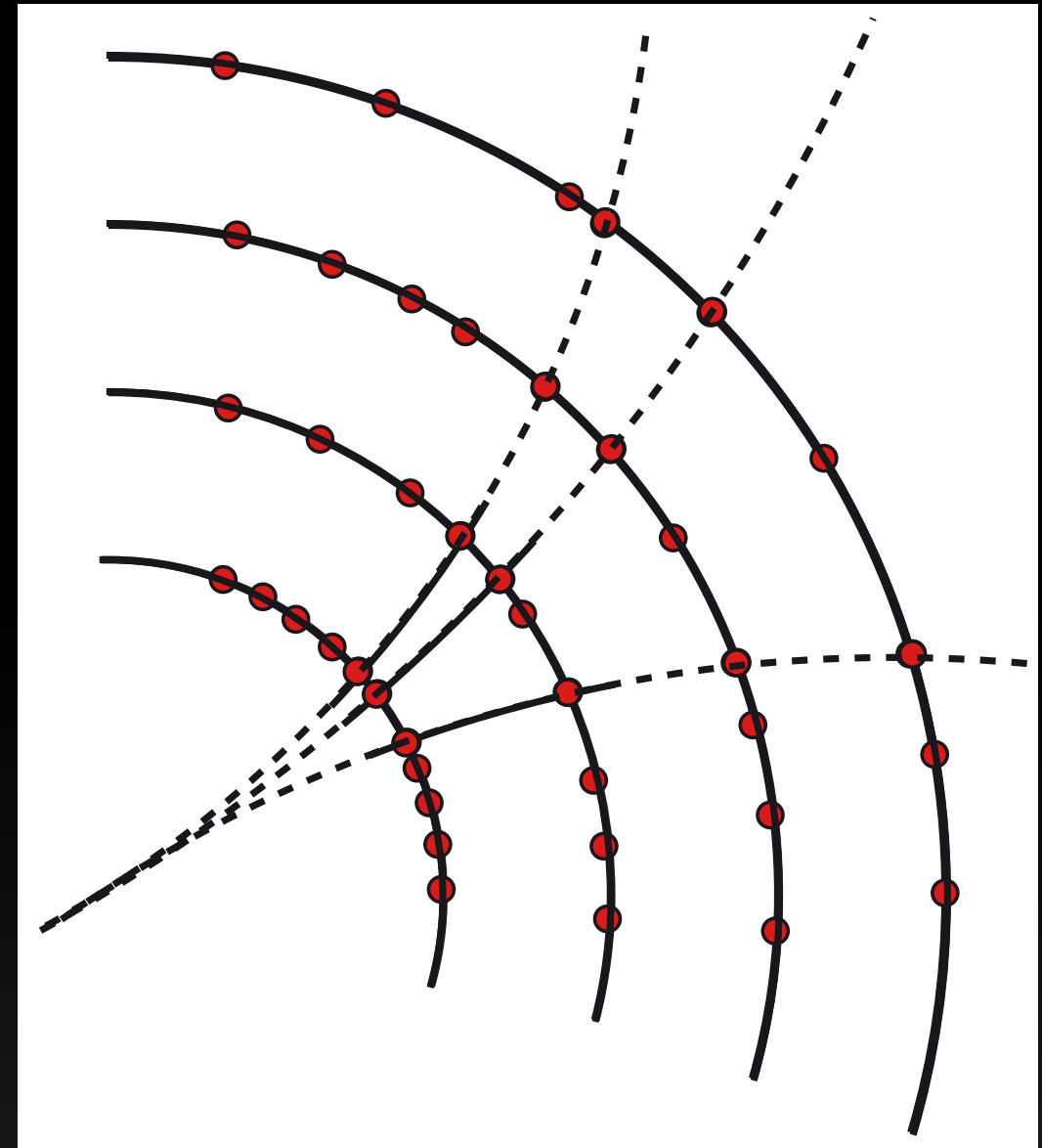
Local Track Finding

- Track Road algorithm
 - ➔ find **seeds** ~ combinations of 2-3 hits
 - ➔ build **road** along the likely trajectory
 - ➔ select **hits** on layers to obtain **candidates**
- Track Following
 - ➔ find **seeds** ~ combinations of 2-3 hits



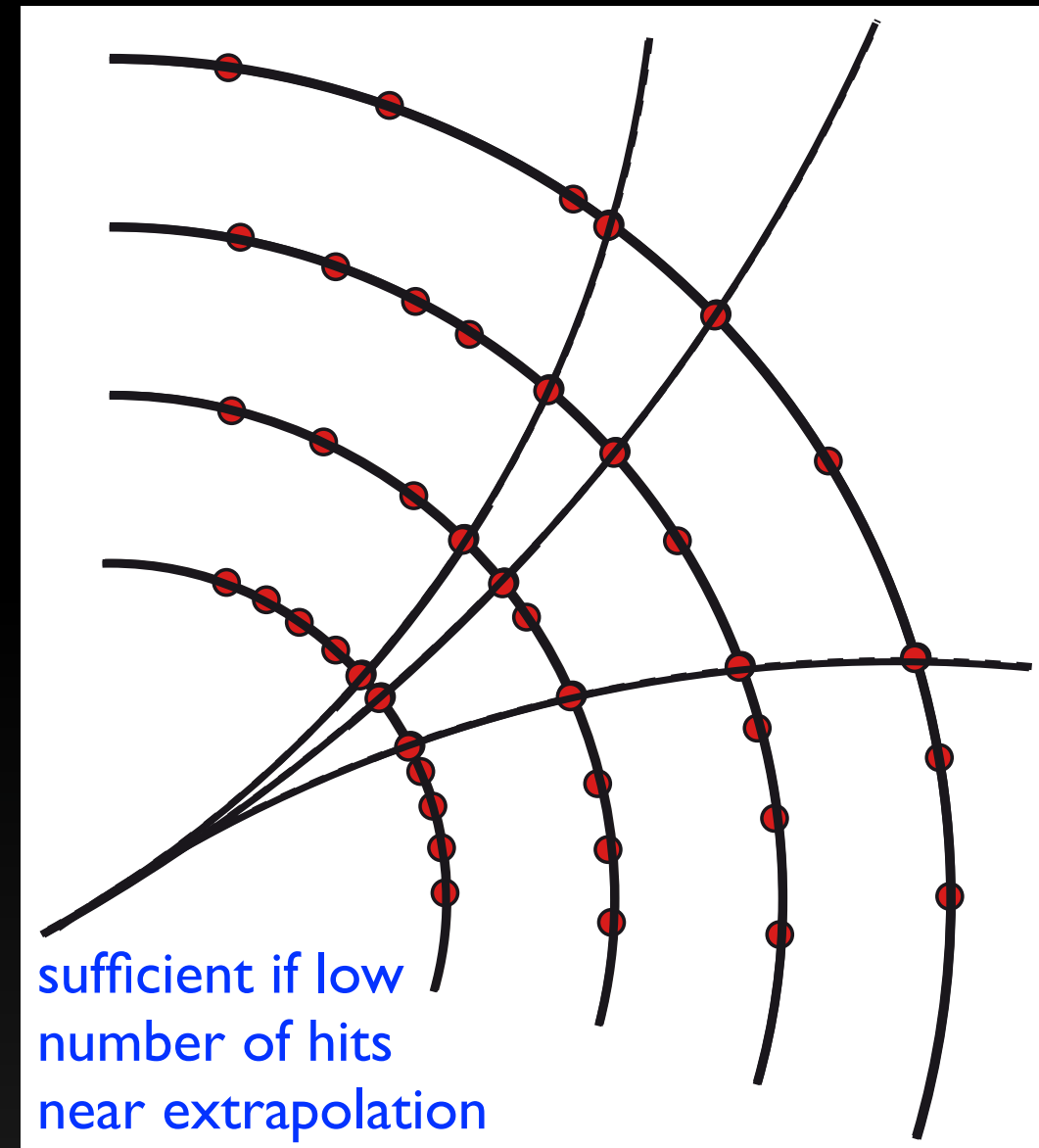
Local Track Finding

- Track Road algorithm
 - ➔ find **seeds** ~ combinations of 2-3 hits
 - ➔ build **road** along the likely trajectory
 - ➔ select **hits** on layers to obtain **candidates**
- Track Following
 - ➔ find **seeds** ~ combinations of 2-3 hits
 - ➔ extrapolate **seed** along the likely trajectory



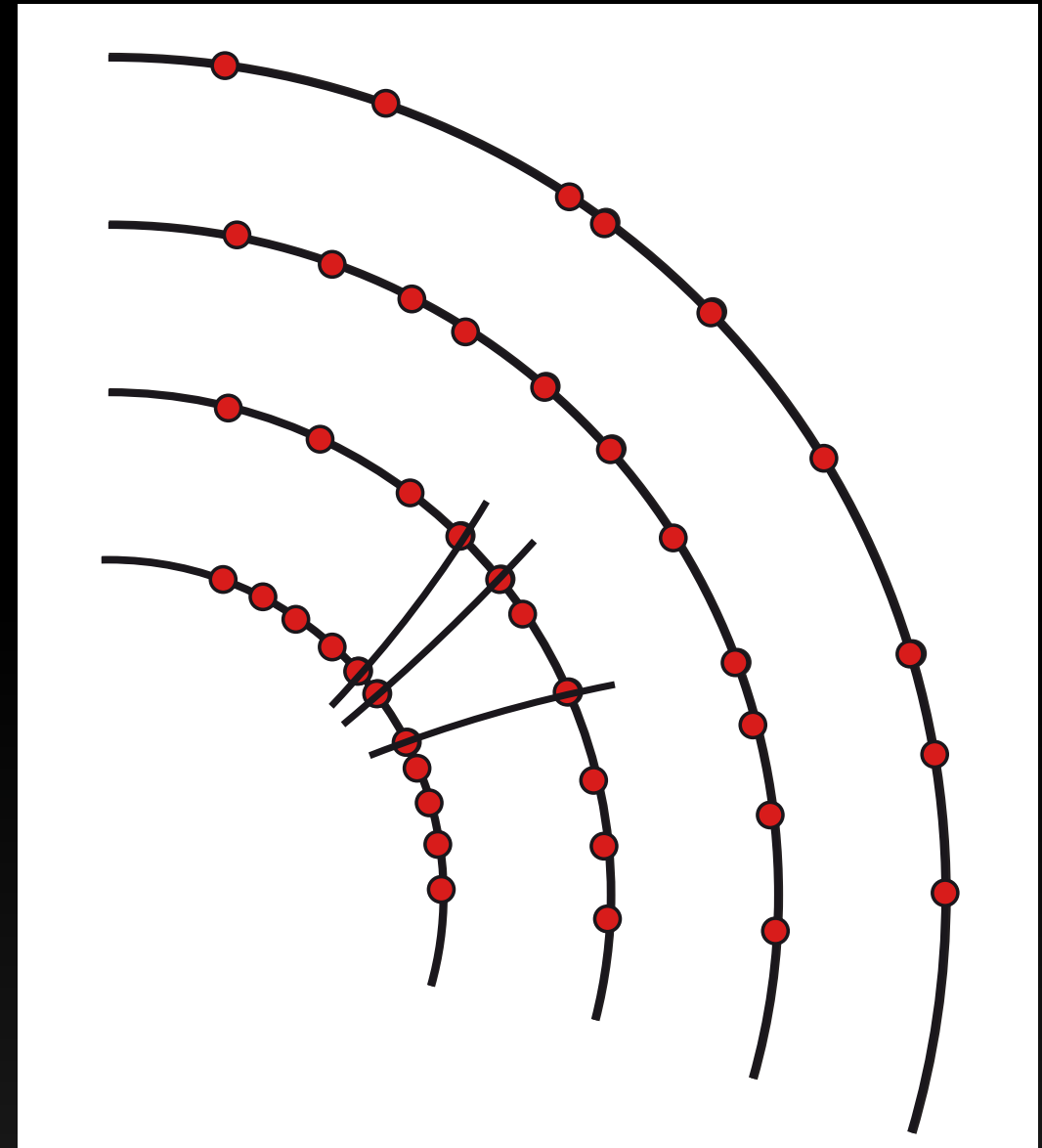
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- Track Road algorithm
 - ➔ find **seeds** ~ combinations of 2-3 hits
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 - ➔ select **hits** on layers to obtain **candidates**
- Track Following
 - ➔ find **seeds** ~ combinations of 2-3 hits
 - ➔ extrapolate **seed** along the likely trajectory
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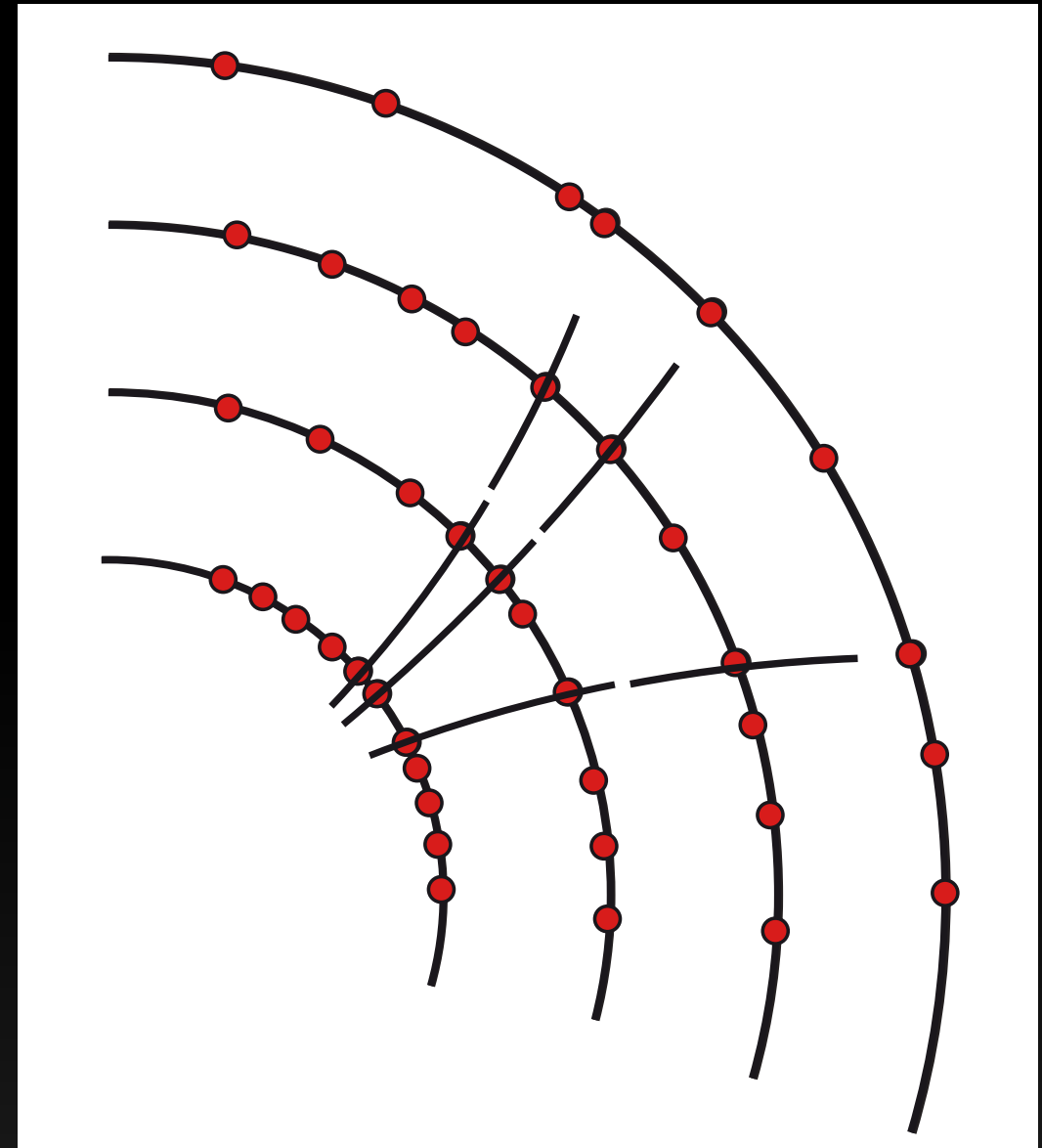
Local Track Finding

- Track Road algorithm
 - ➔ find **seeds** ~ combinations of 2-3 hits
 - ➔ build **road** along the likely trajectory
 - ➔ select **hits** on layers to obtain **candidates**
- Track Following
 - ➔ find **seeds** ~ combinations of 2-3 hits
 - ➔ extrapolate **seed** along the likely trajectory
 - ➔ select **hits** on layers to obtain **candidates**
- Progressive Track Finder
 - ➔ find **seeds** ~ combinations of 2-3 hits



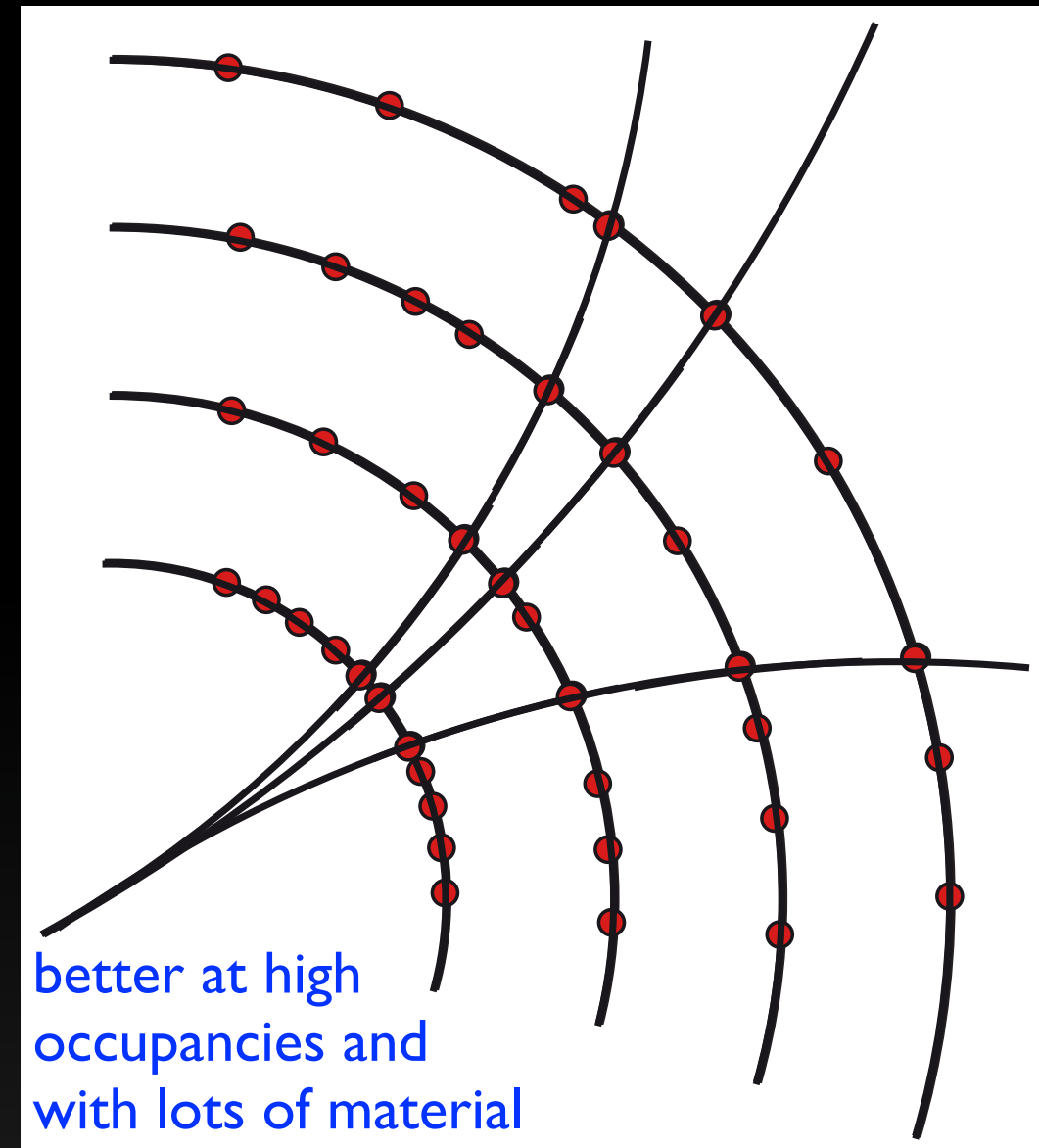
Local Track Finding

- Track Road algorithm
 - ➔ find **seeds** ~ combinations of 2-3 hits
 - ➔ build **road** along the likely trajectory
 - ➔ select **hits** on layers to obtain **candidates**
- Track Following
 - ➔ find **seeds** ~ combinations of 2-3 hits
 - ➔ extrapolate **seed** along the likely trajectory
 - ➔ select **hits** on layers to obtain **candidates**
- Progressive Track Finder
 - ➔ find **seeds** ~ combinations of 2-3 hits
 - ➔ extrapolate **seed** to next layer, find **hit** and **update** trajectory



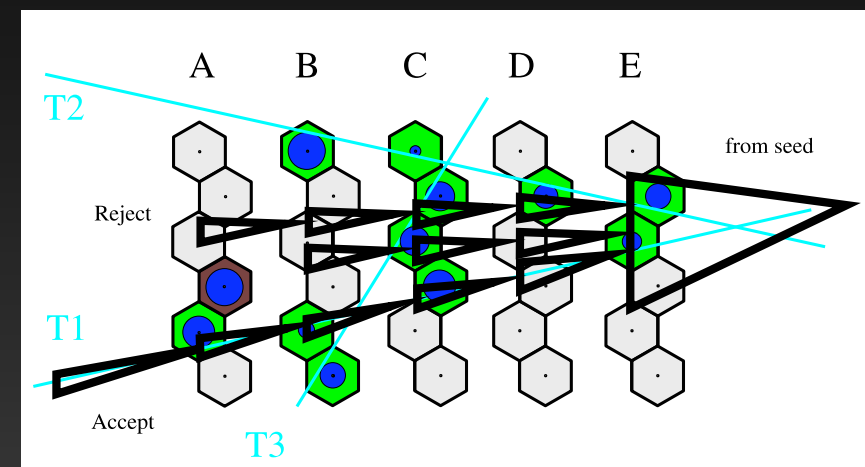
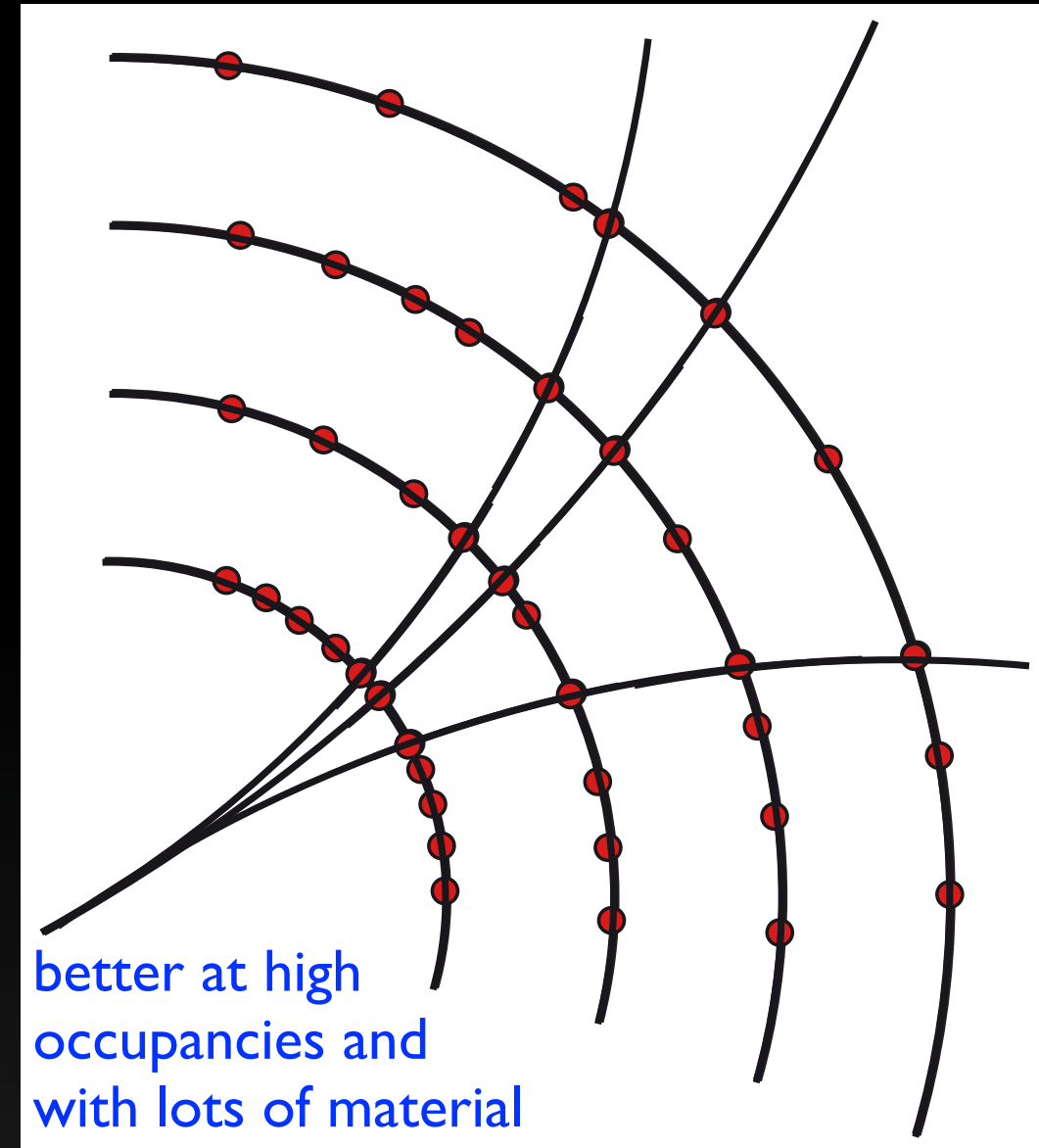
Local Track Finding

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 - ➔ build **road** along the likely trajectory
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 - ➔ find **seeds** ~ combinations of 2-3 hits
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 - ➔ repeat until last layers to obtain **candidates**



Local Track Finding

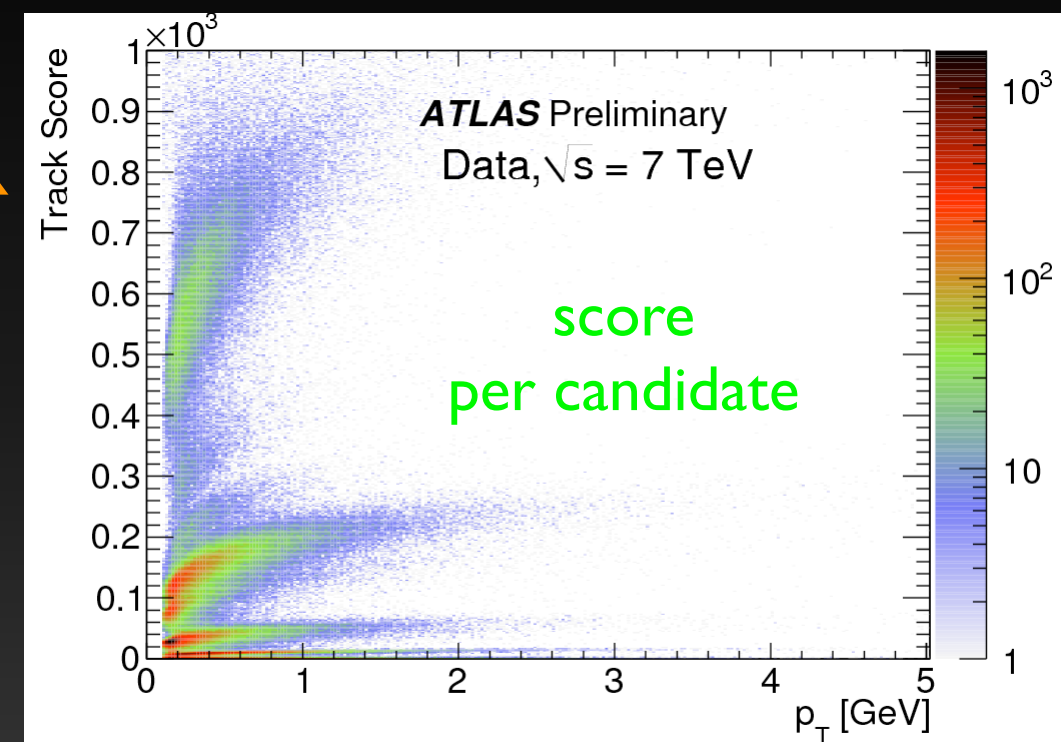
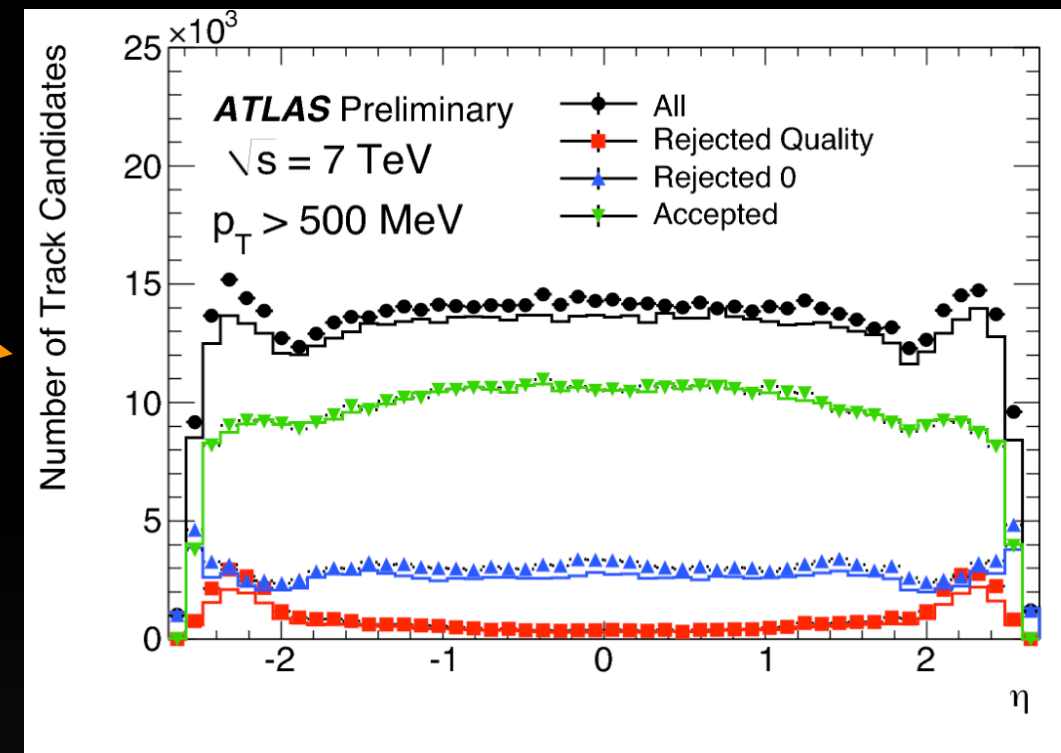
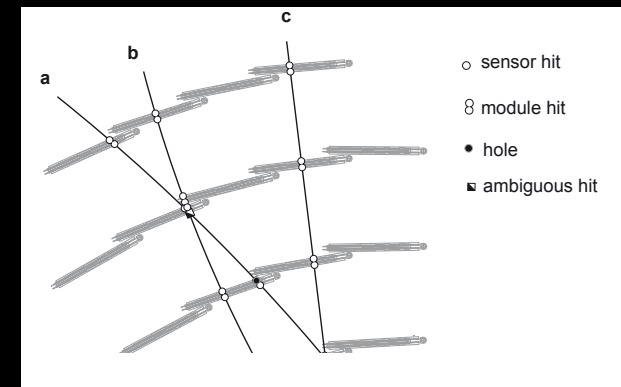
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- Progressive Track Finder
 - ➔ find **seeds** ~ combinations of 2-3 hits
 - ➔ extrapolate **seed** to next layer, find **hit** and **update** trajectory
 - ➔ repeat until last layers to obtain **candidates**
- Combinatorial Kalman Filter
 - ➔ extension of a Progressive Track Finder
 - ➔ full **combinatorial exploration**



Ambiguity Solution

- track **selection** cuts
 - ➔ applied at every stage in reconstruction
 - ➔ still more candidates than final tracks
- task of **ambiguity** solution:
 - ➔ select good tracks and reject fakes
 - ➔ construct quality function ("score") for each candidate:
 1. hit content, holes
 2. number of shared hits
 3. fit quality...
 - ➔ candidates with best score win
 - ➔ if too many shared hits, create sub-tracks if possible
 - ➔ in case of ATLAS: as well precise fit

- DELPHI (LEP), LC-Detector:
 - ➔ full recursive ambiguity processor
 - ➔ D.Wicke, M.E.

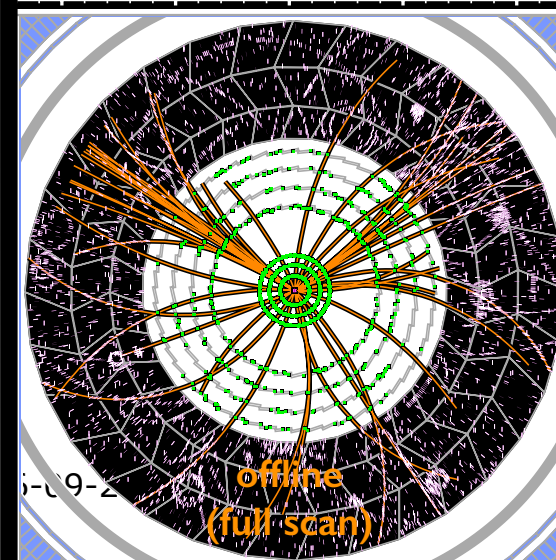
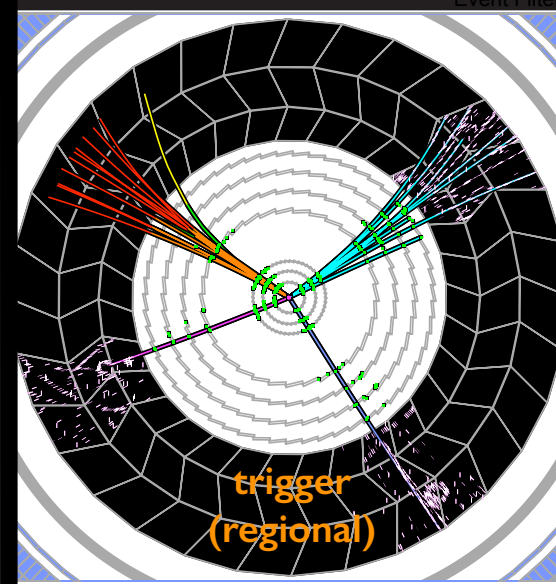
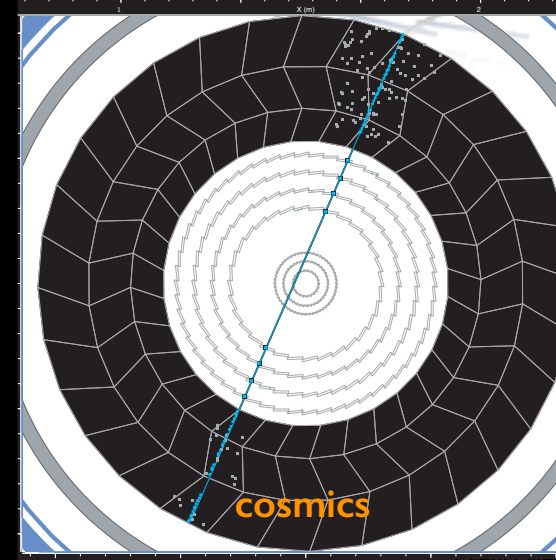
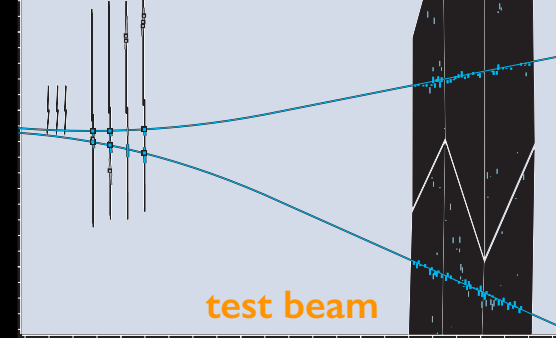


ATLAS Track Reconstruction



... and in **Practice** ?

- choice of reconstruction **strategy** depends on:
 - ➔ detector technologies
 - ➔ physics/performance requirements
 - ➔ occupancy and backgrounds
 - ➔ technical constraints (CPU, memory)
- even for same detector setup one looks at different **types of events**:
 - ➔ test beam
 - ➔ cosmics
 - ➔ trigger (regional)
 - ➔ offline (full scan)
- track reconstruction **used** by experiments
 - ➔ usually apply a **combination of different techniques**
 - ➔ often **iterative** ~ different strategies run one after the other to obtain best possible performance within resource constraints

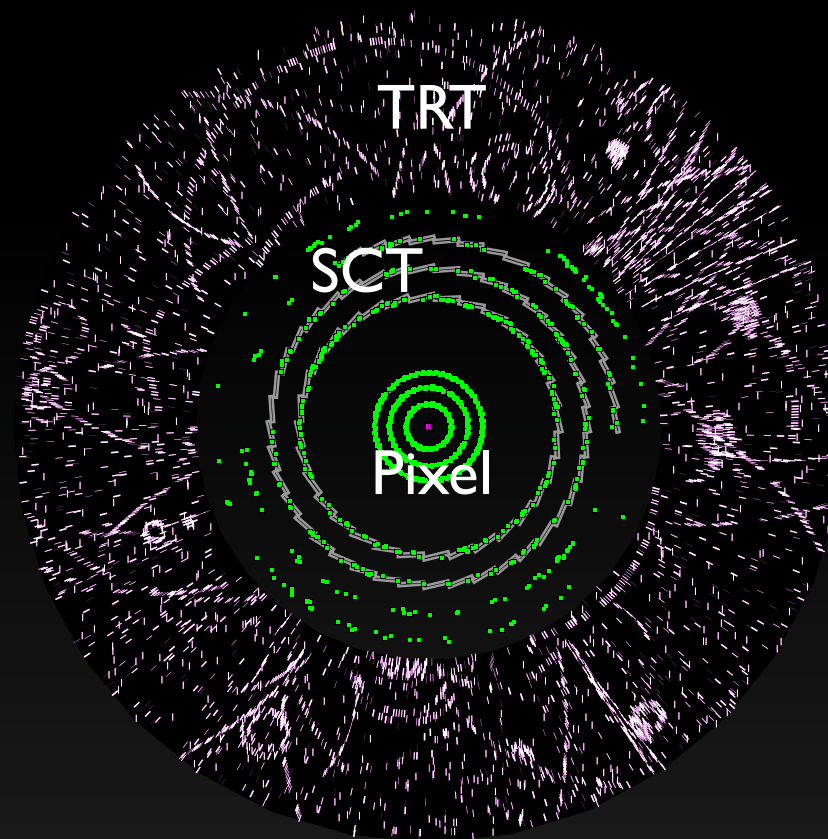




ATLAS **NewTracking** Software Chain

pre-processing

- ➔ Pixel+SCT clustering
- ➔ TRT drift circle formation
- ➔ space points formation

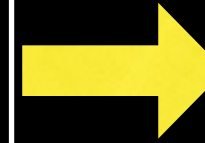




ATLAS **NewTracking** Software Chain

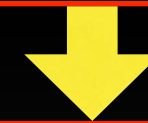
pre-processing

- ➔ Pixel+SCT clustering
- ➔ TRT drift circle formation
- ➔ space points formation



combinatorial track finder

- ➔ iterative :
 1. Pixel seeds
 2. Pixel+SCT seeds
 3. SCT seeds
- ➔ restricted to roads
- ➔ bookkeeping to avoid duplicate candidates



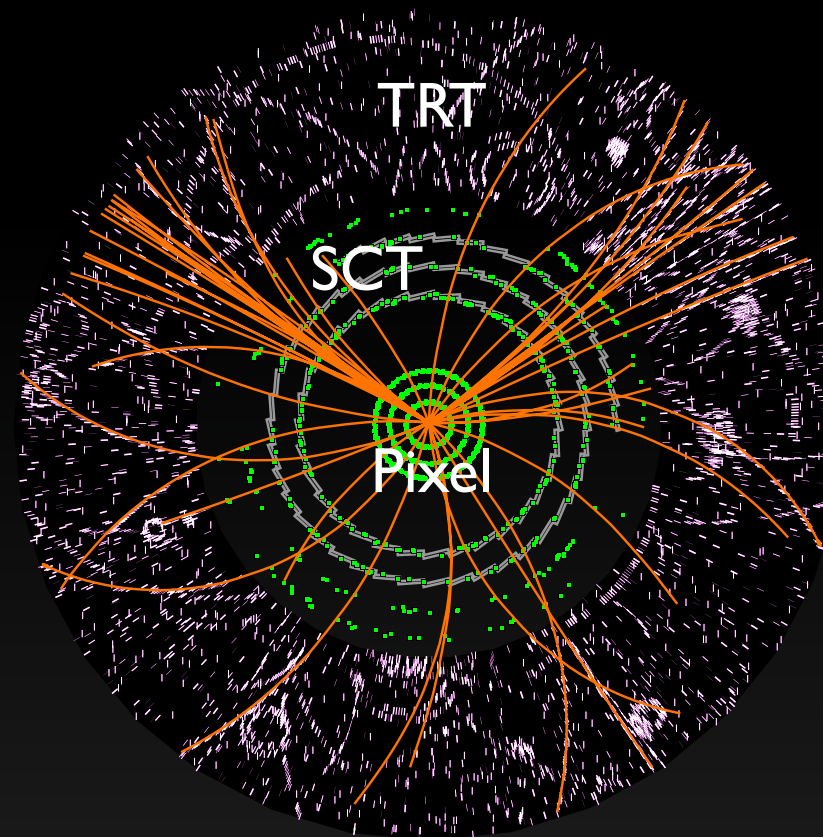
ambiguity solution

- ➔ precise least square fit with full geometry
- ➔ selection of best silicon tracks using:
 1. hit content, holes
 2. number of shared hits
 3. fit quality...



extension into TRT

- ➔ progressive finder
- ➔ refit of track and selection

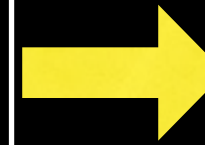




ATLAS NewTracking Software Chain

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- ➔ selection of best silicon tracks using:
 1. hit content, holes
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extension into TRT

- ➔ progressive finder
- ➔ refit of track and selection



TRT segment finder

- ➔ on remaining drift circles
- ➔ uses Hough transform



TRT seeded finder

- ➔ from TRT into SCT+Pixels
- ➔ combinatorial finder



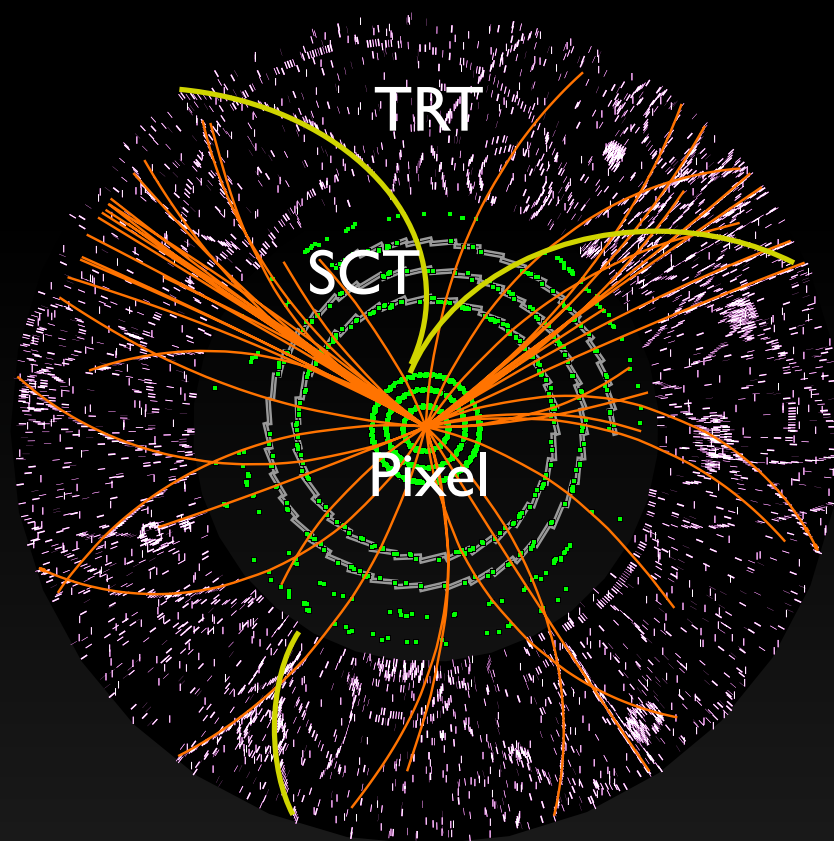
ambiguity solution

- ➔ precise fit and selection
- ➔ TRT seeded tracks



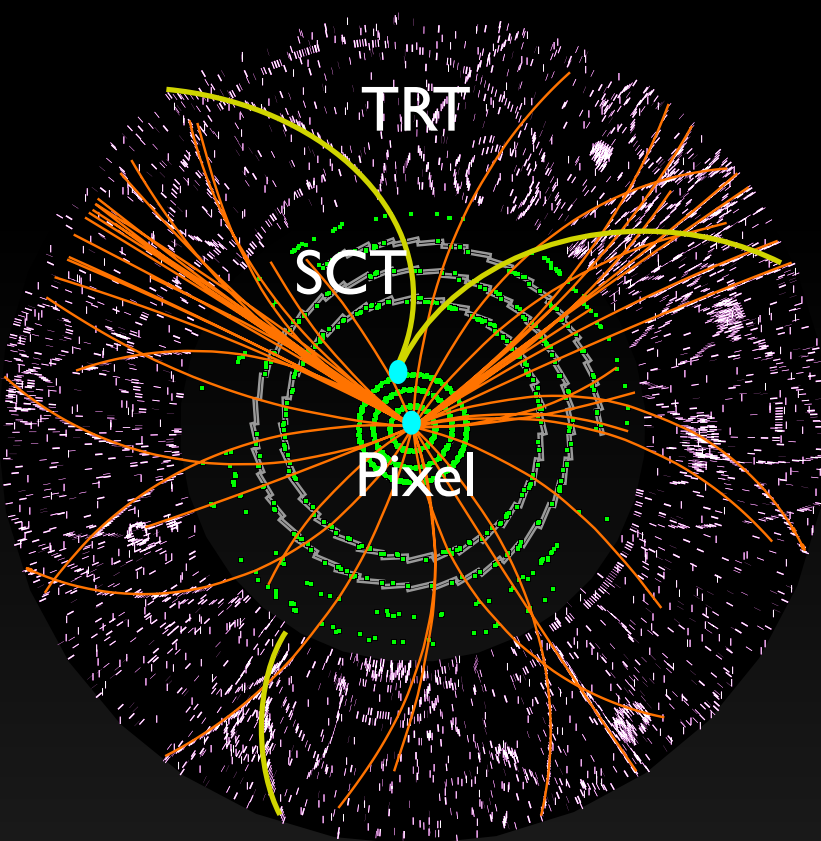
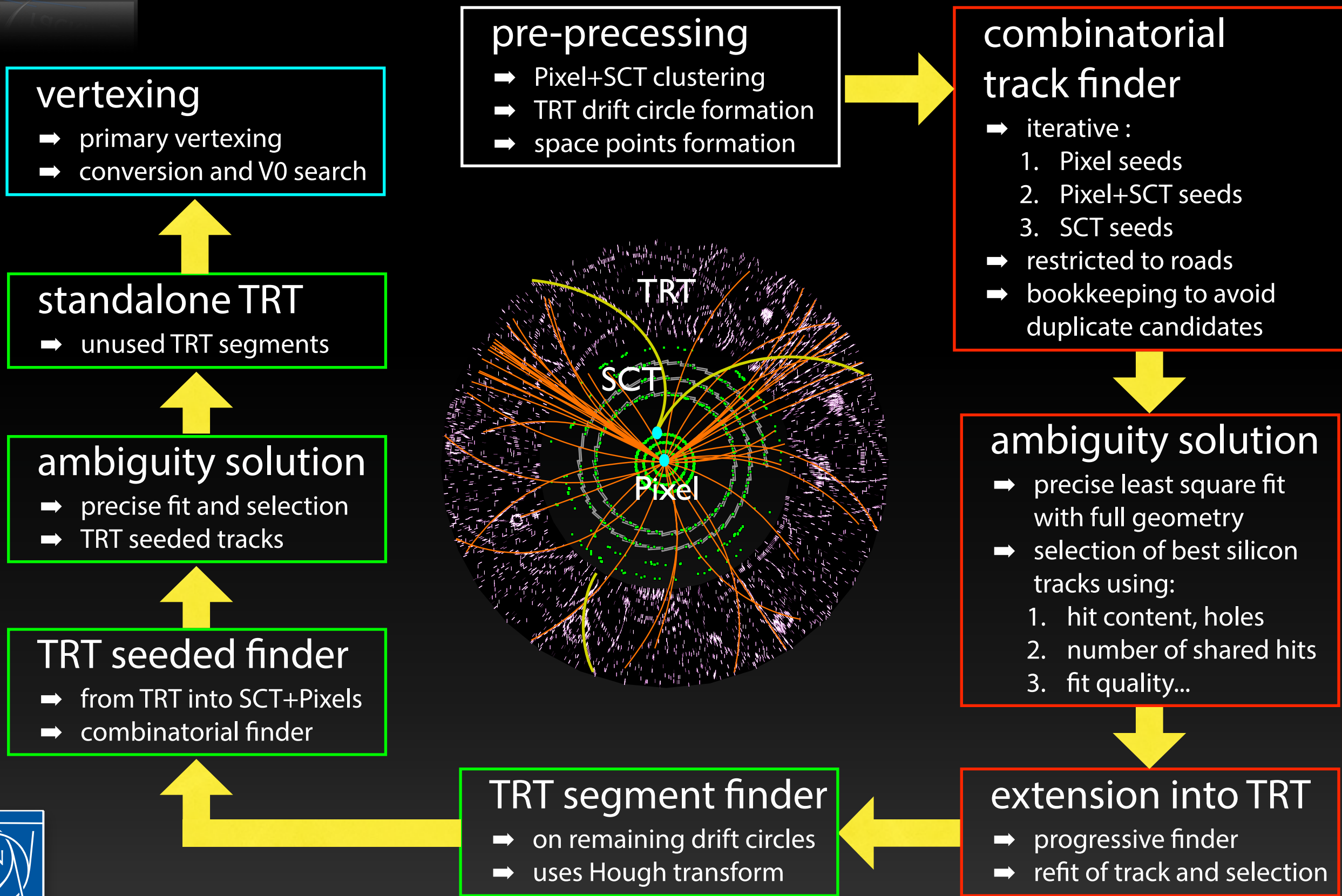
standalone TRT

- ➔ unused TRT segments



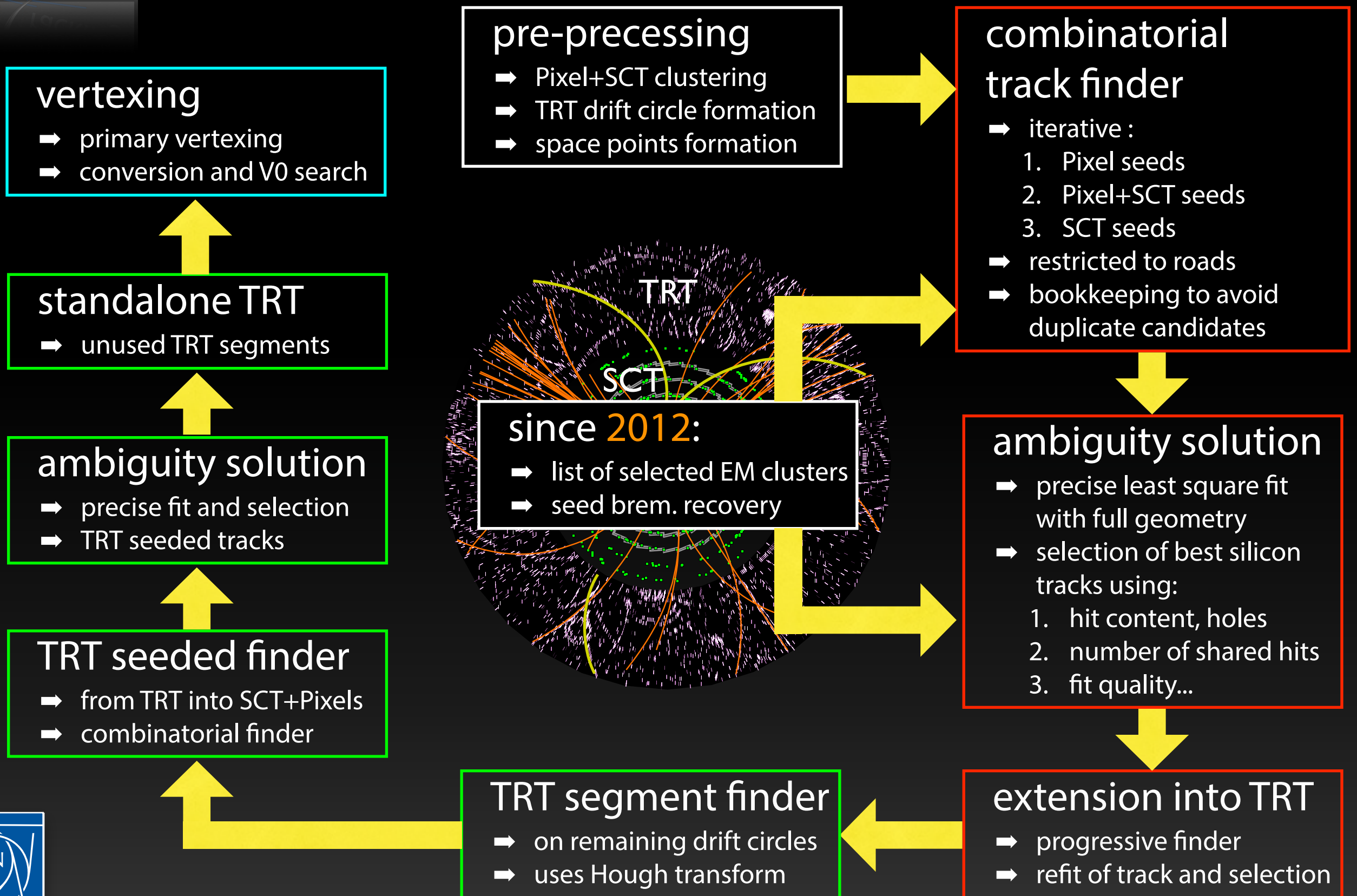


ATLAS NewTracking Software Chain





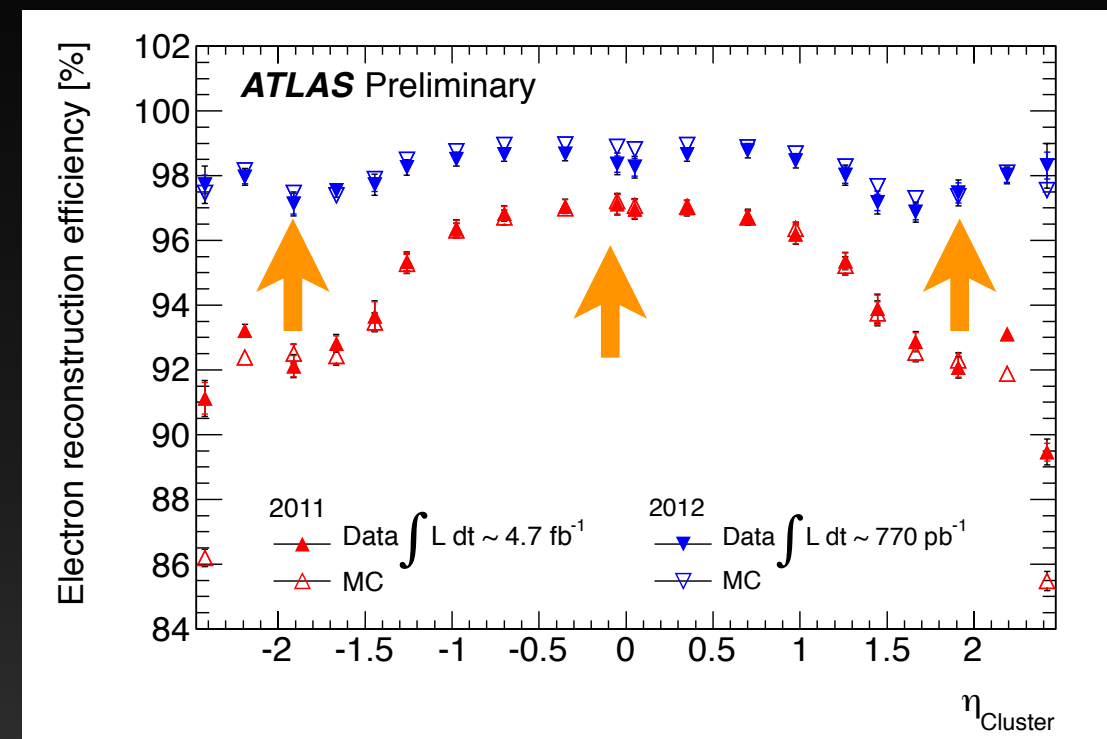
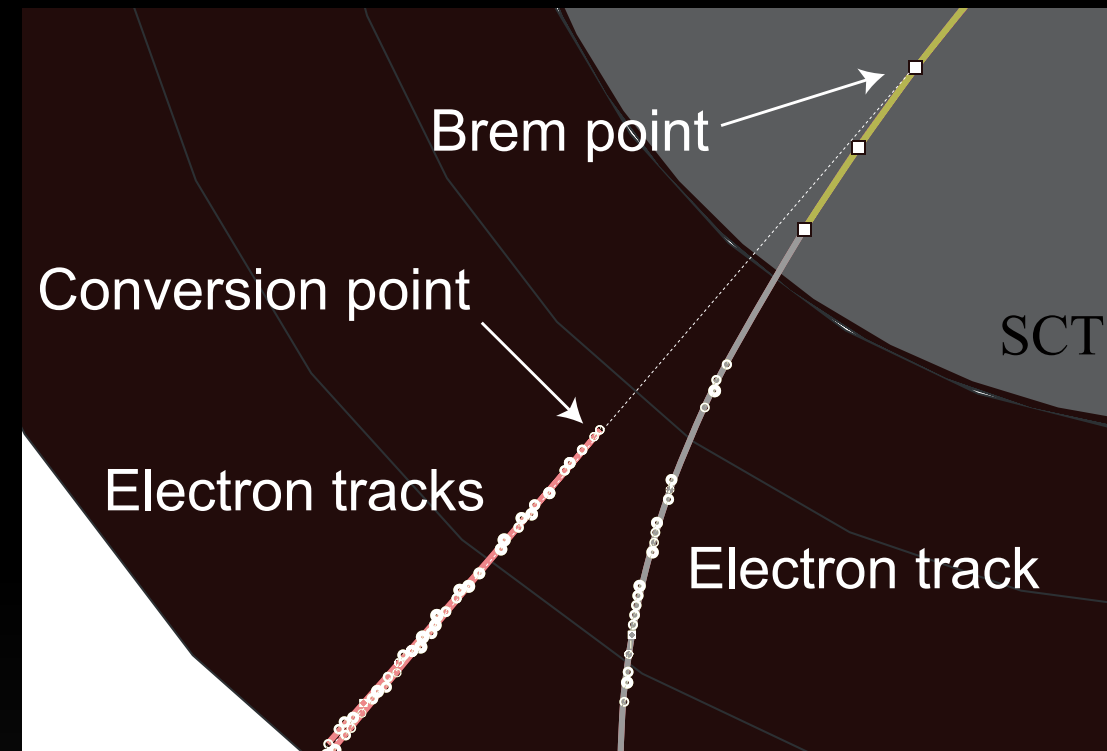
ATLAS NewTracking Software Chain



Tracking with **Electron Brem. Recovery**

for completeness

- **strategy** for brem. recovery
 - ➔ **restrict** recovery to **regions** pointing to electromagnetic clusters (RoI)
 - ➔ **pattern**: allow for large energy loss in combinatorial Kalman filter
 - adjust noise term for electrons
 - ➔ global- χ^2 fitter allows for **brem. point**
 - ➔ adapt ambiguity processing (etc.) to ensure e.g. b-tagging is not affected
 - ➔ use full fledged **Gaussian-Sum Filter** in electron identification code
- tracking update deployed in 2012
 - ➔ improvements especially at low p_T (< 15 GeV)
 - limiting factor for $H \rightarrow ZZ^* \rightarrow 4e$
 - ➔ significant efficiency gain for Higgs discovery



Let's Summarize...

- I introduced the **reconstruction in a nutshell** and why **tracking is important** for HEP computing
- I discussed briefly the principles of **semiconductor trackers** and **drift tubes**
- then we went over concepts and techniques for track **extrapolation, fitting** and **finding**
- and finally we saw how to put things together to implement the ATLAS **Track Reconstruction**



Discussion ...

